EXHIBIT 3 REDACTED VERSION OF DOCUMENT SOUGHT TO BE SEALED

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1
         IN THE UNITED STATES DISTRICT COURT FOR THE
               NORTHERN DISTRICT OF CALIFORNIA
 2
 3
                   SAN FRANCISCO DIVISION
 4
5
     WAYMO, LLC,
                                )
6
               Plaintiffs,
 7
               - vs -
                               ) Case No.
     UBER TECHNOLOGIES, INC., ) 3:17-cv-00939
8
9
     OTTOMOTTO LLC; OTTO
10
     TRUCKING, LLC,
11
               Defendants.
12
13
14
15
          VIDEOTAPED DEPOSITION OF JOHN BARES,
16
     a witness, called by the Plaintiff for examination,
     in accordance with the Federal Rules of Civil
17
     Procedure, taken by and before Tammie Elias, RPR and
18
19
     Notary Public in and for the Commonwealth of
     Pennsylvania, at the office of Reed Smith, 225 Fifth
20
21
     Avenue, Suite 1200, Pittsburgh, Pennsylvania, on
22
     Friday, June 16, 2017, commencing at 9:05 a.m.
23
     JOB No. 2640097
24
25
     PAGES 1 - 317
                                                   Page 1
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1	Q.	You have discussed Lidar with Anthony	09:49a
2		Levandowski; correct?	09:49a
3	Α.	Yes.	09:49a
4	Q.	Many times?	09:49a
5	Α.	A fair number of times.	09:49a
6	Q.	Roughly how many times have you had	09:49a
7		discussions with Mr. Levandowski related to	09:49a
8		Lidar?	09:49a
9	Α.	10 to 15.	09:49a
10	Q.	You have had phone calls with Mr. Levandowski	09:49a
11		about Lidar?	09:49a
12	Α.	Uh-huh.	09:49a
13	Q.	You have talked to him in person about Lidar?	09:49a
14	Α.	Yes.	09:49a
15	Q.	Now, you participated in jams with him about	09:49a
16		Lidar?	09:49a
17		MR. BRILLE: Objection, form.	09:50a
18	Α.	Not really, no.	09:50a
19	BY MI	R. JUDAH:	09:50a
20	Q.	What is a jam in the context of Uber's	09:50a
21		business?	09:50a
22	Α.	Putting a set of people related to a topic in	09:50a
23		a room and working very hard on that problem	09:50a
24		with sort of no time bound to try to get to a	09:50a
25		solution.	09:50a
			Page 37

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1	Q.	How many jams have you participated in with	09:50a
2		Mr. Levandowski?	09:50a
3	A.	One that I know of.	09:50a
4	Q.	To the best of your recollection, you have	09:50a
5		only participated in one jam with	09:50a
6		Mr. Levandowski?	09:50a
7	A.	Uh-huh, yes.	09:50a
8	Q.	To be clear, that's not just a one-on-one,	09:50a
9		that would include any jam that both you and	09:50a
10		he had attended or participated in?	09:50a
11	Α.	Well, the one-on-one wouldn't constitute a jam	09:50a
12		in my view. The only one that I'm aware of.	09:50a
13	Q.	Have you ever participated in a technical dive	09:50a
14		with Mr. Levandowski?	09:51a
15		MR. BRILLE: Objection, form.	09:51a
16	A.	I guess I yes. Fair to say yes.	09:51a
17	BY M	R. JUDAH:	09:51a
18	Q.	How many technical dives have you participated	09:51a
19		in with Mr. Levandowski?	09:51a
20	A.	Well, developing the laser requirements that	09:51a
21		we talked about earlier, that milestones	09:51a
22		requirements document he and I had, those 10	09:51a
23		or 15 discussions. I don't professionally	09:51a
24		I'm not sure if any of those constitute a	09:51a
25		dive, but they were a detailed technical	09:51a
			Page 38

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1		discussion that expanded half an hour to an	09:51a
2		hour maybe.	09:51a
3	Q.	Is your testimony that other than those	09:51a
4		discussions with Mr. Levandowski specific to	09:51a
5		the milestones, you have never had any	09:51a
6		discussions with Mr. Levandowski in a letter?	09:51a
7		MR. BRILLE: Objection, form.	09:51a
8	Α.	I have never had, correct, yes, I have never	09:51a
9		had discussions with him about the design or	09:52a
10		requirements of Lidar documents Lidar	09:52a
11		devices subsequent to that period, that string	09:52a
12		of discussions.	09:52a
13	BY M	R. JUDAH:	09:52a
14	Q.	Other than those 10 to 15 discussions about	09:52a
15		the milestones in connection with the is it	09:52a
16		fair to say it was in connection with a term	09:52a
17		sheet, is that what the milestones were in	09:52a
18		relation to?	09:52a
19	Α.	Yeah, uh-huh.	09:52a
20	Q.	So other than those 10 to 15 discussions with	09:52a
21		Mr. Levandowski about the milestones in	09:52a
22		connection with the term sheet, you have never	09:52a
23		discussed lasers with Mr. Levandowski?	09:52a
24	Α.	Well, are we talking about the design of laser	09:52a
25		devices or laser datas that might be used to	09:52a
			Page 39

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1		detect a car or something?	09:52a	
2	Q.	I'm asking anything related to Lidar or	09:52a	
3		lasers?	09:53a	
4	А.	Okay, then yes, I have talked to him	09:53a	
5		subsequent to that.	09:53a	
6	Q.	How many times have you had discussions with	09:53a	
7		Mr. Levandowski related to lasers or Lidar	09:53a	
8		other than those 10 to 15 milestone	09:53a	
9		discussions?	09:53a	
10	Α.	With regard to use of laser data in software,	09:53a	
11		dozens, probably 30.	09:53a	
12	Q.	You have discussed Lidar with Mr. Levandowski	09:53a	
13		in person?	09:53a	
14	А.	Uh-huh.	09:53a	
15	Q.	You have discussed it with him over the phone?	09:53a	
16	A.	Yes.	09:53a	
17	Q.	Have you e-mailed with Mr. Levandowski about	09:53a	
18		Lidar?	09:53a	
19	А.	Occasionally not several times perhaps, not	09:53a	
20		much.	09:53a	
21	Q.	Have you ever texted with Mr. Levandowski	09:53a	
22		about Lidar?	09:54a	
23	A.	Is this subsequent to that milestone period or	09:54a	
24			09:54a	
25	Q.	Let's start	09:54a	
			Page 40	

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1	A.	Yes, we were.	11:35a
2	Q.	And who was working on that effort?	11:35a
3	A.	So we started that effort around July of 2015	11:35a
4		and that would have been led by Scott Boehmke,	11:35a
5		with two other key people would have been Jim	11:35a
6		Gasbarro and Rob Doll, D-O-L-L.	11:35a
7	Q.	Are you familiar with different ranges of	11:35a
8		Lidar?	11:35a
9	A.	What do you mean by range?	11:35a
10	Q.	Mid range, long range, short range, is that a	11:35a
11		term that you have ever used?	11:35a
12	A.	I have. Not at that time.	11:35a
13	Q.	When did you start using terms like mid range	11:35a
14		or long range Lidar?	11:35a
15	A.	More in discussions with Anthony Levandowski 11:	
16		in January of 2016 where we were to start to 11:35a	
17		talk about how we were separating up the 11:36a	
18		problem space.	11:36a
19	Q.	So focusing on the time period before you	11:36a
20		started discussing the problem space with	11:36a
21		Anthony Levandowski, how was Uber approaching	11:36a
22		the Lidar issue with respect to range?	11:36a
23		MR. BRILLE: Objection to form.	11:36a
24	A.	It's not a clear question. How were we	11:36a
25		MR. BRILLE: If you don't understand	11:36a
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1		prepared. So I thought maybe I'll be able to	03:09p
2		meet with him and I want to have my thoughts	03:09p
3		straight in case I do.	03:09p
4	Q.	Do you have any recollection as to who told	03:09p
5		you that you may have the opportunity to meet	03:09p
6		Mr. Levandowski in San Francisco on that trip?	03:09p
7	A.	I might have asked Brian McClendon hey, is it	03:09p
8		possible, I'm coming out anyway for a	03:10p
9		different meeting. I had another good	03:10p
10		another solid reason to be there, so I might	03:10p
11		have asked Brian, hey, is it possible I can	03:10p
12		get some time with Anthony. I recall Brian	03:10p
13		was trying to not be connected to Anthony, so	03:10p
14		I might have asked hey, can I talk to him	03:10p
15		because I had no reasons to not talk to him.	03:10p
16	Q.	Do you have any recollection as to how those	03:10p
17		communications that Mr. McClendon would have	03:10p
18		taken place, would they have been over the	03:10p
19		phone, would they have been over e-mail?	03:10p
20	A.	Probably over the phone.	03:10p
21	Q.	So	03:10p
22	A.	I talk to him weekly, he was my boss, so	03:10p
23	Q.	So directing your attention then to this first	03:10p
24		section, the part that you said were notes	03:10p
25		sort of in anticipation of possibly speaking	03:10p
			Page 212

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1		still go off and build their product.	05:14p	
2	Q.	So	05:14p	
3	A.	And this is them saying we're not in	05:14p	
4		agreement, they didn't want to do that	05:14p	
5		evidently or something.	05:14p	
6	Q.	So skipping down to the February 26th call,	05:14p	
7		there's the bullet current thinking, do you	05:14p	
8		see that?	05:14p	
9	A.	Yes.	05:14p	
10	Q.	Current thinking for MS number one for mid and	05:14p	
11		long range (dates)?	05:14p	
12	A.	Yes.	05:14p	
13	Q.	What does MS refer to?	05:14p	
14	A.	Milestone.	05:14p	
15	Q.	So this refers to the milestone?	05:14p	
16	A.	Yes, the milestones. And these first eight or	05:14p	
17		ten bullets, those are my notes to myself	05:14p	
18		going into the meeting, questions for him.	05:14p	
19		And then the meeting starts where it says IP	05:15p	
20		infringement.	05:15p	
21	Q.	And so there's a bullet here IP infringement,	05:15p	
22		do you see that?	05:15p	
23	A.	Uh-huh.	05:15p	
24	Q.	We're to provide a list of patents that we are	05:15p	
25		worried about. Did you work on assembling	05:15p	
			Page 293	

1	COMMONWEALTH OF PENNSYLVANIA) CERTIFICATE
2	COUNTY OF INDIANA) SS:
3	I, Tammie Elias, RPR and Notary Public in and
4	for the Commonwealth of Pennsylvania, do hereby
5	certify that the witness, JOHN BARES, was by me
6	first duly sworn to testify to the truth; that the
7	foregoing deposition was taken at the time and place
8	stated herein; and that the said deposition was
9	recorded stenographically by me and then reduced to
10	printing under my direction, and constitutes a true
11	record of the testimony given by said witness.
12	I further certify that the inspection, reading
13	and signing of said deposition were NOT waived by
14	counsel for the respective parties and by the
15	witness.
16	I further certify that I am not a relative or
17	employee of any of the parties, or a relative or
18	employee of either counsel, and that I am in no way
19	interested directly or indirectly in this action.
20	IN WITNESS WHEREOF, I have hereunto set my
21	hand and affixed my seal of office this 19th day of
22	June, 2017.
23	
24	<%signature%>
25	Notary Public
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1
                 UNITED STATES DISTRICT COURT
 2
                NORTHERN DISTRICT OF CALIFORNIA
                    SAN FRANCISCO DIVISION
 3
 5
      WAYMO LLC,
                     Plaintiff,
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 7
                                    ) Case No.
              VS.
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      UBER TECHNOLOGIES, INC., ) 3:17-cv-00939-WHA
 9
      OTTOMOTTO LLC; OTTO
                                     )
      TRUCKING LLC,
10
                     Defendants.
11
12
          HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY
13
14
15
            VIDEOTAPED DEPOSITION OF SCOTT BOEHMKE
                   San Francisco, California
16
17
                    Monday, April 17, 2017
                           Volume I
18
19
20
     Reported by:
21
     SUZANNE F. GUDELJ, CSR No. 5111
22
23
     Job No. 2596382
24
    PAGES 1 - 79
25
                                                  Page 1
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1	Q Okay. So you started talking with Mr.	
2	Levandowski about LiDAR sensors for Uber's	
3	self-driving cars in April of 2016, correct?	
4	A Yes.	
5	Q And you were interested in talking to him	02:14:52
6	because he was going to provide custom LiDAR	
7	technology for Uber, right?	
8	MR. KIM: Objection. Vague.	
9	THE WITNESS: Could you be more specific?	
10	MR. JAFFE: So Mr. Kim, I don't know if	02:15:06
11	you've read Judge Alsup's standing order recently,	
12	but he has very specific guidance about the type of	
13	objections, and so at this point, I would just	
14	suggest to you that what you're doing is a little	
15	bit farther out of bounds than what has been done in	02:15:23
16	this case so far. But	
17	MR. KIM: So I'll	
18	MR. JAFFE: So putting that aside	
19	MR. KIM: I've read Judge Alsup's standing	
20	order, and I disagree with that characterization.	02:15:33
21	My objection was just as to the improper form of the	
22	question. It's also consistent with the objections	
23	we made in depositions taken by yourself and	
24	Mr. Perlson for both Mr. Levandowski and also others	
25	in this case.	02:15:52
		Page 12

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1	BY MR. JAFFE:	
2	Q Mr. Boehmke, you were interested in talking	
3	with Mr. Levandowski because he was going to provide	
4	Uber with custom LiDAR technology, right?	
5	A He was going to provide a sensor for our	02:16:06
6	cars.	
7	Q What kind of a sensor?	
8	A A laser sensor LiDAR sensor.	
9	Q A custom LiDAR sensor?	
10	A Correct.	02:16:17
11	Q So again, you'd agree with me, then, that	
12	you were interested in talking with Mr. Levandowski	
13	because he was going to provide a custom LiDAR	
14	solution for Uber, right?	
15	A Yes.	02:16:27
16	Q And this was three months, or actually	
17	February, March, two and a half to three months	
18	since he left at the time Google, right?	
19	MR. KIM: Objection to the extent it calls	
20	for speculation.	02:16:37
21	THE WITNESS: I don't know when he left	
22	Google.	
23	BY MR. JAFFE:	
24	Q Did you ask him?	
25	A No.	02:16:41
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1	Q Were you surprised that Mr. Levandowski and	
2	his company could develop a custom LiDAR solution in	
3	two and a half months?	
4	A He wasn't developing it in two and a half	
5	months.	02:16:54
6	Q Can you explain?	
7	A You just said it was two and a half months	
8	after he left Google.	
9	Q Mm-hmm.	
10	A He wasn't coming to me with a sensor.	02:17:00
11	Q What was he coming to you with?	
12	A He was coming to me asking what we wanted.	
13	Q And what did you want?	
14	A I wanted a sensor that could meet a set of	
15	criteria so that we could drive our cars.	02:17:12
16	Q And did he say that he could implement	
17	that?	
18	A At the time, the discussions were more	
19	configuration. I was explaining to him what we were	
20	looking for. There were discussions later with	02:17:28
21	others where I elaborated further on our approach.	
22	So in April did he say he could do it? No.	
23	Q So your testimony is that you and Anthony	
24	started speaking, and you communicated requirements	
25	to him in kind of a vendor relationship; is that	02:17:46
		Page 14

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1	right?		
2	А	Yes.	
3	Q	He didn't come to you with any sort of	
4	technolog	y proposal; is that right?	
5	А	Yes.	02:17:54
6	Q	Okay. So you communicated these	
7	requireme	nts to Mr. Levandowski. What happened	
8	next?		
9	А	So I met with him on in the end of	
10	April. I	went out to the Otto facility in the	02:18:07
11	beginning	of May and furthered discussions with	
12	others at	Otto.	
13	Q	Who were these others?	
14	А	Most primarily Daniel Gruver.	
15	Q	Anyone else?	02:18:23
16	А	There were a number of other minor players	
17	that I wa	s introduced to. Didn't have as many	
18	technical	conversations with them.	
19	Q	What are their names?	
20	А	There was a Rattner. The names escape me.	02:18:34
21	Q	So the only two people that you can	
22	remember	speaking with at Otto were Mr. Levandowski,	
23	Mr. Gruve	er and a Mr. Rattner; is that right?	
24	А	And there was a Nancy who let me in the	
25	door.		02:18:55
			Page 15

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1	BY MR. JA	AFFE:	
2	Q	It was?	
3	А	Yes. It just happened to be cut in half	
4	among two	o sensors.	
5	Q	Okay. And your your testimony is that	02:40:42
6	you came	up with that design for use with	
7	the	, right?	
8	А	Yes.	
9	Q	Okay. When did you come up with that?	
10	А	Over the period from December to March	02:40:53
11	of Dec	cember 2015 to March of 2016.	
12		MR. JAFFE: Let's mark as Exhibit 53 a	
13	document	Bates labeled UBER 495.	
14		(Deposition Exhibit 53 marked by the court	
15		reporter.)	02:42:02
16	BY MR. JA	AFFE:	
17	Q	Mr. Boehmke, can you please turn to the	
18	last emai	il in this thread here?	
19	A	Last meaning the most recent, the oldest?	
20	Q	The oldest	02:42:12
21	A	Okay.	
22	Q	in time. You see there's an email from	
23	Mr. Levar	ndowski to yourself dated June 9, 2016?	
24	А	Yep.	
25	Q	And do you see he asks you to prepare a	02:42:22
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1	list of angles for the beams under two different	
2	scenarios. Do you see that?	
3	A Yes.	
4	Q One would be the	
	that's A. Do you see that?	02:42:35
6	A Yes.	
7	Q So that corresponds to kind of the	
8	architecture, right?	
9	A The	
	but yes.	02:42:44
11	Q And then the second one, B, is you have	
	Do you see that?	
15	A Yes.	02:42:57
16	Q So this was Mr. Levandowski directing you	
17	on how to prepare the right?	
18	MR. KIM: Objection. Mischaracterizes	
19	evidence.	
20	THE WITNESS: This was a configuration that	02:43:06
21	I had talked with the guys out West about ahead of	
22	time, and he was asking for an assignment.	
23	BY MR. JAFFE:	
24	Q Where is the evidence that you you spoke	
25	with Mr. Levandowski about this configuration before	02:43:19
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1		
1	he sent you this email?	
2	A I'm not saying I spoke with him. I'm	
3	saying I spoke with these guys. They're cc'd on the	
4	mail.	
5	Q Fair. Where is the evidence that you spoke 0	02:43:29
6	with anyone about this particular beam spacing	
7	design before Mr. Levandowski asked you to prepare	
8	it here in June 9th, 2016?	
9	A I do not have that with me.	
10	Q Are you aware of it at all? 0	02:43:45
11	A I can't I can't point to anything right	
12	now. I would need to go look.	
13	Q Okay. So sitting here today, you're not	
14	aware of any evidence that supports that you were	
15	that you came up with this	2:44:00
16	before Mr. Levandowski directed you to do so in	
17	June 9th, 2016; fair?	
18	A No, wait. You're saying that he was	
19	telling me to make , and	
20	that was the first I heard of this?	02:44:13
21	Q No, sorry.	
22	A I'm not sure what you're saying for	
23	evidence.	
24	Q Sorry. I'll come back to that.	
25	Moving forward here in time, there's a long 0	02:44:28
	Pa	age 36

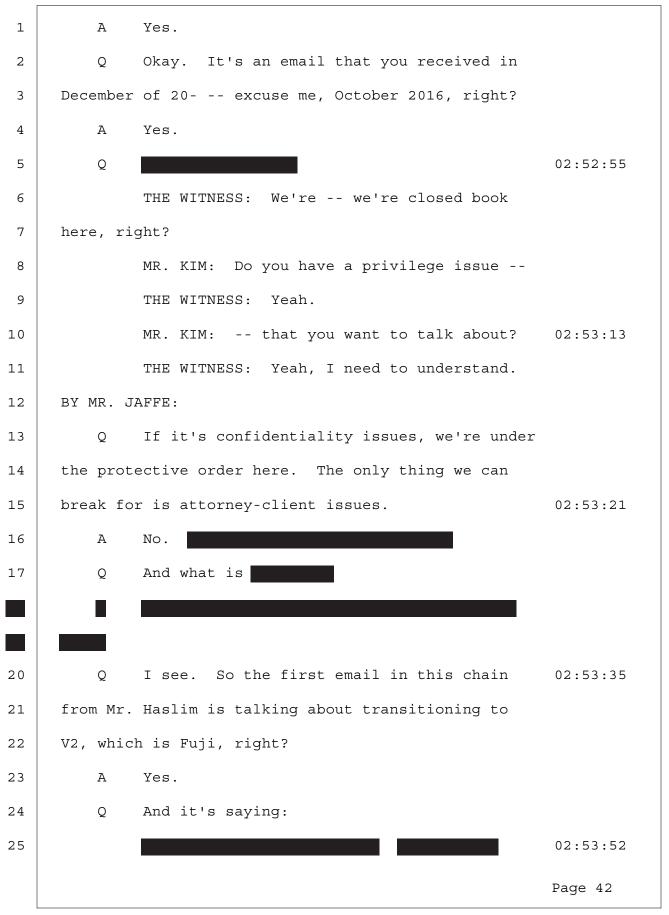
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1		
2	MR. KIM: Objection. Vague.	
3	THE WITNESS:	
5	BY MR. JAFFE:	02:50:00
6	Q Right.	
	right?	
9	A Yes.	
10	Q Okay.	02:50:07
11	MR. JAFFE: This is going to be	
12	Exhibit 53 excuse me, 54, UBER 00008493.	
13	(Deposition Exhibit 54 marked by the court	
14	reporter.)	
15	BY MR. JAFFE:	02:50:56
16	Q Mr. Boehmke, do you recognize the email I	
17	placed in front of you as Exhibit 54?	
18	A Yes.	
19	Q It's an email that you wrote, right?	
20	A Yes.	02:51:05
21	Q In June 2016?	
22	A Yes.	
23	Q And it's to Mr. Levandowski, Mr. Meyhofer,	
24	Mr. Rice and James Haslim, right?	
25	A Yes.	02:51:17
		Page 40

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1	Q	And then you say first line is, quote:	
2		"James, FYI, today we discussed the	
3	para	meters driving our beam spacing."	
4		Do you see that?	
5	А	Mm-hmm.	02:51:24
6	Q	Who did you discuss "the parameters driving	
7	our beam	spacing with"?	
8	А	I don't recall.	
9	Q	Did you discuss them with Mr. Levandowski?	
10	А	I said I don't recall.	02:51:38
11	Q	Do you think that's a reasonable assumption	
12	from loo	king at this email where he's on the "to"	
13	line?		
14		MR. KIM: Objection. Calls for	
15	speculat	ion.	02:52:04
16		THE WITNESS: Yeah, I I don't recall.	
17	BY MR. J	AFFE:	
18	Q	All right. You can put that aside.	
19		MR. JAFFE: This is going to be Exhibit 55.	
20	It's UBE	R 8592.	02:52:23
21		(Deposition Exhibit 55 marked by the court	
22		reporter.)	
23	BY MR. J	AFFE:	
24	Q	Mr. Boehmke, have you seen Exhibit 55	
25	before?		02:52:40
			Page 41

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 21 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY



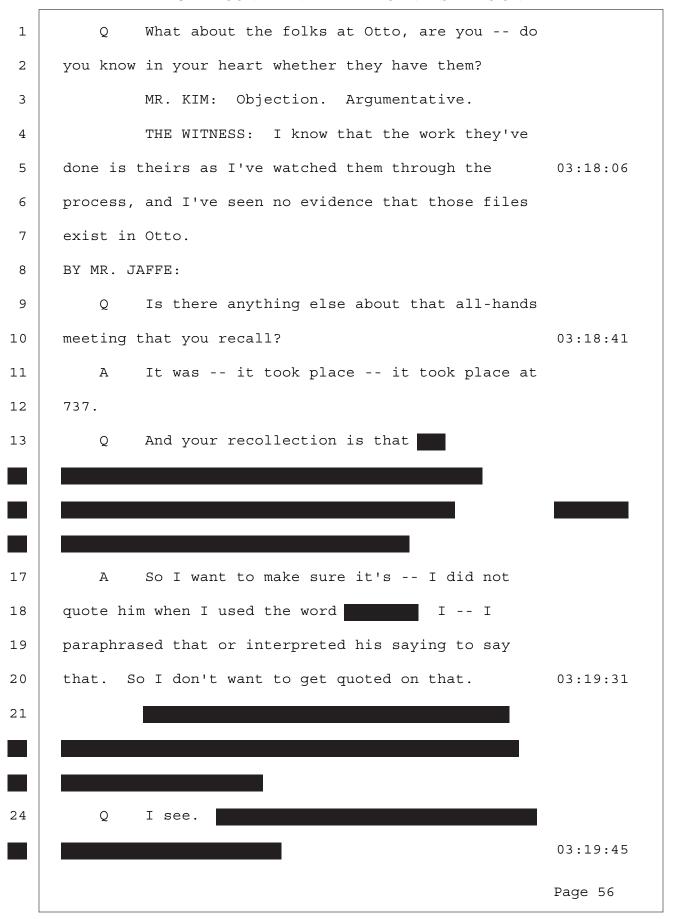
Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 22 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1		
2	Right?	
3	A That's what it says.	
4	Q So Mr. Haslim is proposing transitionin	g to
5	this Fuji project in October on October 26th,	02:54:04
6	2016, right?	
7	A Yes.	
8	Q So you hadn't really started transition	ing
9	to Fuji before this email, right?	
10	A This email was days after his meeting w	ith 02:54:16
11	us in Pittsburgh, yes.	
12	Q When you say "us," who are you referrin	g
13	to?	
14	A The meetings with Eric and myself.	
15	Q And your testimony is that Mr. Levandow	ski 02:54:27
16	was not at that meeting, right?	
17	A No.	
18	Q He didn't come into that meeting at all	?
19	A No.	
20	Q Okay. Now, later in his email he talks	02:54:36
21	about an optical cavity. Do you see that?	
22	A Mm-hmm.	
23	Q And it talks about the transmit element	s
24	and I'm paraphrasing here are	
		02:54:50
		Page 43

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 23 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	А	I don't know. I don't recall.	
2	Q	You don't deny that he said that, right?	
3	А	I I don't recall that part of the	
4	conversa	tion.	
5	Q	Okay. What else do you recall him saying?	03:16:57
6	А		
			03:17:21
11	А	I don't recall him saying any of that.	
12	Q	He didn't address those allegations, right?	
13	А	I don't recall that, no.	
14	Q	And have you ever followed up to ask him:	
15	Hey, wha	t happened?	03:17:31
16	А	No.	
17	Q	Why not?	
18	А	There's no opportunity to do that. And I	
19	know in	my heart that we haven't used any of that	
20	stuff if	it does exist.	03:17:41
21	Q	So you know in your heart that Mr.	
22	Levandow	ski doesn't have any Waymo files?	
23	A	I did not say that. I said I don't have	
24	any of t	hose files. My coworkers don't have any of	
25	those fi	les.	03:17:53
			Page 55

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 24 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY



Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 25 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	I, the undersigned, a Certified Shorthand
2	Reporter of the State of California, do hereby
3	certify:
4	That the foregoing proceedings were taken
5	before me at the time and place herein set forth;
6	that any witnesses in the foregoing proceedings,
7	prior to testifying, were duly sworn; that a record
8	of the proceedings was made by me using machine
9	shorthand which was thereafter transcribed under my
10	direction; that the foregoing transcript is a true
11	record of the testimony given.
12	Further, that if the foregoing pertains to
13	the original transcript of a deposition in a Federal
14	Case, before completion of the proceedings, review
15	of the transcript [] was [X] was not requested.
16	I further, certify I am neither financially
17	interested in the action nor a relative or employee
18	of any attorney or party to this action.
19	IN WITNESS WHEREOF, I have this date
20	subscribed my name.
21	Dated: 4/18/2017
22	
23	Surpine J. Gudelj.
24	SUZANNE F. GUDELJ
25	CSR No. 5111
	Page 79

Page 80

UNITED STATES DISTRICT COURT

FOR THE NORTHERN DISTRICT OF CALIFORNIA

WAYMO, LLC,

Plaintiff,
)

) No. 3:17-CV-00939

UBER TECHNOLOGIES; INC.;)

VS.

OTTOMOTTO, LLC; and OTTO)

TRUCKING, LLC,

Defendants.

HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

Continued Videotaped Deposition of SCOTT BOEHMKE,

Volume II, taken at 435 Sixth Avenue, Pittsburgh,

Pennsylvania 15222, commencing at 9:01 a.m.

Friday, July 28, 2017, before Rebecca L. Schnur,

Notary Public in and for the Commonwealth of

Pennsylvania.

JOB No. 2665736

PAGES 80 - 372

		Page 293
1	I'll represent to you that this is a log that	14:59:40
2	was produced by Uber in response to a court order in	14:59:43
3	this case. That order directed Uber to disclose all	14:59:47
4	oral and written communications between Anthony	14:59:51
5	Levandowski and any employee of Uber involving lidar.	14:59:53
6	A. I'm familiar with that request.	14:59:59
7	Q. And I want to walk through some of the	15:00:02
8	entries that involve you.	15:00:05
9	If you turn forward to entry number 145	15:00:09
10	A. Okay.	15:00:19
11	Q we see an April 26, 2016 entry at	15:00:19
12	12:51 p.m., a text message from Anthony Levandowski to	15:00:25
13	Scott Boehmke?	15:00:28
14	A. Yes.	15:00:31
15	Q. Do you believe that this was the first	15:00:32
16	communication between yourself and Mr. Levandowski?	15:00:34
17	A. They would fit the right time frame. That	15:00:40
18	makes sense.	15:00:42
19	Q. And do you remember what this text message	15:00:43
20	concerned?	15:00:47
21	A. I recall trying to get in communication with	15:00:51
22	him. This led to us sitting down in a conference room	15:00:55
23	in doing some brainstorming. At the time we were	15:01:01
24	talking about fiber lasers. And I, after that meeting,	15:01:05
25	sent him some simulation results I had done in	15:01:08

			Page 294
1	December.		15:01:14
2	Q. If	you look forward to the next page, entries	15:01:14
3	154 through 1	56, the "Subjects Discussed" state,	15:01:17
4	"whiteboard p	photo from 4/27/16 conversation regarding	15:01:23
5	use of fiber	laser for scanning"?	15:01:28
6	A. Yep) .	15:01:32
7	Q. Is	that the meeting that you just referenced?	15:01:32
8	A. Tha	t's correct.	15:01:35
9	Q. And	l this meeting took place in Pittsburgh at	15:01:35
10	ATC's location	on?	15:01:37
11	A. Tha	t's correct.	15:01:39
12	Q. And	d it says, "whiteboard photo." Was there a	15:01:39
13	photo taken o	of a whiteboard?	15:01:42
14	A. Yes	5.	15:01:44
15	Q. Doe	es that photo still exist?	15:01:45
16	A. Yes	5.	15:01:48
17	Q. Do	you have custody of that photo?	15:01:50
18	A. Yes	5.	15:01:53
19	MR.	SCHMIDT: Counsel, if that photo hasn't	15:01:55
20	been pro	duced, we ask that you produce it, please.	15:01:57
21	MR.	KIM: I think it has been produced.	15:01:59
22	Dic	you pick up the documents from reception?	15:02:01
23	MR.	SCHMIDT: I did.	15:02:03
24	MR.	KIM: Okay. Are there photos and texts?	15:02:04
25	MR.	SCHMIDT: There are text messages. I do	15:02:08

		Page 295
1	not see any photos.	15:02:10
2	And I'll note for the record that these text	15:02:16
3	messages were produced after business hours last	15:02:18
4	night East Coast time. So I have attempted my	15:02:21
5	best to review them during the breaks, but we will	15:02:27
6	reserve all rights with respect to this witness	15:02:29
7	due to late production.	15:02:33
8	And I ask, if the photo hasn't been produced,	15:02:36
9	it be produced.	15:02:38
10	MR. KIM: I'm look into it. But I note your	15:02:40
11	request.	15:02:42
12	BY MR. SCHMIDT:	15:02:42
13	Q. If you look down on entries 162 through	15:02:46
14	164	15:02:49
15	A. Okay.	15:02:52
16	Q it looks like additional text messages and	15:02:52
17	e-mails. And on 164, specifically, it looks like Dan	15:02:55
18	Gruver was looped into the communication?	15:03:00
19	A. Yes.	15:03:03
20	Q. Do you remember Mr. Gruver being brought into	15:03:04
21	the conversation?	15:03:07
22	A. I was heading out West for the meeting on the	15:03:08
23	5th, I believe, and so I was communicating with Dan.	15:03:10
24	Q. So these communications involved your visit	15:03:13
25	out to Otto, which was reflected in that document we	15:03:16

		Page 296
1	reviewed earlier, Exhibit 452. Correct?	15:03:20
2	A. I won't dig up the number, but yeah, that was	15:03:25
3	my trip out there in early May.	15:03:28
4	Q. And if you look at entries 167 and 168, these	15:03:33
5	are e-mails involving a combination of yourself,	15:03:38
6	Mr. Gruver, and Anthony Levandowski that state, "E-mail	15:03:42
7	discussing meeting with Anthony for lidar discussions	15:03:46
8	and brainstorming"?	15:03:49
9	A. Right.	15:03:50
10	Q. Was there a meeting with Anthony for lidar	15:03:51
11	discussions and brainstorming around this time?	15:03:53
12	A. So I believe I was at Otto on the 5th, and	15:03:56
13	discussion was me asking I remember asking where I	15:04:04
14	was going and getting a response of the address and	15:04:08
15	something of that nature.	15:04:18
16	MR. SCHMIDT: Keep that exhibit in front of	15:04:29
17	you, but I want to mark another one in the	15:04:30
18	meantime.	15:04:32
19	(Whereupon, Deposition Exhibit 463 was marked	15:04:33
20	for identification.)	15:04:33
21	Q. What's placed before you is Exhibit 463, and	15:04:54
22	463 is a text message I will represent to you that	15:04:59
23	this is the "from" line is from Anthony at	15:05:06
24	associated phone number, and the participants line, the	15:05:09
25	person who this text message is being sent to, is	15:05:14
1		

```
Page 372
 1
                  CERTIFICATE
     COMMONWEALTH OF PENNSYLVANIA
 2
 3
     COUNTY OF ALLEGHENY
                                    )
 4
          I, Rebecca L. Schnur, do hereby certify that
 5
     before me, a Notary Public in and for the Commonwealth
 6
     aforesaid, personally appeared SCOTT BOEHMKE, who then
 7
     was by me first duly cautioned and sworn to testify the
     truth, the whole truth, and nothing but the truth in
     the taking of his oral deposition in the cause
     aforesaid; that the testimony then given by him as
10
     above set forth was by me reduced to stenotype in the
11
     presence of said witness, and afterwards transcribed by
     means of computer-aided transcription.
12
1.3
          I do further certify that this deposition was
     taken at the time and place in the foregoing caption
14
     specified, and was completed without adjournment.
15
          I do further certify that I am not a relative,
16
     counsel or attorney of either party or otherwise
17
     interested in the event of this action.
18
          IN WITNESS WHEREOF, I have hereunto set my hand
19
     and affixed my seal of office at Pittsburgh,
20
     Pennsylvania, on this 31st of July, 2017.
2.1
22
          <%signature%>
23
          Rebecca L. Schnur, RDR, Notary Public
24
          In and for the Commonwealth of Pennsylvania
25
          My Commission expires June 16, 2021.
```

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 32 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

I	
1	UNITED STATES DISTRICT COURT
2	NORTHERN DISTRICT OF CALIFORNIA
3	SAN FRANCISCO DIVISION
4	000
5	
6	WAYMO LLC,
7	Plaintiff,
8	vs. No. 3:17-cv-00939-WHA
9	UBER TECHNOLOGIES, INC.;
	OTTOMOTTO LLC; OTTO TRUCKING,
10	INC.,
11	Defendants.
	/
12	
13	
14	WAYMO HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY
15	
16	VIDEOTAPED DEPOSITION OF DON BURNETTE
17	SAN FRANCISCO, CALIFORNIA
18	FRIDAY, AUGUST 18, 2017
19	
20	
21	
22	BY: ANDREA M. IGNACIO, CSR, RPR, CRR, CCRR, CLR ~
23	CSR LICENSE NO. 9830
24	JOB NO. 2681032
25	PAGES 1 - 168
	Page 1

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 33 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

1	LiDAR; correct?	12:42
2	A Yes.	12:42
3	Q What conversations do you remember having	12:42
4	with Anthony Levandowski about LiDAR?	12:43
5	A I don't remember having any specific	12:43
6	conversations with him about LiDAR.	12:43
7	Q So you don't remember what he's told you	12:43
8	about LiDAR?	12:43
9	A Correct.	12:43
10	Q You don't remember what you've told him about	12:43
11	LiDAR?	12:43
12	A Correct.	12:43
13	Q Fair to say that he he he's worked with	12:43
14	LiDAR more than you have?	12:43
15	A Very much so.	12:43
16	Q How much of your work for for Uber	12:43
17	so so Uber involves, in your opinion, LiDAR?	12:43
18	MS. HARTNETT: Objection.	12:43
19	THE WITNESS: Almost none.	12:43
20	MR. JUDAH: Q. What what aspect of it	12:43
21	does involve LiDAR?	12:43
22	A My interaction with LiDAR is to the extent	12:43
23	that, after we've received the data from the sensor as	12:43
24	it's been produced, how to then process the algorithms	12:43
25	to interpret the data.	12:43
	Pag	ge 144

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 34 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

1	Q Okay. Directing your attention there's a	12:47
2	number of entries here.	12:47
3	Do you see this?	12:47
4	A There's a number of entries here.	12:47
5	Q Right.	12:47
6	So it goes up to 1,083.	12:47
7	Do you see that?	12:47
8	A Yes.	12:47
9	Q So, I want to direct your attention to	12:47
10	your name appears on this in a number of entries, but	12:47
11	I'm just going to focus on entry 866. If you could	12:48
12	turn to that one.	12:48
13	MS. HARTNETT: Just for the record, the	12:48
14	number of entries goes up to 1,085.	12:48
15	MR. JUDAH: Oh, I'm sorry. What did I say?	12:48
16	MS. HARTNETT: I think you said 1,083.	12:48
17	MR. JUDAH: I apologize. I actually said	12:48
18	yeah, I did. You're right. You're right. You're	12:48
19	right. Oh, yeah. Okay. I missed the final page.	12:48
20	Thank you.	12:48
21	MS. HARTNETT: No problem.	12:48
22	THE WITNESS: Sorry. Which number?	12:48
23	MR. JUDAH: 866.	12:48
24	THE WITNESS: (Complies.)	12:48
25	MR. JUDAH: Q. So you see that so	12:48
	Pa	ge 149

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 35 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

1	entry 866, there's a date column that says:	12:48
2	"Before August 2016."	12:48
3	A Yeah.	12:48
4	Q The author sent is Anthony Levandowski, and	12:48
5	the recipient is you; right?	12:48
6	A Uh-huh.	12:48
7	Q And then the place there's three different	12:48
8	places: The RLS in Mountain View, Cowper Street in	12:48
9	Palo Alto, and then 737 Harrison Street.	12:48
10	Do you see that?	12:48
11	A Yes.	12:48
12	Q And then the the mode of communication, it	12:48
13	says:	12:48
14	"One-on-one conversations."	12:48
15	Do you see that?	12:48
16	A Yes.	12:48
17	Q And then "Subjects Discussed," this this	12:49
18	entry on the log says:	12:49
19		
	Pa	ge 150

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 36 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

1		12:49
2	Do you see that?	12:49
3	A I do.	12:49
4	Q And so that's an accurate description of your	12:49
5	recollection of one-on-one conversations with with	12:49
6	Anthony Levandowski pertaining to LiDAR?	12:49
7	A Yes.	12:49
8	Q So and then, directing your attention to	12:49
9	entry No. 906 or 905. Sorry.	12:49
10	A (Witness complies.)	12:49
11	Okay.	12:49
12	Q So 905, the date here is early 2017. And	12:49
13	again, it's the author is Mr. Levandowski, and the	12:49
14	recipient is you. And then the mode of communication	12:49
15	here is one-on-one conversations. And then subjects	12:49
16	discussed, it says:	12:50
17		
		12:50
20	Do you see that?	12:50
21	A I do.	12:50
22	Q And is that is that accurate that you	12:50
23	you have no more specific recollection about those	12:50
24	conversations?	12:50
25	A Correct.	12:50
	Pa	ıge 151

1 CERTIFICATE OF REPORTER 2 3 I, ANDREA M. IGNACIO, hereby certify that the 4 witness in the foregoing deposition was by me duly sworn to tell the truth, the whole truth, and nothing 5 6 but the truth in the within-entitled cause; That said deposition was taken in shorthand 7 by me, a disinterested person, at the time and place therein stated, and that the testimony of the said 8 witness was thereafter reduced to typewriting, by 9 computer, under my direction and supervision; 10 That before completion of the deposition, review of the transcript [x] was [] was not 11 requested. If requested, any changes made by the 12 deponent (and provided to the reporter) during the 13 period allowed are appended hereto. 14 I further certify that I am not of counsel or attorney for either or any of the parties to the said 15 deposition, nor in any way interested in the event of 16 this cause, and that I am not related to any of the 17 parties thereto. 18 19 Dated: August 18, 2017 20 21 22 2.3 24 ANDREA M. IGNACIO, 2.5 RPR, CRR, CCRR, CLR, CSR No. 9830

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UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF CALIFORNIA

SAN FRANCISCO DIVISION

WAYMO LLC

Plaintiff,

VS.

Case No. 17-cv-00939-WHA

UBER TECHNOLOGIES, INC.; OTTOMOTTO, LLC; OTTO TRUCKING LLC,

Defendants.

HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

VIDEO DEPOSITION OF DANIEL GRUVER

San Francisco, California

Friday, August 4, 2017

Volume II

REPORTED BY:

REBECCA L. ROMANO, RPR, CSR No. 12546

JOB NO. 2671821

PAGES 74 - 415

		Page 224
1	A. I don't recall.	01:35
2	Q. You were talking about the self-driving	
3	trucks, though, right?	
4	A. Correct.	
5	Q. What were the sensors that you were	01:35
6	discussing that you were going to use to make these	
7	self-driving trucks work?	
8	A. I don't recall discussing the technical	
9	details of how a self-driving truck would be	
10	executed at the time. The initial discussions were	01:35
11	the business model, potentially, of the of	
12	building the reasons for building a self-driving	
13	truck and the business model for autonomous	
14	trucking.	
15	Q. So there no discussions between you and	01:36
16	Mr. Levandowski or Ms. Morgan regarding the	
17	technology you would use, including LiDAR	
18	technology, for actually buildings these trucks?	
19	A. No.	
20	Q. True?	01:36
21	A. True.	
22	Q. Why did you decide to join this company?	
23	A. The idea	
24	Q. 280 Systems.	
25	A. Yes. That's fine.	01:36

		Page 250
1		
12	Q. Have you ever discussed beam spacing with	
13	Anthony Levandowski?	
14	A. Yes.	
15	Q. How many times have you discussed it with	02:08
16	him?	
17	A. I don't recall the number.	
18	Q. When was the first time you discussed	
19	beam spacing for LiDAR with Anthony Levandowski?	
20	A. I don't recall the specific discussion or	02:09
21	which would have been a first first specific	
22	discussion.	
23	Q. Can you tell me information from any of	
24	your conversations with Mr. Levandowski related to	
25	beam spacing?	02:09

		Page 251
1	A. I recall discussions likely involving	02:09
2	Anthony Levandowski about effective beam spacing of	
3	the MBR LiDAR system.	
4	I also recall discussions of effective	
5	beam spacing regarding the PBR LiDAR sensor.	02:09
6	I imagine there were discussions	
7	involving the early GBR spacing, but I don't	
8	recall and I have had discussions at while	
9	employed at Otto and Uber about effective beam	
10	spacing.	02:10
11	Q. Okay. And when is the first discussion	
12	you had, subsequent to your employment at Google,	
13	about beam spacing with Anthony Levandowski?	
14	A. Sorry. Can you rephrase that?	
15	Q. When is the first time you had a	02:10
16	discussion with Mr. Levandowski related to beam	
17	spacing after you ceased employment at Google?	
18	A. Sometime probably shortly after I started	
19	at 280 Systems. Possibly about effective so I	
20	I'll I'll clarify that if effective beam	02:10
21	spacing, meaning separation of points of	
22	adjacent points in a pulse LiDAR system.	
		02:10

		Page 290
Ŀ		
13	Q. Does it surprise you that Mr. Levandowski	
14	was involved at this level of detail in the beam	
15	spacing for a 64-beam sensor that Fuji is also a	03:22
16	64-beam sensor?	
17	MR. MUINO: Objection. Vague. Lacks	
18	foundation.	
19	THE DEPONENT: Sorry. Does it surprise	
20	that Anthony is involved?	03:23
21	Q. (By Mr. Jaffe) Well, let me let me	
22	actually ask a better question.	
23	Anthony Levandowski, as of late	
24	September 2016, he was working with Uber on beam	
25	angles for a 64-beam sensor, right?	03:23

		Page 291
1	MR. MUINO: Objection. Lacks foundation.	03:23
2	Calls for speculation.	
3	MS. WALSH: Objection. Form.	
4	THE DEPONENT: From Scott's email, I can	
5	infer that he was talking to Anthony about beam	03:23
6	spacing.	
7	Q. (By Mr. Jaffe) And he was providing	
8	specific input on the specifications for beam	
9	spacing for a self-driving car, right?	
10	MR. MUINO: Objection. Lacks	03:23
11	Q. (By Mr. Jaffe) For a LiDAR used in a	
12	self-driving car, excuse me.	
13	MR. MUINO: Objection. Lacks foundation.	
14	Calls for speculation.	
15	MS. WALSH: Objection. Form.	03:23
16	THE DEPONENT: Sorry. Anthony was having	
17	input in LiDAR, so can you repeat the question.	
18	Q. (By Mr. Jaffe) Yeah. There was a lot of	
19	objections there.	
20	Anthony was providing specific input on	03:24
21	the specifications for beam straight beam	
22	spacing for LiDAR used in a self-driving car by	
23	Uber, right?	
24	MR. MUINO: Objection. Foundation.	
25	Speculation.	03:24

		Page 292
1	MS. WALSH: Same objection.	03:24
2	THE DEPONENT: Anthony was, from this	
3	email, suggesting, requesting a elevation	
4	separation.	
5	Q. (By Mr. Jaffe) So the answer to my	03:24
6	question, though, is yes, isn't it?	
7	MR. MUINO: Same objections.	
8	THE DEPONENT: I sorry. Anthony was	
9	providing input on specific	
10	Q. (By Mr. Jaffe) Specifications for beam	03:24
11	spacing for LiDAR used in a self-driving car by	
12	Uber, correct?	
13	A. Yes.	
14	MR. MUINO: Objection. Foundation and	
15	speculation.	03:25
16	THE DEPONENT: That appears to be so.	
17	Q. (By Mr. Jaffe) Okay. You can put that	
18	aside.	
19	Your last day at Google was January 19th,	
20	2016, right?	03:25
21	A. That sounds roughly correct.	
22	Q. So if you were if you were paid your	
23	bonus from the bonus plan on July 20th, 2016, it	
24	wasn't late, right?	
25	A. That sounds right.	03:25
1		

```
Page 351
                                                                 05:08
 1
               MR. JAFFE: You -- you don't know?
               MR. MUINO: I'm not aware of it. Yeah,
 2
     I'm not saying we didn't. That may be the case.
 3
     I'm just not sure.
 4
 5
               MR. JAFFE: Okay. All right. Let's mark
                                                                05:08
     as Exhibit -- Exhibit 518 a document Bates-labeled
 7
     UBER00177353.
               (Exhibit 518 was marked for
 8
     identification by the court reporter and is
                                                                 05:09
     attached hereto.)
10
               (By Mr. Jaffe) The -- Mr. Gruver,
11
          0.
12
     looking at Exhibit 518 --
13
          Α.
              Uh-huh.
14
              -- the first time stamp on here is
          Q.
                                                                 05:09
15
     January 13th, 2017.
16
               Do you see that?
17
          Α.
              Yes.
18
          0.
              And at that point Uber had acquired Otto,
19
     and Anthony Levandowski was head of Uber
     self-driving program, right?
                                                                 05:09
20
21
          Α.
             Right.
22
               And at this point you were texting
23
     Anthony Levandowski information about the die/wire
24
     bonded -- well, let me ask you.
25
               Is the first text here dated
                                                                 05:10
```

```
Page 352
                                                                 05:10
     January 13th, 1 -- 2017, is that about Fuji?
 1
 2
          Α.
               Yes.
 3
               Why were you providing
     Anthony Levandowski an update as to the TX board in
 4
                                                                 05:10
 5
     Fuji on January 13th, 2017?
          Α.
               He had expressed interest in our
 7
     progress. It was -- it was, to my understanding, a
 8
     common thing for teams to report to him progress
     and things just as a -- to keep him up -- aware, up
     to date on the general progress of the self-driving
                                                                 05:10
10
11
     program.
12
          0.
               So Mr. Levandowski was interested in the
13
     progress of Fuji.
14
          Α.
               Yes.
15
          0.
              And so you were texting him information
                                                                 05:10
     about how you had a full TX board that works well,
16
17
     here in January 13th, 2017.
18
          Α.
               That is what the text says.
19
               And if you continue through the thread or
          0.
                                                                 05:11
20
     the next page, he asks to send a picture, right?
21
          Α.
               Yes.
22
               Why did Mr. Levandowski want a picture of
23
     the TX board in Fuji in January of 2017?
24
          Α.
               So I'm -- I'm unclear what he meant by
25
     "Send me a pic." He doesn't reference which
                                                                 05:11
```

```
Page 356
               That -- I -- I don't recall what I
                                                                05:16
 1
          Α.
 2
     understood from that text message in January.
               Okay. But the two interpretations you
 3
          0.
     have sitting here today is he's either talking
 4
     about watching you and the LiDAR team test all the
                                                                05:16
     pieces of Fuji or seeing Fuji actually work; is
     that fair?
 7
               It could reference either of them.
               Is there any third thing that it could
                                                                 05:16
     reference?
10
11
              I -- let's see.
          Α.
12
               My inference is he's referring to one of
13
     my previous text messages that we -- something will
     work and that we'll have pieces to test.
14
15
               MR. JAFFE: All right. This will be
                                                                 05:17
     Exhibit 519. It's UBER00177373.
16
               (Exhibit 519 was marked for
17
18
     identification by the court reporter and is
19
     attached hereto.)
             (By Mr. Jaffe) Mr. Gruver, Exhibit 519
                                                                05:17
20
          0.
21
     is a text that you sent Mr. Levandowski on
22
     January 27th, 2017, right?
23
          Α.
               Yes.
24
          Q.
               And you said, "Lunch laser meeting?"
25
          Α.
               Yes.
                                                                 05:17
```

1 Q. Why did you text Anthony Levandowski, the 2 head of Uber self-driving program at the time, and 3 request a lunch laser meeting? 4 A. I I believe we had a scheduled 5 something like biweekly team meeting with Anthony. 6 And so I believe asking if he was going to attend 7 said meeting. 8 Q. And why were you texting him this instead 9 of Mr. Haslim, who was kind of in between you two 10 in the reporting structure? 11 A. I don't know if I was the only one 12 texting him, but I was hm. 13 So if I recall, the meeting was scheduled 14 by these meetings were put together by another 15 engineer, a mechanical engineer. But he was 16 potentially not yet at the meeting, and it may have 17 come up that someone was asking where he was. And 18 if we were all together, someone may have 19 volunteered or I may have volunteered to text him. 20 Q. What what are these meetings called, 21 that you mentioned? 22 A. I don't remember the name of them. 23 Q. But is it biweekly? 24 A. I think it was in it was possibly in			Page 357
A. I I believe we had a scheduled 5 something like biweekly team meeting with Anthony. 05:18 6 And so I believe asking if he was going to attend 7 said meeting. 8 Q. And why were you texting him this instead 9 of Mr. Haslim, who was kind of in between you two 10 in the reporting structure? 05:18 11 A. I don't know if I was the only one 12 texting him, but I was hm. 13 So if I recall, the meeting was scheduled 14 by these meetings were put together by another 15 engineer, a mechanical engineer. But he was 05:18 16 potentially not yet at the meeting, and it may have 17 come up that someone was asking where he was. And 18 if we were all together, someone may have 19 volunteered or I may have volunteered to text him. Q. What what are these meetings called, 05:18 21 that you mentioned? 22 A. I don't remember the name of them. Q. But is it biweekly? A. I think it was in it was possibly in	1	Q. Why did you text Anthony Levandowski, the	05:17
A. I I believe we had a scheduled 5 something like biweekly team meeting with Anthony. 05:18 6 And so I believe asking if he was going to attend 7 said meeting. 8 Q. And why were you texting him this instead 9 of Mr. Haslim, who was kind of in between you two 10 in the reporting structure? 05:18 11 A. I don't know if I was the only one 12 texting him, but I was hm. 13 So if I recall, the meeting was scheduled 14 by these meetings were put together by another 15 engineer, a mechanical engineer. But he was 05:18 16 potentially not yet at the meeting, and it may have 17 come up that someone was asking where he was. And 18 if we were all together, someone may have 19 volunteered or I may have volunteered to text him. 20 Q. What what are these meetings called, 05:18 21 that you mentioned? 22 A. I don't remember the name of them. 23 Q. But is it biweekly? 24 A. I think it was in it was possibly in	2	head of Uber self-driving program at the time, and	
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And so I believe asking if he was going to attend said meeting. O. And why were you texting him this instead of Mr. Haslim, who was kind of in between you two in the reporting structure? A. I don't know if I was the only one texting him, but I was hm. So if I recall, the meeting was scheduled by these meetings were put together by another engineer, a mechanical engineer. But he was potentially not yet at the meeting, and it may have come up that someone was asking where he was. And if we were all together, someone may have volunteered or I may have volunteered to text him. O. What what are these meetings called, that you mentioned? A. I don't remember the name of them. O. But is it biweekly? A. I think it was in it was possibly in	4	A. I I believe we had a scheduled	
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of Mr. Haslim, who was kind of in between you two in the reporting structure? 1. A. I don't know if I was the only one 1. texting him, but I was hm. 1. So if I recall, the meeting was scheduled 1. by these meetings were put together by another 1. engineer, a mechanical engineer. But he was 1. potentially not yet at the meeting, and it may have 1. come up that someone was asking where he was. And 1. if we were all together, someone may have 1. volunteered or I may have volunteered to text him. 2. Q. What what are these meetings called, 2. that you mentioned? 2. A. I don't remember the name of them. 2. Q. But is it biweekly? 2. A. I think it was in it was possibly in	7	said meeting.	
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volunteered or I may have volunteered to text him. Q. What what are these meetings called, that you mentioned? A. I don't remember the name of them. But is it biweekly? A. I think it was in it was possibly in	17	come up that someone was asking where he was. And	
20 Q. What what are these meetings called, 05:18 21 that you mentioned? 22 A. I don't remember the name of them. 23 Q. But is it biweekly? 24 A. I think it was in it was possibly in	18	if we were all together, someone may have	
that you mentioned? A. I don't remember the name of them. Q. But is it biweekly? A. I think it was in it was possibly in	19	volunteered or I may have volunteered to text him.	
22 A. I don't remember the name of them. 23 Q. But is it biweekly? 24 A. I think it was in it was possibly in	20	Q. What what are these meetings called,	05:18
Q. But is it biweekly? A. I think it was in it was possibly in	21	that you mentioned?	
24 A. I think it was in it was possibly in	22	A. I don't remember the name of them.	
	23	Q. But is it biweekly?	
	24	A. I think it was in it was possibly in	
25 every other week. 05:19	25	every other week.	05:19

		Page 359
1	Q. It's about, what, six excuse me	05:20
2	four hours later?	
3	A. Yes.	
		05 : 21
16	Q. But why send this to to Anthony?	
17	A. Because he was interested in the progress	
18	of our LiDAR R & D.	
19	Q. I see. So this is you Exhibit 520 is	
20	you updating Anthony Levandowski on the the	05 : 21
21	progress of the Fuji LiDAR.	
22	A. Yes.	
		05 : 21

		Page 362
1	Q. (By Mr. Jaffe) Exhibit 521, this is a	05:24
2	text message from you to Mr. Levandowski, dated	
3	March 10th, 2017.	
4	A. Uh-huh.	
5	Q. And it says, "We have a point cloud."	05:24
6	Do you see that?	
7	A. I do.	
8	Q. What are you referring to there?	
9	A. Referring to well, data some amount	
10	of timing data on on a prototype Fuji system.	05:25
11	So transmit, receive, and some amount of clocking	
12	of that.	
13	And, in general, a point cloud is a	
14	description of commonly used description of data	
15	from a LiDAR system.	05:25
16	Q. And the next on the next page,	
17	Mr. Levandowski responds, "Yesssss," with about	
18	five Ss.	
19	A. Yeah, that is correct.	
20	Q. And he then further responds, "That's	05:25
21	amazing timing."	
22	Do you see that?	
23	A. I see that.	
24	Q. Do you know what he's referring to with	
25	"amazing timing"?	05 : 25

	Page 371
he same time.	05:39
So I will say that my answer for 541	
ould be the same as line 540, that I don't recall	
hat, if any, information Anthony provided to the	
eeting on 541.	05:39
Q. And then looking at 542 and 543, do you	
ave any opinion whether those are duplicates?	
A. LiDAR standup meeting those appear to	
e, yeah, both duplicates of 540 and 541.	
Q. 550	05:39
A. 550.	
Q this is November 3rd, 2016. What, if	
ny, information did Anthony Levandowski provide	
bout LiDAR?	
A. Meeting with third-party at	05:40
:00 p.m.	
I believe 550 was an initial meeting with	
which is a third-party LiDAR vendor, to	
ain information about capabilities of their system	
nd its possible use to aid our self-driving car	05:40
rogram.	
Q. Okay. Let's go to well, did did	
nthony disclose any design feedback or LiDAR	
lesign techniques at that meeting?	
A. I don't recall him disclosing any design	05:40
	So I will say that my answer for 541 ould be the same as line 540, that I don't recall hat, if any, information Anthony provided to the eeting on 541. Q. And then looking at 542 and 543, do you ave any opinion whether those are duplicates? A. LiDAR standup meeting those appear to e, yeah, both duplicates of 540 and 541. Q. 550 A. 550. Q this is November 3rd, 2016. What, if ny, information did Anthony Levandowski provide bout LiDAR? A. Meeting with third-party at :00 p.m. I believe 550 was an initial meeting with which is a third-party LiDAR vendor, to ain information about capabilities of their system nd its possible use to aid our self-driving car rogram. Q. Okay. Let's go to well, did did nthony disclose any design feedback or LiDAR esign techniques at that meeting?

	Page 374
line 837.	05:44
MR. MUINO: What's the total time on the	
record at this point?	
THE VIDEOGRAPHER: Six hours and 32	
minutes.	05:44
Q. (By Mr. Jaffe) 877.	
A. 877, this is the line, early May early	
May 2016 meeting with Anthony Levandowski regarding	
development of LiDAR sensor.	
Q. What happened at the meeting described	05:45
here in that entry 877?	
A. I believe this is near or about the my	
introduction to Scott Boehmke and some of the	
previously discussed maybe ways or means or	
features desired from Uber in a LiDAR system for	05:45
their self-driving vehicle.	
Q. What information regarding LiDAR	
technology did Anthony Levandowski provide in this	
meeting reflected as entry 877?	
A. I don't recall specifics of information	05:46
that Anthony might have provided about LiDAR	
technology in the meeting on line 877.	
Q. Sitting here today, can you provide me	
any more details about Anthony Levandowski's	
contribution to the meeting described here at	05:46
	MR. MUINO: What's the total time on the record at this point? THE VIDEOGRAPHER: Six hours and 32 minutes. Q. (By Mr. Jaffe) 877. A. 877, this is the line, early May early May 2016 meeting with Anthony Levandowski regarding development of LiDAR sensor. Q. What happened at the meeting described here in that entry 877? A. I believe this is near or about the my introduction to Scott Boehmke and some of the previously discussed maybe ways or means or features desired from Uber in a LiDAR system for their self-driving vehicle. Q. What information regarding LiDAR technology did Anthony Levandowski provide in this meeting reflected as entry 877? A. I don't recall specifics of information that Anthony might have provided about LiDAR technology in the meeting on line 877. Q. Sitting here today, can you provide me any more details about Anthony Levandowski's

		Page 409
10	Q. (By Mr. Muino) But you're aware that in	06:43
11	this case Waymo alleges that Mr. Levandowski	
12	downloaded and stole certain files from Waymo?	
13	A. I'm aware of the allegations.	
14	MR. JAFFE: Objection. Leading.	
15	Q. (By Mr. Muino) At any point after the	06:44
16	lawsuit was filed, do you recall a a meeting of	
17	Uber employees on the subject of the litigation?	
18	A. Yes.	
19	Q. What was the first meeting that you	
20	recall of that kind?	06:44
21	A. There was a meeting attended by Anthony,	
22	Travis and I believe Angela, the head counsel for	
23	Uber, discussing what we could expect next	
24	potentially and Uber's stance on it.	
25	And there was discussion of our LiDAR	06:44

		Page 410
1	systems to date that we had developed and kind of	06:44
2	present them to ATG as sort of a a a kind of	
3	presentation of what we had done and showing the	
4	expansive of work it had taken us to get to the	
5	progress we made to that point.	06:44
6	Q. At that meeting did Mr. Levandowski	
7	address the allegations that he had taken files?	
8	MR. JAFFE: Object to form. And leading.	
9	THE DEPONENT: I don't recall	
10	specifically what he said about about addressing	06:45
11	the files or about them.	
12	Q. (By Mr. Muino) Okay. Apart from that	
13	meeting, were there any other meetings that you	
14	recall at which Mr. Levandowski addressed the	
15	subject of the litigation?	06:45
16	A. I don't recall specific meetings or	
17	subjects of them.	
18	MR. MUINO: Okay. No further questions.	
19	THE VIDEOGRAPHER: Going off the record.	
20	The time is 6:45.	06:45
21	(Recess taken.)	
22	THE VIDEOGRAPHER: We are back on the	
23	record. The time is 6:59.	
24	MR. MUINO: Before you start, Counsel, I	
25	just want to mark the record highly confidential,	06:59

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I, Rebecca L. Romano, a Certified Shorthand 1 Reporter of the State of California, do hereby 2 3 certify: That the foregoing proceedings were taken 4 before me at the time and place herein set forth; 5 that any witnesses in the foregoing proceedings, 6 prior to testifying, were administered an oath; that a record of the proceedings was made by me 8 using machine shorthand which was thereafter 9 transcribed under my direction; that the foregoing 10 transcript is true record of the testimony given. 11 Further, that if the foregoing pertains to the 12 original transcript of a deposition in a Federal 13 Case, before completion of the proceedings, review 14 of the transcript [] was [X] was not requested. 15 I further certify I am neither financially 16 interested in the action nor a relative or employee 17 of any attorney or any party to this action. 18 IN WITNESS WHEREOF, I have this date 19 20 subscribed my name. 21 Dated: August 5, 2017 22 23 24 Rebecca L. Romano, RPR, CSR. No 12546 25

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1	
1	UNITED STATES DISTRICT COURT
2	NORTHERN DISTRICT OF CALIFORNIA
3	SAN FRANCISCO DIVISION
4	
	WAYMO LLC,
5	
	Plaintiff,
6	Case
	vs. No. 3:17-cv-00939-WHA
7	
	UBER TECHNOLOGIES, INC.;
8	OTTOMOTTO LLC; OTTO TRUCKING LLC,
9	Defendants,
	/
10	
11	
12	
13	
14	
15	HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY
16	VIDEOTAPED DEPOSITION OF JAMES HASLIM
17	VOLUME II
18	THURSDAY, MAY 4, 2017
19	
20	
21	
22	Reported by:
23	Anrae Wimberley
24	CSR No. 7778
25	Job No. 2610396
	Page 113

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1	Q. Do you remember anything else from	10:45:41
2	Mr. Levandowski in that conversation, anything else he	10:45:44
3	said about LiDAR or anything?	10:45:47
4	A. No. No.	10:45:48
5	Q. When is the next conversation you had?	10:45:50
6	A. I have no recollection of what the next	10:45:56
7	conversation was.	10:45:57
8	Q. When is the next conversation that you	10:45:59
9	recall?	
10	MR. KIM: Objection; form.	10:46:03
11	THE WITNESS: I can't recall when these	10:46:05
12	conversations took place. I would say we had dinner	10:46:10
13	occasionally, and he would generally just ask how	10:46:14
14	we're doing.	10:46:15
15	BY MR. JAFFE:	10:46:15
16	Q. When is the next conversation that you can	10:46:21
17	recall with Mr. Levandowski where you talked about	10:46:25
18	LiDAR techniques?	10:46:28
19	MR. KIM: Objection; form.	10:46:42
20	THE WITNESS: At this point, I'm leaning towards	10:46:44
21	saying when I joined Otto.	10:46:46
22	BY MR. JAFFE:	
23	Q. Okay. So after you joined Otto, what was the	10:46:50
24	first conversation you had with Mr. Levandowski about	10:46:53
25	LiDAR?	10:46:54
	Pag	ge 139

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1	A. It would have been something to the nature	10:47:01
2	that we need to take possibly take the Owl design	10:47:09
3	and convert that into a multichannel LiDAR sensor that	10:47:16
4	could be used on autonomous vehicles.	10:47:18
5	Q. And what did Mr. Levandowski say?	10:47:23
6	A. I think he wanted to know what the plan would	10:47:27
7	be. I had started had sometime in that time frame	10:47:33
8	started working on a CAD model for a design I was	10:47:36
9	going to propose. And at that time or at a later	10:47:45
10	time, we started to discuss two different design	10:47:49
11	approaches that looked promising to take.	10:47:53
12	Q. What were those two approaches?	10:47:55
13	A. One approach was to take the Owl and somehow	10:48:00
14	multiply the channels to get a multichannel LiDAR that	10:48:07
15	could be used on a truck. The other approach was to	10:48:10
16	basically take the Velodyne style of design and build	10:48:14
17	a sensor in that approach.	10:48:19
18	Q. Before we move forward with those two, you	10:48:25
19	said you had built a CAD design for something that you	10:48:29
20	were going to propose.	10:48:30
21	A. Yes.	10:48:30
22	Q. What was the name of that, just for purposes	10:48:34
23	of our conversation?	10:48:35
24	A. It had no name. Perhaps if I described it.	10:48:39
25	Q. Sure.	10:48:40
	Рас	ge 140

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1	A. It was I intended to design a LiDAR sensor	10:48:47
2	similar to the Owl, based on the design of the Owl	10:48:51
3	optical cavity. It was a bistatic LiDAR design. It	10:48:57
4	would incorporate eight laser sources that would	10:49:00
5	transmit out a transmit lens.	10:49:03
6	It would have eight avalanche photodiodes	10:49:08
7	that would receive through the receive lines. That	10:49:14
8	would project onto a mirror that could spin that could	10:49:18
9	help scan those beams. It was intended in my mind to	10:49:26
10	augment something like a Velodyne sensor to provide a	10:49:29
11	longer range, tighter packed field of view.	10:49:32
12	Q. So what you were coming up with was a	10:49:36
13	long-range sensor that would supplement a mid-range	10:49:42
14	sensor on a self-driving car; is that fair?	10:49:46
15	MR. KIM: Objection; form.	10:49:47
16	THE WITNESS: It was meant to supplement the	10:49:49
17	Velodyne-type sensor, yes.	10:49:53
18	BY MR. JAFFE:	10:49:53
19	Q. Did you have any discussions with	10:49:54
20	Mr. Levandowski in coming up with that design?	10:49:59
21	A. I don't recall having any discussion with	10:50:08
22	Anthony Levandowski regarding this design. I want to	10:50:11
23	say that idea came from earlier talks with Eric	10:50:15
24	Meyhofer and Scott Boehmke when they visited. At that	10:50:20
25	time, Brent Schwarz was proposing to them that we had	10:50:28
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1	a sensor that was capable of long-range performance	10:50:31
2	and that they would need a sensor for long-range	10:50:35
3	viewing on an autonomous vehicle.	10:50:40
4	And so our angle with Uber at the time was we	10:50:44
5	think we can build such a sensor, but we're not	10:50:47
6	working on it right now. Our company is open for	10:50:51
7	acquisition.	10:50:55
8	Q. So the sensor that you were coming up with,	10:51:00
9	that was going to be a bistatic design; right?	10:51:03
10	A. Yes.	10:51:05
11	Q. At some point, Spider came about and	10:51:12
12	transformed it to a monostatic design; right?	10:51:15
13	A. True.	10:51:17
14	Q. Do you know who was responsible for the	10:51:19
15	change from what you were coming up with, which was a	10:51:22
16	bistatic design, to the monostatic design in Spider?	10:51:26
17	A. I don't recall who among the team was	10:51:34
18	involved in our conversations first to move away from	10:51:39
19	supplemental design to one design that would cover all	10:51:44
20	the way from directly in front of the vehicle out to	10:51:47
21	long range. But that was a decision that was made	10:51:50
22	that pretty much negated the proposal I had made of	10:51:56
23	using a tight-packed purely long-range sensor.	10:52:00
24	Q. So you shifted into the passive voice there.	10:52:05
25	You're talking about who is making these	10:52:07
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1	decisions?	10:52:08
2	A. Exactly. I'm trying to recall. I don't	10:52:10
3	know, of all the people that were involved, who was in	10:52:14
4	those conversations. So it would include me. It	10:52:17
5	would include most likely Anthony Levandowski. I	10:52:23
6	believe it would also include Daniel Gruver. And I'm	10:52:28
7	not sure if there's anyone else.	10:52:30
8	Q. And do you know, in the context of those	10:52:35
9	communications, who just said, Hey, James, your design	10:52:44
10	looks great, but we're going to go with the monostatic	10:52:46
11	design and we think it's better?	10:52:50
12	MR. KIM: Objection; form.	10:52:50
13	THE WITNESS: The monostatic design that uses one	10:52:56
14	lens for transmit and receive, I don't know who came	10:52:59
15	up with that. At some point I saw it, seemed okay to	10:53:05
16	me, it seemed compact, let's use it.	10:53:09
17	BY MR. JAFFE:	10:53:09
18	Q. So you don't know you have no information	10:53:12
19	of who came up with the monostatic design in Spider?	10:53:15
20	A. True.	10:53:16
21	Q. Okay. So we were still going back to our	10:53:25
22	chron of conversations with Mr. Levandowski, when is	10:53:28
23	the next conversation that you had with	10:53:31
24	Mr. Levandowski about LiDAR that you can recall?	10:53:34
25	A. It's very hard for me to recall specific	10:53:43
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1	conversations, especially in sequence. At this point,	10:53:47
2	I report to Anthony Levandowski.	10:53:50
3	Q. And just for purposes of the record, when	10:53:52
4	you're talking about "this point," what date are you	10:53:54
5	talking about?	10:53:55
6	A. I'm talking about immediately following	10:53:56
7	Tyto's acquisition by Otto or I should say Otto's	10:54:03
8	acquisition of Tyto. We joined at that time, I	10:54:08
9	reported to Anthony Levandowski. There would be	10:54:12
10	regular staff meetings. Since my team is working on	10:54:19
11	LiDAR, LiDAR would definitely come up in conversations	10:54:22
12	with him, at that point, on a probably fairly routine	10:54:25
13	basis, like weekly basis.	10:54:28
14	Q. And what did you and Mr. Levandowski discuss?	10:54:31
15	A. Progress, approach, schedule or timing,	10:54:39
16	volumes.	10:54:41
17	Q. Can you tell me any more specifics about the	10:54:44
18	routine and regular conversations you were having with	10:54:48
19	Mr. Levandowski about LiDAR?	10:54:49
20	A. He would ask about what the design was	10:54:56
21	looking like, how we were approaching it. Beyond	10:55:00
22	that, I don't recall specifics of our conversations.	10:55:03
23	Q. So sitting here today, in this time period	10:55:06
24	that you're talking about, after you joined Otto in	10:55:10
25	May of 2016, you would have regular conversations with	10:55:15
	Pag	ge 144

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1	Mr. Levandowski about LiDAR, but you can't recall any	10:55:18
2	specifics of those conversations; is that fair?	10:55:21
3	A. That's fair to say I cannot recall beyond the	10:55:26
4	details I already told you.	10:55:28
5	Q. I see.	10:55:29
6	When is the next moving forward in time	10:55:34
7	here, when is the next substantive conversation with	10:55:38
8	Mr. Levandowski about LiDAR that you recall?	10:55:40
9	A. I don't know.	10:55:56
10	Q. You don't know?	10:55:57
11	A. I don't know.	10:55:57
12	Q. I'm not trying to do a memory test here. If	10:56:02
13	there's just too many conversations for you to recall,	10:56:05
14	that's fine, and you can just tell me that. But	10:56:08
15	otherwise I'm just going to keep asking.	10:56:10
16	MR. KIM: Objection; form.	10:56:10
17	THE WITNESS: Most of our conversations, that is	10:56:21
18	between me and Anthony Levandowski, were not	10:56:24
19	substantive in LiDAR design per se. So I'm having a	10:56:30
20	hard time remembering further conversations or	10:56:35
21	specifics.	10:56:35
22	Most of the time, he wanted to know where	10:56:38
23	we were in our progress, and he may have asked	10:56:41
24	what the design was shaping up like.	10:56:44
25	I do recall one more.	10:56:49
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1	He was visiting Uber. He got me on the phone	10:56:56
2	and was starting to describe using eight fiber	10:57:02
3	lasers that's right eight fiber lasers,	10:57:08
4	splitting their outputs to multiply the number of	10:57:12
5	channels and then routing a fiber from each fiber	10:57:17
6	laser into a number of optical cavities.	10:57:24
7	There was also, at that time frame, a	10:57:26
8	document published or shared with the team. I think	10:57:31
9	that came from Scott Boehmke. So this would be	10:57:38
10	substantive in terms of shaping up what Spider would	10:57:43
11	eventually become.	10:57:44
12	BY MR. JAFFE:	10:57:44
13	Q. And you said Mr. Levandowski called you from	10:57:48
14	Uber in Pittsburgh; is that right?	10:57:53
15	A. My understanding he was either at Uber or in	10:57:55
16	transit to or from Uber in Pittsburgh.	10:57:58
17	Q. Approximately what time period was this?	10:58:01
18	A. This would be relatively early in the	10:58:04
19	development of the Spider. Beyond that, I would defer	10:58:08
20	to e-mails. I don't remember.	10:58:10
21	Q. When you say you would "defer to e-mails,"	10:58:12
22	are there e-mails about this conversation?	10:58:15
23	A. There were e-mails I should say there was	10:58:19
24	an e-mail with a document that was published that	10:58:24
25	contained the substance of what he was describing.	10:58:27
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1	All right. Are there any other substantive	11:02:40
2	conversations regarding LiDAR with Mr. Levandowski	11:02:43
3	that you can recall?	11:02:47
4	MR. KIM: Objection; form.	11:02:50
5	THE WITNESS: I'm not sure I would consider the	11:03:11
6	pivot to Fuji a conversation that was substantive, but	11:03:18
7	he did provide input into the Fuji and that he wanted	11:03:26
8	to make sure it first operated as well or better than	11:03:33
9	Velodyne and suggested that we ignore concerns from	11:03:38
10	the Pittsburgh office regarding size and weight and	11:03:41
11	not to be constrained by that.	11:03:43
12	BY MR. JAFFE:	11:03:43
13	Q. Anything else?	11:03:44
14	A. I don't recall.	11:03:52
15	Q. Just to be clear, is there any other	11:04:00
16	conversation that you had with Mr. Levandowski about	11:04:04
17	LiDAR design that you can recall, sitting here today?	11:04:10
18	MR. KIM: Objection; form.	11:04:11
19	THE WITNESS: I don't recall any more.	11:04:48
20	BY MR. JAFFE:	11:04:48
21	Q. No more?	11:04:53
22	A. I don't recall any more. I'm sorry.	11:04:56
23	Q. And this is apart from the regular	11:04:58
24	conversations that you had with Mr. Levandowski	11:05:00
25	regarding status and updates; right?	11:05:03
	Рас	ge 150

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1	A. 153.	15:10:47
2	Probably would have included Florin	15:10:59
3	Ignatescu. I don't recall if Adam had joined by then.	15:11:08
4	Would have included Asheem Linaval, Tri Luong, Nancy	15:11:25
5	Sun. I think that would be it. And like I said,	15:11:36
6	possibly Anthony. This is best of my recollection who	15:11:40
7	should have been or could have been on that list.	15:11:42
8	Q. So Exhibit 159 here is an e-mail from	15:11:45
9	Mr. Levandowski to Mr. Boehmke. And it says	15:11:47
10	Mr. Levandowski says, "	
12	Do you see that?	15:11:55
13	A. I see that.	15:11:56
14	Q. Do you know what that refers to?	15:11:57
15	Α.	
		15:12:06
17	Q. What does refer to, though?	15:12:10
18	A. It's a wavelength of light for a laser diode.	15:12:14
19	Q. So Mr. Levandowski is referring to a	15:12:16
20	diode-based LiDAR design here; right?	15:12:18
21	A. Yes.	15:12:20
22	Q. And one of the parts of that design was going	15:12:22
23	to be Gaetan Pennecot's FAC lens; right?	15:12:27
24	A. Yes.	15:12:27
25	Q. And he was already working with Mr. Boehmke	15:12:34
	Pag	ge 266
		l.

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1	Q. Let me ask a different question.	15:30:07
2	If you wanted to have a point on the road	15:30:09
3	every 10 feet going out to 150 feet, is that an idea	15:30:13
4	that you guys came up with at Velodyne?	15:30:17
5	A. That I don't recall that idea at Velodyne,	15:30:20
6	no.	15:30:20
7	Q. Even though you guys were designing LiDARs	15:30:24
8	for self-driving cars?	15:30:26
9	A. We were designing LiDARs for mapping,	15:30:29
10	self-driving cars, robots. So, yes.	15:30:34
11	Q. And when you came to Otto, who came up with	15:30:40
12	the idea of wanting to get hit a point every 10	15:30:49
13	feet on the road for every 150 feet? Or that concept,	15:30:53
14	where did that come from?	15:30:55
15	A. The vertical angles and the concepts behind	15:31:01
16	them I believe, my understanding was, came through	15:31:04
17	Scott Boehmke.	15:31:05
18	Q. Anyone else?	15:31:06
19	A. I'm not aware of anyone else.	15:31:08
20	Q. And you know that Scott Boehmke was talking	15:31:11
21	with Anthony Levandowski about LiDAR design when you	15:31:15
22	were joining; right?	15:31:16
23	A. Shortly after I joined, we have e-mails that	15:31:18
24	show that they were talking about LiDAR, yes.	15:31:21
25	Q. And, in particular, the e-mail that we were	15:31:26
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1	just looking at, for example, shows that	15:31:30
2	Mr. Levandowski and Mr. Boehmke were talking about how	15:31:35
3	to implement 64 beams and the angles for each of	15:31:39
4	those; right?	15:31:40
5	A. They were talking about that topic, yes.	15:31:45
6	Q. And they were talking about that because	15:31:47
7	Mr. Levandowski was working with Mr. Boehmke on the	15:31:50
8	beam angles; right?	15:31:52
9	A. Well, you're making it sound like Anthony was	15:31:57
10	working on the beam angles. So I want to just be	15:32:01
11	clear. I don't know that he actually worked on beam	15:32:04
12	angles, but it does seem that he was asking Scott	15:32:07
13	Boehmke to design angles based on certain	15:32:10
14	manufacturing restrictions.	15:32:12
15	Q. And where do those manufacturing restrictions	15:32:14
16	come from?	15:32:17
17	A. It could have involved a discussion with	15:32:22
18	Anthony Levandowski, but it also included Dan Gruver	15:32:27
19	and myself. Maybe Gaetan Pennecot; I'm not sure.	15:32:32
20	Q. So going back to Mr. Levandowski's e-mail.	15:32:42
21	A. Yes.	15:32:43
22	Q. Gives Option A and Option B.	15:32:48
23	A. Yes.	
24	Q. Option A,	
		15:32:54
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CERTIFICATE OF DEPOSITION OFFICER

I, ANRAE WIMBERLEY, CSR NO. 7778, duly authorized to administer oaths pursuant to Section 8211 of the California Code of Civil Procedure, hereby certify that the witness in the foregoing deposition was by me sworn to testify to the truth, the whole truth and nothing but the truth in the within-entitled cause; that said deposition was taken at the time and place therein stated; that the testimony of said witness was reported by me and was thereafter transcribed by me or under my direction by means of computer-aided transcription; that the foregoing is a full, complete and true record of said testimony; and that the witness was given an opportunity to read and correct said deposition and to subscribe same.

I further certify that I am not of counsel or attorney for either or any of the parties in the foregoing deposition and caption named, nor in any way interested in the outcome of the cause named in said caption.

IN WITNESS WHEREOF, I have hereunto subscribed by my hand this 5th day of May, 2017.

Ansao Ulimberley

ANRAE WIMBERLEY, CSR NO. 7778

2.1

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1
                 UNITED STATES DISTRICT COURT
 2
               NORTHERN DISTRICT OF CALIFORNIA
 3
                    SAN FRANCISCO DIVISION
 4
 5
                                     )
     WAYMO LLC,
 6
                   Plaintiff,
                                     ) Case No.
 7
                                     ) 3:17-cv-000939-WHA
                      vs.
 8
     UBER TECHNOLOGIES, INC.;
     OTTOMOTTO LLC; OTTO TRUCKING, )
 9
     INC.,
10
                   Defendants.
11
12
13
      *** HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY ***
14
15
            VIDEOTAPED DEPOSITION OF JAMES HASLIM
                   San Francisco, California
16
17
                   Wednesday, August 9, 2017
                           Volume III
18
19
20
21
     Reported by:
22
     CARLA SOARES
     CSR No. 5908
23
     Job No. 2675900
24
25
     Pages 404 - 724
                                                  Page 404
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1	general technical input, did you ever get into the	12:36:51
2	specifics of design features?	
3	A No, not that I recall.	
4	Q And how were these communications	
5	strike that.	12:37:09
6	What form did these communications with	
7	Mr. Levandowski take?	
8	A Verbal communication, maybe a white	
9	board.	
10	Q E-mails?	12:37:25
11	A I don't recall that, no.	
12	Q Text messages?	
13	A I don't recall that.	
14	MR. SCHMIDT: I'll mark the next exhibit	
15	in order. This is going to be Exhibit 570.	12:37:43
16	(Exhibit 570 was marked for identification	
17	and is attached hereto.)	
18	BY MR. SCHMIDT:	
19	Q Mr. Haslim, I've placed before you the	
20	next exhibit in order. This is marked as	12:38:02
21	Exhibit 570, and this looks to be a text message	
22	from you sent to Anthony Levandowski on	
23	September 10th, 2012.	
24	Do you see that?	
25	A Yes.	12:38:17
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1	Q And then the subsequent conversations	12:38:17
2	the subsequent text messages look like they might be	
3	the next in a series on the same date.	
4	Do you see that?	
5	A Yeah, I guess I see the times look the	12:38:35
6	same day.	
7	Q And focusing your attention on the	
8	document ending in Bates No. 199104, the first	
9	page	
10	A Okay.	12:38:53
11	Q you say to Mr. Levandowski, "Good	
12	shape," and then you recite a series of what look to	
13	be technical parameters.	
14	Do you see that?	
15	A Yes.	12:39:03
16	Q Do you know what you were referencing	
17	here?	
18	A I vaguely recall I was referencing a	
19	performance of some avalanche photodiode output	
20	between shade and sunlight.	12:39:21
21	Q And what device was this in relation to?	
22	A What do you mean by "device"?	
23	Q Was this conversation relating to the	
24	development of a LiDAR device?	
25	A Yeah. This would be early in the	12:39:37
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1	I, the undersigned, a Certified Shorthand
2	Reporter of the State of California, do hereby
3	certify:
4	That the foregoing proceedings were taken
	before me at the time and place herein set forth;
5	that any witnesses in the foregoing proceedings,
6	prior to testifying, were administered an oath; that
7	a record of the proceedings was made by me using
8	machine shorthand which was thereafter transcribed
	under my direction; that the foregoing transcript is
9	a true record of the testimony given.
10	Further, that if the foregoing pertains to
11	the original transcript of a deposition in a Federal
12	Case, before completion of the proceedings, review
	of the transcript [X] was [] was not requested.
13	I further certify I am neither financially
14	interested in the action nor a relative or employee
15	of any attorney or any party to this action.
	IN WITNESS WHEREOF, I have this date
16	subscribed my name.
17	
18	Dated: August 10, 2017
19	
20	
21	
22	0 1
23	Cara Soares
24	CARLA SOARES
25	CSR No. 5908
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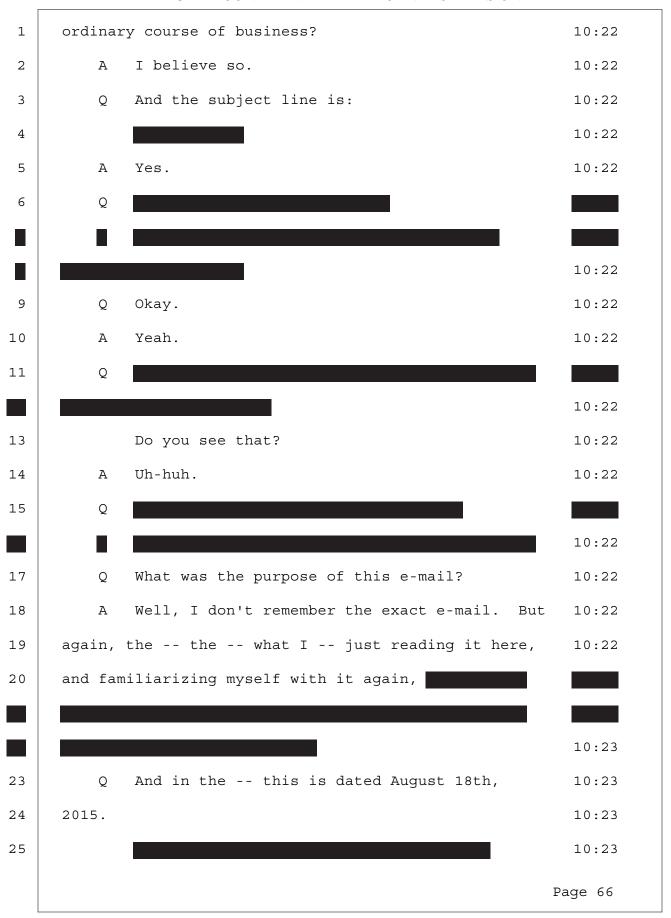
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1	UNITED STATES DISTRICT COURT
2	NORTHERN DISTRICT OF CALIFORNIA
3	SAN FRANCISCO DIVISION
4	
5	WAYMO LLC,
6	Plaintiff,
7	vs. Case No.
8	UBER TECHNOLOGIES, INC.; 3:17-cv-00939-WHA
9	OTTOMOTTO LLC; OTTO TRUCKING,
10	INC.,
11	Defendants.
12	/
13	
14	HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY
15	
16	VIDEOTAPED DEPOSITION OF JEFF HOLDEN
17	SAN FRANCISCO, CALIFORNIA
18	TUESDAY, AUGUST 15, 2017
19	
20	
21	BY: ANDREA M. IGNACIO, CSR, RPR, CRR, CCRR, CLR ~
22	CSR LICENSE NO. 9830
23	JOB NO. 2660984
24	
25	PAGES 1 - 341
	Page 1

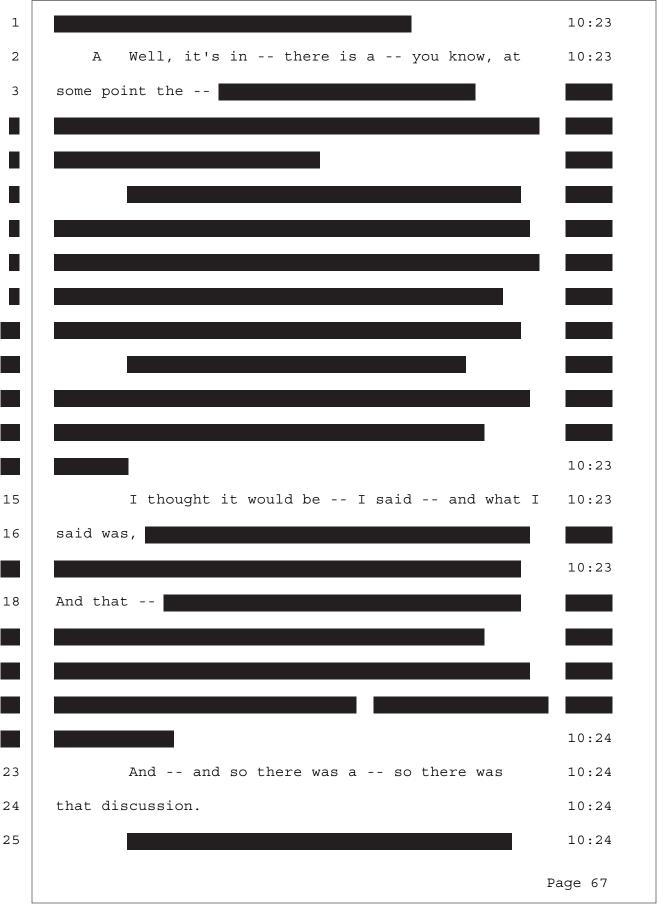
Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 75 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	MS. ROBERTS: Q. My first question, I don't	10:00
2	think you need to read before beyond the the	10:00
3	first page.	10:00
4	A Okay.	10:00
5	Q So I will represent to you that this is a	10:00
6	document that your counsel created and put together	10:00
7	and produced to us for the litigation, that lists	10:00
8	communications with Mr. Levandowski.	10:00
9	And I want to point you to the the	10:00
10	first Nos. 1 through 7, there are all	10:00
11	communications that you were involved in.	10:00
12	Do you see that?	10:00
13	A I do.	10:00
14	Q And so, if you look at 1 through 6, they	10:00
15	refer to	
	Do you see that?	10:01
18	A Let's see. Yes. I see the date.	
		10:01
20	Q Right.	10:01
21	And then, on the far right column, it says	10:01
22	"Subjects Discussed."	
		10:01
24	A Yes.	10:01
25	Q Do you see that?	10:01
		Page 55

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Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 77 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY



Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 78 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

ı [
l		10:24
:	But if if we are	
		10:24
	Q Okay. So we're going to take a look at	10:24
		10:24
	But if you could turn I think it was	10:24
	Exhibit 804. It was the really lengthy one.	10:24
	A (Witness complies.)	10:24
	Yes.	10:24
	Q And so I pointed you to to the the	10:24
	first seven rows there before.	10:25
	A Yes.	10:25
	Q And, if you see and and I'll I'll	10:25
	represent to you that this is organized in	10:25
	chronological order.	10:25
	A Okay.	10:25
	Q So those are the earliest discussions on the	10:25
	first page.	10:25
		10:25
		Page 68
		1490 00

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1	A Uh-huh.	10:25
2	Q And then row 7 references a	
		10:25
5	A Okay.	10:25
6	Q Between May of 2015 and September 23rd, 2015,	10:25
7	were you having discussions with Mr. Levandowski?	10:25
8	A I do not remember.	10:25
9	Q If you'd turn back to Exhibit 806, which is	10:25
10	the e-mail from Brian McClendon,	
		10:25
12	A (Witness complies.)	10:25
13	Q Is it is it your understanding that you	10:25
14	received this e-mail at a time period when you were	10:25
15	having ongoing discussions with Mr. Levandowski?	10:25
16	A Yeah. I don't I just don't remember	10:26
17	the the timing of the the context. It could	10:26
18	have been, this is the only contact I had or you	10:26
19	know, during that period, or it could be the	
	I	10:26
21	definitely had more conversations with Anthony. I	10:26
22	just don't know when they were relative to these time	10:26
23	frames.	10:26
24	Q Did you ask for this information from	10:26
25	Mr. Bares	10:26
		Page 69

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1	Q	Can you turn back to Exhibit 804, which is	15:41
2	the b	ig log in front of you.	15:41
3	А	Uh-huh.	15:41
4	Q	And if you'd turn to page 19.	15:41
5	A	(Witness complies.)	15:41
6		Okay.	15:42
7	Q	Entry 434 has the date September 2nd, 2016,	15:42
8	and yo	our name, and then the subject is:	15:42
9			
			15:42
11	A	Okay.	15:42
12	Q	Do you see that?	15:42
13	A	Yep.	15:42
14	Q		15:42
15	on tha	at date?	15:42
16	А	Yes. Well, I don't know if I remember the	15:42
17	date,	but	
			15:42
19	Q	Okay.	15:42
20	А	Yeah.	15:42
21	Q		
			15:42
24	Q	What what do you recall	
			15:42
			Page 267

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1	A	
	It was it was kind of lightweight.	15:42
3	I mean, it was we looked we I remember him	15:42
4	describing the fact that the trucks that were parked	15:43
5	in there were causing the structure to crumble. And	15:43
6	so we went downstairs and looked at the fact that that	15:43
7	was actually happening. It made me really kind of	15:43
8	nervous.	15:43
9	And then we looked at	
		15:43
11	had, you know,	
	Looked at some of the testing	15:43
13	that sort of test benches they had built for	
	Looked at the team and, like, how	15:43
15	the team was organized and, you know, looked at his	15:43
16	company values.	15:43
17		
		15:43
19	Q And this was we previously talked about	15:43
20	Mr. Levandowski taking tours of Uber.	15:43
21		
		15:43
24	Q Okay. And	
		15:43
	Pa	ge 268

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1	Q It lists you as a recipient of an e-mail, and	15:46
2	the "Subject Discussed" is:	15:46
3		
		15:46
5	Do you see that?	15:46
6	A I do.	15:46
7	Q Do you remember attending	
		15:46
10	A Not in a not in a particular meeting.	15:46
11	Q Do you recall anything specific that was	15:46
12	discussed with Mr. Levandowski regarding	
		15:46
14	A There is the one there's the one e-mail	15:46
15	that I sent that summarized the meeting. This is	15:46
16	this is based on what you showed me, so I don't I	15:46
17	mean, it's just that this is the only thing I	15:46
18	remember, which, you know, said that I had laid out	15:46
19	some initial high-level milestones. But that's the	15:46
20	only I only remember that because you showed it to	15:46
21	me. I don't remember anything else specific.	15:46
22	Q Other than what's summarized in the e-mail,	15:46
23	do you have any independent recollection of	15:46
24	discussions of those milestones?	15:46
25	A No, I don't.	15:46
	Pa	ge 271

1 CERTIFICATE OF REPORTER 2. 3 I, ANDREA M. IGNACIO, hereby certify that the witness in the foregoing deposition was by me duly 4 sworn to tell the truth, the whole truth, and nothing 5 but the truth in the within-entitled cause; 6 7 That said deposition was taken in shorthand 8 by me, a disinterested person, at the time and place therein stated, and that the testimony of the said 9 witness was thereafter reduced to typewriting, by 10 computer, under my direction and supervision; 11 12 That before completion of the deposition, 13 review of the transcript [x] was [] was not 14 requested. If requested, any changes made by the 15 deponent (and provided to the reporter) during the 16 period allowed are appended hereto. 17 I further certify that I am not of counsel or 18 attorney for either or any of the parties to the said deposition, nor in any way interested in the event of 19 this cause, and that I am not related to any of the 2.0 parties thereto. 21 Dated: 8/16/2017 22 23 ANDREA M. IGNACIO, 24 25 RPR, CRR, CCRR, CLR, CSR No. 9830

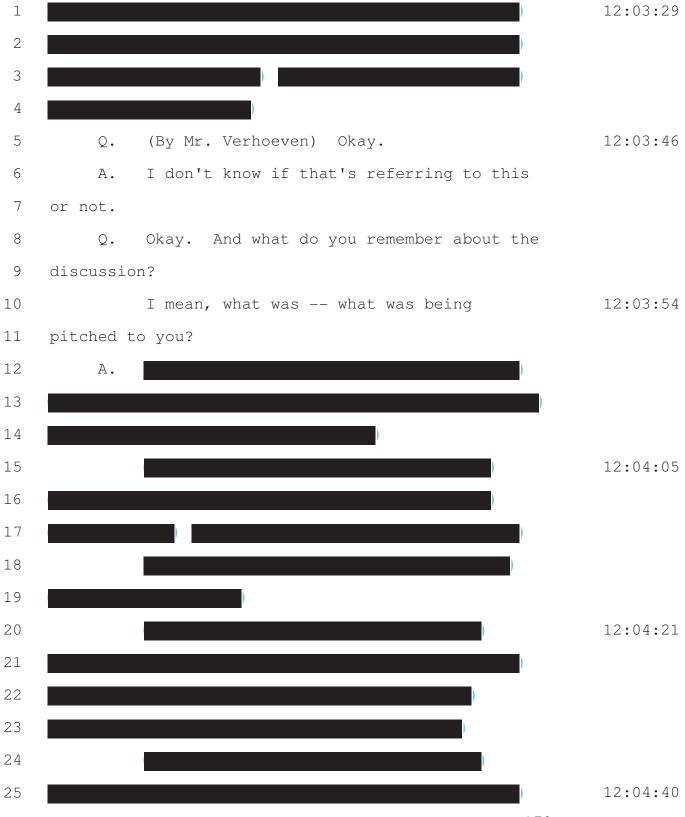
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Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 84 of 196 ATTORNEYS' EYES ONLY

1	UNITED STATES DISTRICT COURT
2	NORTHERN DISTRICT OF CALIFORNIA
3	SAN FRANCISCO DIVISION
4	
5	WAYMO LLC
6	Plaintiff,
7	vs. Case No.
8	UBER TECHNOLOGIES, INC.; 17-cv-00939-WHA
9	OTTOMOTTO, LLC; OTTO
10	TRUCKING LLC,
11	Defendants.
12	
13	
14	**ATTORNEYS' EYES ONLY**
15	
16	VIDEOTAPED DEPOSITION OF TRAVIS KALANICK
17	San Francisco, California
18	Thursday, July 27, 2017
19	Volume I
2 0	
21	REPORTED BY:
22	REBECCA L. ROMANO, RPR, CSR No. 12546
23	JOB NO. 2665725
24	
25	PAGES 1 - 329
	Page 1

1	Google. It was about great talent in the space,	12:01:25
2	period, coming from all the companies that are	
3	working on it.	
4	Q. (By Mr. Verhoeven) But you don't	
5	remember having discussions about Mr. Levandowski	12:01:32
6	hiring as many people as he could from Google's AV	
7	space after he came over?	
8	MS. DUNN: Objection to form.	
9	MR. CHATTERJEE: Join.	
10	THE DEPONENT: Generally, we wanted to	12:01:47
11	recruit as many great Google employees as we	
12	possibly could.	
13	We needed to figure out what were the	
14	right processes to do that, but we were very	
15	excited about somebody having somebody on our	12:02:00
16	team who was a visionary in the space who could	
17	attract that great talent.	
18	Q. (By Mr. Verhoeven) Okay. But do you	
19	remember having any my question was, do you	
20	remember having any conversations about it?	12:02:15
21	A. I would say I don't remember any	
22	specific conversations, but I would generally say	
23	that I would acknowledge that conversations like	
24	that occurred.	
25	Q. I direct your attention to back to the	12:02:32

1	document,	Exhibit 366, and to the same page we were	12:02:33
2	looking a	t.	
3	Α.	336?	
4	Q.	366. This is just the exhibit number of	
5	the docume	ent you're looking at.	12:02:48
6	Α.	Okay. Sorry. I was looking for the	
7	right page	e.	
8	Q.	The same page.	
9	A.	Okay. Yeah.	
10	Q.	And then the second-to-last line on the	12:02:54
11	page says	, quote, If the sensor idea is so good,	
12	why limit	ing scope to trucking? Close quote.	
13		Do you see that?	
14	Α.	Yeah.	
15	Q.	And that's talking about a LiDAR sensor?	12:03:07
16		MS. DUNN: Form.	
17		MR. CHATTERJEE: Join.	
18		THE DEPONENT: I I don't know what	
19	that's abo	out.	
20	Q.	(By Mr. Verhoeven) Do you remember	12:03:18
21	having di	scussions about Mr. Levandowski's idea	
22	about a L	iDAR sensor?	
23		MS. DUNN: Form.	
24		MR. CHATTERJEE: Form.	
25		THE DEPONENT:	12:03:28



Okay. You see at the top, on the left, 12:21:43 1 Q. it says, "TK, 4 January 2016." 2 3 Α. Yeah. And TK is -- is yourself, right? 4 Q. 5 I -- I didn't write this, but I would 12:21:56 6 assume so. 7 Yeah. Q. 8 And AL would refer to Levandowski, right? 9 Α. Yeah. 10 Q. And if you look down below the AL, to the 12:22:08 11 rite of it, there's a number of sentences there. 12 Do you see those? 13 First one says, quote, TK met up with him 14 over the weekend and is a big fan. 12:22:25 15 Α. Okay. 16 Q. Do you see that? 17 Α. Yeah. 18 Do you remember what that's referring to, Q. 19 meeting up with Mr. Levandowski over the weekend --20 I mean, I don't --12:22:31 Α. 21 Q. -- in January? 22 Yeah, I mean, I don't know specifics, but Α. I don't do a deal until I'm a big fan of what --23 24 who somebody is and what they're doing. Q. You met up with -- with Mr. Levandowski? 25 12:22:42

1	documents related to the transaction.	02:58:03
2	Q. Do you have any recollection of signing	
3	an indemnification agreement in connection with the	
4	transaction?	
5	A. I do not.	02:58:10
6	(Exhibit 383 was marked for	
7	identification by the court reporter and is	
8	attached hereto.)	
9	Q. (By Mr. Verhoeven) Let's mark as	
10	Exhibit 383 a document. It looks like a text	02:58:30
11	message from Anthony Levandowski, bearing Control	
12	Number Uber 73820.	
13	Do you see your phone number on there?	
14	A. Yes, I do.	
15	Q. This is a text from Mr. Levandowski to	02:59:06
16	you, time-stamped May 5th, 2016?	
17	A. Correct.	
18	Q. And Mr. Levandowski texts you saying,	
19	"Driving to SF to meet with Scott, ATC, laser guy	
20	and guide the team."	02:59:22
21	Do you see that?	
22	A. I do.	
23	Q. Who is Scott?	
24	A. I am not sure. I think maybe is the guy	
25	at ATC that works on lasers, maybe.	02:59:31
	070	

just read this real quick. But it's not clear. 1 03:09:31 2 Because the first bullet was Drew, and the second 3 bullet was Anthony, but let me just read it and --4 just give me a second. 03:09:40 5 Q. (By Mr. Verhoeven) Okay. A. Yes, I have read. 6 7 Now, directing your attention to the Q. third bullet --8 9 A. Yeah. 10 Q. -- the solid bullet down --03:11:32 11 A. Yeah. 12 Q. -- of Mr. Holden's May 13th, 2016 13 email --14 A. Yeah. Yeah, yeah, yeah. Q. -- where it says, "We also discussed the 03:11:41 15 16 laser plan." 17 That was a discussion with 18 Mr. Levandowski, right? 19 I think it's a fair assumption. Α. 20 And then it says, " 03:11:48 Q. 21 22 Do you see that? 23 Α. Yeah. 24 Q. What's that a reference to? A. Sounds like something to do with lasers. 03:11:59 25

```
1
          I, Rebecca L. Romano, a Certified Shorthand
 2
     Reporter of the State of California, do hereby
 3
     certify:
 4
          That the foregoing proceedings were taken
 5
    before me at the time and place herein set forth;
 6
     that any witnesses in the foregoing proceedings,
    prior to testifying, were administered an oath;
 7
 8
     that a record of the proceedings was made by me
 9
     using machine shorthand which was thereafter
     transcribed under my direction; that the foregoing
10
11
    transcript is true record of the testimony given.
12
          Further, that if the foregoing pertains to the
13
     original transcript of a deposition in a Federal
     Case, before completion of the proceedings, review
14
     of the transcript [ ] was [x] was not requested.
15
16
          I further certify I am neither financially
     interested in the action nor a relative or employee
17
18
     of any attorney or any party to this action.
19
          IN WITNESS WHEREOF, I have this date
20
     subscribed my name.
21
22
    Dated: July 27, 2017
23
24
                         <%signature%>
                         Rebecca L. Romano, RPR,
25
                         CSR. No 12546
```

Page 1 UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA SAN FRANCISCO DIVISION WAYMO LLC, Plaintiff,) vs.) Case No. UBER TECHNOLOGIES, INC.;) 3:17-cv-000939-WHA OTTOMOTTO LLC; OTTO TRUCKING,) INC., Defendants.) HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY VIDEOTAPED DEPOSITION OF ADAM KENVARG San Francisco, California Tuesday, August 15, 2017 Volume I Reported by: CARLA SOARES CSR No. 5908 JOB No. 2680990

PAGES 1 - 149

		Page 83
1	A I don't even need to flip through it. I	11:50:22
2	have no idea what this is.	
3	Q Okay. So I'll represent this is a	
4	document that's been provided by Uber as part of the	
5	requirements in this case set out from one of the	11:50:33
6	Court's orders.	
7	A Okay.	
8	Q And since you've never seen it before, I	
9	assume you've also not had any input into the	
10	contents of this document.	11:50:47
11	A Right. I don't know how I would.	11.00.17
12	Q Okay.	
13	A No.	
14	Q If you could turn to entry 206, please.	
15	A UBERO blah, blah, blah, blah	11:51:03
16	429, is that the one?	11.51.05
17	Q That's correct, yeah.	
18	A Um-hum.	
19	Q So we're going to be running through	11 51 15
20	different instances where your name shows up in	11:51:15
21	here.	
22	A Okay.	
23	Q So each time, I'll point you to an entry,	
24	and then I'll just have one or two questions about	
25	it, and we'll just kind of get through this as	11:51:26

		Page 84
1	quickly as possible, all right?	11:51:28
2	A Okay.	
3	Q So in this entry 206, it's from May 19th	h,
4	2016. Do you see your name listed in the	
5	"Recipient" column?	11:51:40
6	A Yes.	
7	Q And this is the calendar invitation at	the
8	very far right for weekly LiDAR stand-up meeting,	
9	10:30 to 11:00.	
10	Do you see that?	11:51:57
11	A Um-hum.	
12	Q Do you recall the substance of this	
13	meeting?	
14	A No.	
15	Q Okay. Do you recall whether or not	11:52:03
Mr. Levandowski provided any input in this meeting?		
17	A No.	
18	Q The upstairs fishbowl, is that just a	
19	meeting room?	
20	A Yes.	11:52:23
21	Q All right. The next one is 269. It's	а
22	couple pages down.	
23	A Same thing, different date?	
24	Q That's correct.	
25	And again, do you recall the substance	of 11:52:44

		Page 85
1	this meeting?	11:52:45
2	A No. I can give you a heads up for all the	
3	rest of the calendar invites for LiDAR stand-up	
4	meetings. I do not recall the substance of the	
5	meeting and I do not recall Anthony Levandowski even	11:52:54
6	being there.	
7	Q Okay. That will help us get through this	
8	a little bit quicker, I think. Malware and that's	
9	true for any of the LiDAR stand-ups; is that what	
10	you're saying?	11:53:04
11	A Yes. I don't ever recall him attending a	
12	LiDAR stand-up, and I don't recall any of the	
13	specific details of any particular meeting.	
14	Q What was, I guess, the general nature of a	
15	LiDAR stand-up meeting? What would be discussed?	11:53:14
16	A An update on what people are working on.	
17	Q And it looks like it's only a half-hour	
18	entry. So is it a pretty quick in-and-out-type	
19	meeting?	
20	A Yes.	11:53:24
21	Q And it's just sort of a check-in to see	
22	what people are doing; is that right?	
23	A Yes.	
24	Q So you're not generally discussing	
25	substance of any one person's particular project; is	11:53:31

		Page 93
1	which is a little bit further back in the lineup.	12:02:42
2	A Yep.	
3	Q This is February 2017, text message	
4	between Mr. Levandowski and yourself. The	
5	description says, "Anthony Levandowski states he	12:03:11
6	will be attending LiDAR lunch meeting and cannot	
7	wait to see point cloud on personal cell phone."	
8	Do you see that description?	
9	A Yes.	
10	Q Do you recall getting that text message?	12:03:27
11	A I have seen that text message.	
12	Q What was the point cloud that he was	
13	referencing in the text, do you recall?	
14	A I had mentioned that we had a point cloud.	
15	Q Did you text with Mr. Levandowski	12:03:53
16	regularly?	
17	A No, I would not say regularly.	
18	Q How often would you say?	
19	A I mean, you have the history of my text	
20	messages, so you can see specifically, but I don't	12:04:09
21	want to characterize it one way or another.	
22	MR. McCAULEY: Do we have his text	
23	messages?	
24	MS. CHANG: You do.	
25	MR. McCAULEY: Okay. Just making sure.	12:04:22

		Page 124
1	Mr. Levandowski about LiDAR that informs your	13:47:19
2	opinion as to the fact that it was only a unilateral	
3	conversation?	
4	MS. CHANG: Objection. Vague and	
5	ambiguous.	13:47:27
6	THE WITNESS: I guess I'm trying to	
7	understand how what specifically you mean.	
8	BY MR. McCAULEY:	
9	Q You said in your view, the conversations	
10	only went in one direction. I just want to know how	13:47:41
11	your view has been formed. Is it from a direct	
12	interaction with Mr. Levandowski, is it just an	
13	opinion generally, or is it something else?	
14	A I've had conversations with him about	
15	LiDAR, and he has never given me any specific input	13:47:53
16	as to how it should be made.	
17	Q Okay. But your opinion isn't formed by	
18	anyone else's interactions with Mr. Levandowski;	
19	it's yours specifically, correct?	
20	A I can't speculate on other people's	13:48:08
21	interactions.	
22	Q Okay. And you've never had a conversation	
23	with anybody else	
24	A In which they no.	
25	Q Okay. Sorry. Just to clean that up, you	13:48:16

I, the undersigned, a Certified Shorthand 1 2 Reporter of the State of California, do hereby certify: 3 That the foregoing proceedings were taken 4 before me at the time and place herein set forth; 5 6 that any witnesses in the foregoing proceedings, prior to testifying, were administered an oath; that 7 a record of the proceedings was made by me using 8 machine shorthand which was thereafter transcribed under my direction; that the foregoing transcript is 10 a true record of the testimony given. 11 Further, that if the foregoing pertains to 12 the original transcript of a deposition in a Federal 13 Case, before completion of the proceedings, review 14 of the transcript [] was [x] was not requested. 15 I further certify I am neither financially 16 interested in the action nor a relative or employee 17 of any attorney or any party to this action. 18 IN WITNESS WHEREOF, I have this date 19 subscribed my name. 20 Dated: 8/16/2017 21 2.2 23 a Soares CARLA SOARES 24 CSR No. 5908 25

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 99 of 196 ATTORNEYS EYES ONLY

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1
                  UNITED STATES DISTRICT COURT
 2
                 NORTHERN DISTRICT OF CALIFORNIA
 3
                     SAN FRANCISCO DIVISION
 4
 5
     WAYMO LLC,
 6
                    Plaintiff,
        VS.
                                              Case No.
 7
                                              17-cv-00939-WHA
     UBER TECHNOLOGIES, INC.;
 8
     OTTOMOTTO, LLC; OTTO TRUCKING LLC, )
 9
                   Defendants.
10
11
12
                      ATTORNEYS' EYES ONLY
13
14
                    VIDEOTAPED DEPOSITION OF
15
                        SAMEER KSHIRSAGAR
                    San Francisco, California
16
17
                     Friday, April 14, 2017
                             Volume I
18
19
20
21
22
     Reported by:
     MARY J. GOFF
     CSR No. 13427
23
24
     Job No. 2594019
     PAGES 1 - 49
25
                                                      Page 1
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Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 100 of 196 ATTORNEYS EYES ONLY

1	Q They report directly to you?	09:24
2	A Yes, they do. Well, Steven reports to	
3	Prashant. Prashant reports to me, yes.	
4	(Exhibit 11 was marked for identification	
5	and is attached to the transcript.)	09:24
6	Q I'm going to show you a document marked	
7	Exhibit 11.	
8	A Um-hum.	
9	MS. BAILY: I can only give you one this	
10	time.	09:24
11	Q (BY MS. BAILY) This is E-mail	
12	correspondence among you, Mr. Levandowski, and	
13	others. Do you see that?	
14	A Yes.	
15	Q This cor excuse me.	09:25
16	This correspondence took place while you	
17	and Mr. Levandowski were working at Otto, correct?	
18	A That's correct.	
19	Q The E-mails relate to purchases being made	
20	for a custom LiDAR system being developed at Otto;	09:25
21	is that correct?	
22	MR. PUNZALAN: Objection, form.	
23	A Yes.	
24	Q What was the name of that system?	
25	A I believe it was	09:25
		Page 33

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1	Q Mr. Levandowski writes, Let's make sure we	09:25
2	get the good concentrations of erbium and ytterbium	
3	from	
4	Do you see that?	
5	A Yes.	09:25
6	Q Did you understand Mr. Levandowski to be	
7	referring to levels of ion doping of the optical	
8	fiber to be used with the lasers?	
9	MR. PUNZALAN: Objection	
10	MS. PHILLIPS: Objection	09:25
11	MR. PUNZALAN: form.	
12	MS. PHILLIPS: form.	
13	A I believe that comment was for for	
14	James. I'm not sure what those things are, but	
15	Q You're not sure what erbium and ytterbium	09:25
16	are?	
17	A Correct. That that's not normal. I'm	
18	I'm sure I would have I I don't know	
19	who that comment was directed to. James is on this	
20	as well.	09:26
21	Q Are you aware whether, as part of the	
22	Spider LiDAR system, there were ion doped optical	
23	fibers used with the lasers?	
24	MR. PUNZALAN: Objection, form.	
25	MS. PHILLIPS: Objection, form.	09:26
		Page 34

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1	A I yes. Yeah.	09:26		
2	Q (BY MS. BAILY) Do you understand what			
3	concentrations of ion doping Otto wanted for those			
4	fibers?			
5	MR. PUNZALAN: Objection, form.	09:26		
6	MS. PHILLIPS: Objection, form.			
7	A No.			
8	Q Who would know that?			
9	A James.			
10	Q James who?	09:26		
11	A Haslim.			
12	(Exhibit 12 was marked for identification			
13	and is attached to the transcript.)			
14	Q I'm showing you Exhibit 12. This is			
15	E-mail correspondence between you and	09:27		
16	Mr. Levandowski and others. Do you see that?			
17	A Yes.			
18	Q You forwarded a data sheet for an			
19	micro EDFA to Mr. Levandowski for his review and			
20	comment; is that a fair characterization of this	09:27		
21	document?			
22	MR. PUNZALAN: Objection, form.			
23	A Is is this what you're referencing?			
24	Q I'm representing I'm referencing you			
25	forwarding what appears to be a spec sheet for the	09:27		
		Page 35		

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RED = HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	micro EDFA.	09:27
2	MR. PUNZALAN: Objection, form. Is that	
3		
4	MS. PHILLIPS: Objection, form.	
5	MR. PUNZALAN: a question?	09:28
6	A I am not sure this is a spec sheet for	
7	something they have. I think this was a spec sheet	
8	for something he was looking for.	
9	Q Understood. An EDFA is an Erbium Doped	
10	Fiber Amplifier; is that correct?	09:28
11	MR. PUNZALAN: Objection, form.	
12	A I don't know how to answer that when you	
13	say it. And I can read the initials. And I would	
14	say yes, I would not know how to describe that	
15	before.	09:28
16	Q Was the interest in an EDFA strike	
17	that.	
18	Were you corresponding with	
19	Mr. Levandowski about an EDFA for use in the LiDAR	
20	spider system?	09:28
21	A I don't know what he wanted it for.	
22	Q Were you aware that Otto was interested in	
23	an EDFA for LiDAR purposes?	
24	MS. PHILLIPS: Objection, form.	
25	MR. PUNZALAN: Objection, form.	09:29
		Page 36

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I, MARY J. GOFF, CSR No. 13427, Certified 1 2 Shorthand Reporter of the State of California, certify; 3 That the foregoing proceedings were taken before me at the time and place herein set forth, at 4 which time the witness declared under penalty of 5 perjury; that the testimony of the witness and all 6 objections made at the time of the examination were 7 recorded stenographically by me and were thereafter transcribed under my direction and supervision; that 8 the foregoing is a full, true, and correct transcript of my shorthand notes so taken and of the 9 10 testimony so given; That before completion of the deposition, 11 12 review of the transcript (XX) was () was not requested: () that the witness has failed or refused to approve the transcript. 13 I further certify that I am not financially 14 interested in the action, and I am not a relative or 15 employee of any attorney of the parties, nor of any 16 of the parties. 17 I declare under penalty of perjury under the laws of California that the foregoing is true and 18 correct, dated this 14th day of April, 2017. 19 20 21 22 23 2.4 2.5 MARY J. GOFF, CSR No. 13427 Page 49

Page 1

UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA SAN FRANCISCO DIVISION

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WAYMO LLC,

Plaintiff,

VS.

No. 3:17-cv-00939-WHA

UBER TECHNOLOGIES, INC.;
OTTOMOTTO LLC; OTTO TRUCKING,
INC.,

Defendants.

HIGHLY CONFIDENTIAL PURSUANT TO THE PROTECTIVE ORDER

VIDEOTAPED DEPOSITION OF MAXIME LEVANDOWSKI

SAN FRANCISCO, CALIFORNIA

TUESDAY, JULY 18, 2017

BY: ANDREA M. IGNACIO, CSR, RPR, CRR, CCRR, CLR ~ CSR LICENSE NO. 9830

JOB NO. 2661102

		Page 63
1	"July 3, 2017, Supplemental Log Pursuant to	10:27
2	Orders on Motion for Preliminary Relief and Special	10:27
3	Masters Protocol."	10:27
4	Q Do you see that?	10:27
5	A I see it.	10:27
6	Q All right.	10:27
7	Have you seen the document that I've labeled	10:27
8	as Exhibit 310 before?	10:27
9	A I think I did.	10:28
10	Q When have when have you seen this?	10:28
11	A Yesterday.	10:28
12	Q Before yesterday, have you seen this	10:28
13	document?	10:28
14	A No.	10:28
15	Q Okay. Were you involved in the preparation	10:28
16	of this document, as far as you know?	10:28
17	A No.	10:28
18	Q We talked earlier about how you were not	10:28
19	aware that Anthony Levandowski was speaking with Uber	10:28
20	when he talked with you in January 2017 2016 about	10:28
21	his new self-driving truck company; right?	10:28
22	A That's correct.	10:28
23	Q Now, I want to look at this document and just	10:28
24	go through a couple of entries. I mean, you can see	10:28
25	that they start in May 2015; right?	10:28

		Page 64
1	A I see that.	10:29
2	Q And, it describes meetings between	10:29
3	individuals from Uber and your brother, Anthony	10:29
4	Levandowski, starting in May 2015. And there's some	10:29
5	some number of them well before you started at	10:29
6	at the company and you had that discussion with	10:29
7	Anthony in January 2016.	10:29
8	Do you see that?	10:29
9	A Sounds correct.	10:29
10	Q So, in light of of Exhibit 301 [sic] here,	10:29
11	this this supplemental log provided by Uber's	10:29
12	lawyers, does it surprise you that Anthony didn't tell	10:29
13	you about Uber's involvement in his new venture?	10:29
14	A It does not.	10:29
15	Q Why doesn't it surprise you?	10:29
16	A Because we don't really talk work, first.	10:29
17	Also, even at Otto, like, when the company was	10:29
18	founded, 280 or whatever, I was not involved in the	10:29
19	organization of Otto. I was a junior entry-level	10:29
20	mechanical engineer, not, like, an executive,	10:30
21	whatever, management role. So no, I'm not surprised.	10:30
22	Q But, don't you think that he wasn't telling	10:30
23	you the whole truth when he was saying that "I was	10:30
24	setting up some start-up company," when really he was	10:30
25	talking with Uber in the background?	10:30

			Page 87
1	either; right?		11:00
2	A That's	fair.	11:00
3	Q He's no	t an optical engineer; right?	11:00
4	A That's	correct.	11:00
5	Q Does An	thony know how to use SolidWorks?	11:00
6	A I don't	know.	11:00
7	Q You nev	er talked about that?	11:00
8	A Never -	- I never saw him using it.	11:00
9	Q You've	never seen him use SolidWorks?	11:00
10	A No.		11:00
11	Q What ab	out Altium? Have you ever seen him	11:00
12	use that?		11:00
13	A No.		11:00
14	Q You ref	erenced before that you and Anthony	11:00
15	Levandowski spo	ke about LiDAR.	11:01
16	When wa	s the first time that you and Anthony	11:01
17	Levandowski spo	ke about LiDAR?	11:01
18	A So, I p	ersonally asked him multiple times,	11:01
19	"Hey, can you"	so I was at the time, I had zero	11:01
20	knowledge about	LiDAR. So, I was trying to, like,	11:01
21	look at some vi	deo and understand the concept, but	11:01
22	even the concep	t was difficult for me.	11:01
23	So, I a	sked him about the concept. We talked	11:01
24	about it, I wou	ld say, in the first month, like,	11:01
25	shortly after.		11:01

	Pa	age 111
1	Levandowski has a personal laptop that he uses; right?	11:48
2	A I don't know.	11:48
3	Q You don't know?	11:48
4	A (Witness shakes head.)	11:48
5	Q You have no knowledge whether he has a	11:48
6	personal laptop or not?	11:48
7	A I know I know he has a computer. I don't	11:48
8	know if it's a work computer only, or if he also has a	11:48
9	personal computer. I do not know.	11:48
10	Q So the the one computer that you know	11:48
11	about, what kind of computer is that?	11:48
12	A It's a Mac.	11:48
13	Q And how long has he had that one for?	11:48
14	A I don't know.	11:49
15	Q Years? Weeks? Days?	11:49
16	A I don't know.	11:49
17	Q You can't say?	11:49
18	A I can't say.	11:49
19	Q You have no idea whether Mr. Levandowski	11:49
20	Anthony Levandowski has had the one computer that you	11:49
21	know about for weeks, days, or years; is that right?	11:49
22	A Correct.	11:49
23	Q When was the first time, after you joined	11:49
24	Otto, that you spoke with Anthony Levandowski about	11:49
25	LiDAR?	11:49

			Page 112
1	А	I would say the first week.	11:49
2	Q	Okay. And what did you guys discuss?	11:49
3	А	The concept of LiDARs.	11:49
4	Q	Where did you discuss that?	11:49
5	А	I believe it was at the house where Otto	11:50
6	started	1.	11:50
7	Q	Who else was there?	11:50
8	А	It was Rhian Morgan. It was Colin Sebern,	11:50
9	Dan	not Dan Gruver. I I don't remember exactly	. 11:50
10	Q	Was Dan Ratner there?	11:50
11	А	I don't remember exactly.	11:50
12	Q	So, let's go back to Exhibit 310 here. And,	11:50
13	can you	look at entry 89. They're numbered on the	11:50
14	left-ha	nd side.	11:50
15	А	<pre>3 what's the entry?</pre>	11:50
16	Q	Eight-nine, 89.	11:50
17	А	(Witness complies.)	11:50
18		Yes.	11:50
19	Q	Do you see your name there as a as the	11:50
20	recipie	ent, presumably an attendee at this meeting?	11:51
21	А	Yes, I do.	11:51
22	Q	And, do you see in the description, it says:	11:51
23		"New hire meeting, including discussion of	11:51
24	lasers.	"	11:51
25		Do you see that?	11:51

			D 046
1	I.eszando	wski was head of Uber ATG; right?	Page 246 16:21
2	Levando A		16:21
		If I remember correctly, yes.	
3	Q	And what did you send Anthony Levandowski in	
4	January	2017? These pictures?	16:21
5	A		
			16:22
8	Q	Why did you send these pictures to Anthony of	16:22
9	Fuji?		16:22
10	А		
			16:22
12	Q	And did you think Anthony would be interested	16:22
13	in this	information?	16:22
14	А	Yeah.	16:22
15	Q	Why?	16:22
16	А	To see that we are making progress.	16:22
17	Q	So Anthony even in January 2017, he was	16:22
18	interes	ted in the progress of Fuji; right?	16:22
19	А	Correct.	16:22
20	Q	All right.	16:22
21		Turning to '87024.	16:22
22	А	(Witness complies.)	16:22
23	Q	This is another text message, it looks like,	16 : 22
24	that yo	u sent to him at the same time.	16:22
25		What was what's depicted here, reflected	16:22

	P	age 247
1	at '87025?	16:22
2	A So this is a zoom of the first cavity I	16:22
3	designed, and I believe that was from two different	16 : 22
4	vendors.	16 : 22
5	Q These are the Fuji cavities?	16:23
6	A Correct.	16:23
7	Q So, you're sending Anthony Levandowski, in	16:23
8	January 2017, detailed pictures of the Fuji cavity;	16:23
9	right?	16:23
10	A Correct.	16:23
11	Q Let's look at the next one, so here on	16:23
12	page '87026.	16:23
13	A (Witness complies.)	16:23
14	Q This is another message that you texted to	16:23
15	Anthony Levandowski. This one is March 10th, 2017; is	16:23
16	that right?	16 : 23
17	A It looks correct.	16:23
18	Q And what did you send Anthony Levandowski on	16:23
19	March 10th, 2017?	16:23
20	A So this is, I believe,	
		16 : 23
22	Q Why were you sending this to Anthony	16 : 23
23	Levandowski, this here at pages '87026?	16 : 23
24	A Again, just happy to show him the progression	16 : 23
25	on Fuji.	16 : 23

			Page 249
1	Q	This is another picture that you were sending	16:24
2	Anthony	Levandowski on March 10th, 2017?	16:25
3	А	That's correct.	16:25
4	Q		
		; is that right?	16:25
6	А	Correct. This is a zoom of the first	16:25
7	previous	s picture we talked about.	16:25
8	Q	And then the next page, '87031, is another	16:25
9	picture	of the same thing?	16:25
10	А	' 87	16:25
11	Q	' 87030.	16:25
12	А	(Witness complies.)	16:25
13		Yes, yes, it will be the same.	16:25
14	Q	And did you discuss with Anthony the	16:25
15		pictures you sent him in March 2017?	16:25
16	А	No. It was just to show the	
			16:25
18	Q	So, looking at page '87031.	16:25
19	А	(Witness complies.)	16:25
20		Yep.	16:25
21	Q	There is a text from a Philipp Haban? Haban?	16:25
22	А	Correct.	16:25
23	Q	How do you pronounce it?	16:25
24	А	Haban.	16:25
25	Q	Haban.	16:25

			Page 265
1	talked	to him, but I see who he is.	16 : 43
2	Q	And did Mr. Ulrich did he end up being	16 : 43
3	your	the lead mechanical engineer in San Francisco?	16:43
4	А	He did not.	16:43
5	Q	Okay. Do you know why that happened?	16 : 43
6	А	He declined the offer.	16:43
7	Q	Do you know why he declined the offer?	16:43
8	А	I'm not sure.	16:43
9	Q	Have you heard any information why he	16:43
10	decline	ed the offer?	16:43
11	А	No.	16:44
12	Q	You've never heard any reason?	16:44
13	А	Not that I remember.	16:44
14	Q	Did you ask anyone about it?	16:44
15	А	No.	16:44
16		We found another ME lead, and so	16:44
17	Q	Who did you end up hiring as the ME lead?	16:44
18	А	I was not the one hiring. But the ME lead	16:44
19	now was	is Gorah Wyer, my direct manager.	16:44
20	Q	Can you spell that, please?	16:44
21	А	So G-O-R-A-H, Wyer, W-Y-E-R.	16:44
22	Q	So, on the next page, there is a text from	16:44
23	you, sa	me date, February 10th, 2017. We're on page	16:44
24	' 87055.		16:44
25	А	(Witness complies.)	16:44

			Page 266
1	Q	You say:	16:44
2		"I think I remember him. Name is familiar.	16:44
3	510?"		16:44
4	А	Correct.	16:44
5	Q	Do you what happened after you sent this	16:44
6	message	to Anthony?	16:45
7	А	He told me yes, that was someone that was at	16:45
8	510 yea:	rs ago.	16:45
9	Q	And then, did you have any further	16:45
10	convers	ations with Anthony about this?	16:45
11	А	Not that I remember.	16:45
12	Q	Let's keep going here. '87056. It's a text	16:45
13	from you	u to Anthony, dated March 2nd, 2017.	16:45
14		Do you see that?	16:45
15	А	' 87056?	16:45
16	Q	Uh-huh.	16:45
17	А	(Witness complies.)	16:45
18		Correct, yes.	16:45
19	Q	And the first part of this text is:	16:45
20		"Why do you want to take cavities to Pitt?"	16:45
21		Do you see that?	16:45
22	А	I do see it.	16:45
23	Q	What prompted this text message from you to	16:45
24	Anthony	in March 2017?	16:45
25	A	He he told me that he wanted to he	16:45

	P	age 267
1	wanted me to send a cavity to Pittsburgh, so I was	16:45
2	asking him why.	16:46
3	Q How did he communicate that he wanted you to	16:46
4	send a cavity to Pittsburgh?	16:46
5	A I think he was running through the office and	16:46
6	asked me to, like, send a cavity, and then left, like	16:46
7	he usually do. And then I wanted more information, so	16:46
8	I text him.	16:46
9	Q And the next page here, '87057.	16:46
10	A (Witness complies.)	16:46
11	Q Anthony responds and he says:	16:46
12	"I want to show how our tech compares to	16:46
13	Velodyne and publicly available Google stuff."	16:46
14	Do you see that?	16:46
15	A Correct.	16:46
16	Q What was your understanding when you received	16:46
17	this?	16:46
18	A So, I think the lawsuit was already the	16:46
19	lawsuit already happened. And so I didn't follow up	16:46
20	on that. I was not the one comparing. But I think he	16:46
21	wanted to compare Fuji, Velodyne, and what was	16:46
22	publicly available online or whatever were from	16:46
23	Google.	16:46
24	Q Why did he want to compare the Fuji to	16:46
25	Google's LiDAR?	16 : 47

HIGHLY CONFIDENTIAL PURSUANT TO THE PROTECTIVE ORDER

	HIGHLI CONFIDENTIAL FORSOANT TO THE FROTEETIVE ORDER
1	CERTIFICATE OF REPORTER
2	I, ANDREA M. IGNACIO, hereby certify that the
3	witness in the foregoing deposition was by me duly
4	sworn to tell the truth, the whole truth, and nothing
5	but the truth in the within-entitled cause;
6	That said deposition was taken in shorthand
7	by me, a disinterested person, at the time and place
8	therein stated, and that the testimony of the said
9	witness was thereafter reduced to typewriting, by
10	computer, under my direction and supervision;
11	That before completion of the deposition,
12	review of the transcript [x] was [] was not
13	requested. If requested, any changes made by the
14	deponent (and provided to the reporter) during the
15	period allowed are appended hereto.
16	I further certify that I am not of counsel or
17	attorney for either or any of the parties to the said
18	deposition, nor in any way interested in the event of
19	this cause, and that I am not related to any of the
20	parties thereto.
21	Dated: 7/21/2017
22	0016
23	all of
24	ANDREA M. IGNACIO, RPR, CRR, CCRR, CLR, CSR No. 9830

25

Page 313

Page 93 UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA SAN FRANCISCO DIVISION WAYMO LLC, Plaintiff, VS.) Case No. UBER TECHNOLOGIES, INC.,) 3:17-cv-00939-WHA OTTOMOTTO LLC; OTTO TRUCKING) LLC, Defendants. HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY CONTINUED VIDEOTAPED DEPOSITION OF ASHEEM LINAVAL Volume 2 San Francisco, California Tuesday, August 15, 2017 REPORTED BY: JOHNNA PIPER CSR 11268 JOB No. 2680991

PAGES 93 - 257

		Page 219
1	about these meetings.	12:05:46
2	If you could go first to entry Number 206.	12:05:48
3	A. Sorry, I'm just going to take a moment here	12:05:55
4	and	12:05:57
5	Q. Take all the time you need.	12:05:57
6	A. Okay.	12:07:09
7	Q. Okay. So looking at entry 206, the second	12:07:09
8	column over is labeled Bates Number. That means	12:07:24
9	that Uber has produced a document to us that	12:07:27
10	provides evidence of this meeting and that's the	12:07:31
11	number that is on that document. Then you've got	12:07:32
12	the date, which will be the date on that document.	12:07:35
13	The time, which is blank here, but if it were on	12:07:37
14	that document, would presumably be in this field.	12:07:40
15	Author sent, I think you understand that field.	12:07:43
16	Recipients, and there's a CC, BCC, place, mode of	12:07:45
17	communication, and subjects discussed. Okay. So	12:07:51
18	that is how this log is laid out.	12:07:53
19	Do you see that?	12:07:55
20	A. Uh-huh.	12:07:55
21	Q. So entry 206 is dated May 19th, 2016. It's	12:07:56
22	a calendar invitation for a weekly LiDAR stand-up	12:08:04
23	meeting on May 19th, 2016.	12:08:08
24	Do you see that?	12:08:11
25	A. Uh-huh.	12:08:11

		Page 220
1	Q. And in the recipients field, it's about	12:08:11
2	60 percent of the way down, and your name breaks	12:08:15
3	over two lines.	12:08:18
4	Do you see your name there?	12:08:19
5	A. I see it.	12:08:20
6	Q. Do you recall this weekly LiDAR stand-up	12:08:20
7	meeting on May 19th, 2016?	12:08:24
8	A. I remember stand-up meetings from about	12:08:25
9	that era. Oh. I don't know if I can recall that	12:08:31
10	specific one, but I remember meetings like it.	12:08:39
11	Q. To the best of your recollection, you don't	12:08:41
12	remember specifically the meeting on May 19th, 2016?	12:08:47
13	A. Correct.	12:08:50
14	Q. Do you remember specifically any	12:08:52
15	contribution that Mr. Levandowski made to that	12:08:55
16	meeting on May 19th, 2016?	12:08:57
17	A. I do not.	12:09:00
18	Q. Can we move to Number 269?	12:09:01
19	A. 269, found it.	12:09:18
20	Q. This is a calendar invitation to a LiDAR	12:09:19
21	stand-up meeting dated June 9th, 2016.	12:09:23
22	Do you see that?	12:09:26
23	A. Uh-huh.	12:09:27
24	Q. Do you see your name in the recipient	12:09:27
25	field?	12:09:29

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		Page 222
1	A. On 299.	12:10:39
2	Q. Did you regularly attend these weekly LiDAR	12:10:40
3	stand-up meetings?	12:10:44
4	A. Yeah, to to the degree that I was	12:10:45
5	available for them, yes.	12:10:50
6	Q. Were you generally available for them?	12:10:51
7	A. Generally.	12:10:53
8	Q. Okay. This entry 299 corresponds to a	12:10:54
9	June 23rd, 2016, LiDAR stand-up meeting.	12:10:59
10	Do you see that?	12:11:02
11	A. I do.	12:11:02
12	Q. And you see your name listed as a	12:11:03
13	recipient?	12:11:05
14	A. I do.	12:11:05
15	Q. Do you recall a June 23rd, 2016, LiDAR	12:11:06
16	stand-up meeting?	12:11:11
17	A. Not specifically.	12:11:11
18	Q. Do you recall specifically any	12:11:13
19	contributions that Anthony Levandowski made at that	12:11:15
20	meeting?	12:11:17
21	A. I don't.	12:11:17
22	Q. Can we move to Number 363, please?	12:11:18
23	This corresponds to a July 21st, 2016,	12:11:22
24	LiDAR stand-up meeting.	12:11:32
25	Do you see that?	12:11:33

		Page 224
1	September 15th, 2016, stand-up meeting.	12:12:30
2	Do you see that?	12:12:33
3	A. September 15th, I see that.	12:12:33
4	Q. Do you recall attending a September 20	12:12:36
5	excuse me, September 15th, 2016, LiDAR stand-up	12:12:40
6	meeting?	12:12:46
7	A. I don't remember the specific meeting.	12:12:46
8	Q. Do you recall whether Anthony Levandowski	12:12:48
9	provided any input at this meeting?	12:12:51
10	A. I do not.	12:12:52
11	Q. Can we move to 491?	12:12:53
12	491 corresponds to a LiDAR stand-up meeting	12:12:56
13	on September 29th, 2016.	12:13:03
14	Do you see that?	12:13:05
15	A. September September 29th, I see that.	12:13:06
16	Q. Do you recall attending the September 29th,	12:13:09
17	2016, LiDAR stand-up meeting?	12:13:13
18	A. I remember attending meetings like it. I	12:13:15
19	don't remember if I attended this specific one.	12:13:19
20	Q. Do you recall what, if any, contribution	12:13:21
21	Anthony Levandowski made during this meeting?	12:13:23
22	A. I can't recall if if Anthony had any	12:13:26
23	contribution to this meeting.	12:13:30
24	Q. Can we go to entry Number 500, please?	12:13:31
25	A. Okay.	12:13:38

CERTIFICATE OF REPORTER 1 I, JOHNNA PIPER, a Certified Shorthand 2 Reporter, hereby certify that the witness in the 3 foregoing deposition was by me duly sworn to tell 4 the truth, the whole truth, and nothing but the 5 truth in the within-entitled cause; 6 That said deposition was taken in shorthand 7 by me, a disinterested person, at the time and place 8 therein stated, and that the testimony of the said 9 witness was thereafter reduced to typewriting, by 10 computer, under my direction and supervision; 11 That before completion of the deposition, 12 review of the transcript [] was [X] was not 13 requested. If requested, any changes made by the 14 deponent (and provided to the reporter) during the 15 period allowed are appended hereto. 16 I further certify that I am not of counsel 17 or attorney for either or any of the parties to the 18 said deposition, nor in any way interested in the 19 event of this cause, and that I am not related to 20 any of the parties thereto. 21 DATED: 8/16/2017 22 23 24 JOHNNA PIPER, CSR NO. 11268 25

Page 257

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 124 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

1	INTED CHARGO DICHDICE COIDE
	UNITED STATES DISTRICT COURT
2	NORTHERN DISTRICT OF CALIFORNIA
3	SAN FRANCISCO DIVISION
4	
5	WAYMO LLC
6	Plaintiff,
7	vs. Case No. 17-cv-00939-WHA
8	UBER TECHNOLOGIES, INC.;
	OTTOMOTTO, LLC; OTTO
9	TRUCKING LLC,
10	Defendants.
11	
12	**HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY**
13	
14	VIDEO DEPOSITION OF BRIAN McCLENDON
15	Palo Alto, California
16	Tuesday, August 1, 2017
17	Volume I
18	
19	
20	
21	REPORTED BY:
22	REBECCA L. ROMANO, RPR, CSR No. 12546
23	JOB NO. 2668964
24	
25	PAGES 1 - 217
	Page 1

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 125 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

1	Q. He was tasked not to?	05:07:30
2	A. He was told not to set I mean, the	
3	goal was to merge the teams and produce one great	
4	autonomy, products, software, development,	
5	environment, and everything. And and that was	05:07:44
6	his goal. That's what he was asked to do by	
7	Travis, as far as I understand.	
8	And some of his actions did not do that.	
9	And some of his team eventually forked off and kind	
10	of worked on their own thing. But other parts of	05:07:55
11	his team worked much more closely with Pittsburgh.	
12	So the split sort of happened more on	
13	his on the Otto side than anywhere else.	
14	(Exhibit 444 was marked for	
15	identification by the court reporter and is	05:08:09
16	attached hereto.)	
17	Q. (By Mr. Perlson) You have been handed	
18	what's been marked as Exhibit 444, Uber 69083 to	
19	84. It's an email string with yourself,	
20	Mr. Levandowski and others. It refers to Bluenote.	05:09:21
21	What what is that?	
22	A. Bluenote is the name of Uber's equivalent	
23	of Street View, if you know what Google Street View	
24	cameras are like. So driving around a relatively	
25	cheap camera system to collect data for map making.	05:09:34
		Page 170

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 126 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

1	And and it we had acquired a	05:09:38
2	company. We had acquired a group from Microsoft,	
3	including all of the technology and all of the	
4	hardware. And they had, not Bluenote, but some	
5	very expensive to operate and to to run system	05:09:53
6	that we replaced with a much lower cost system	
7	called Bluenote.	
8	But we also inherited all of the lasers	
9	from that system, which is what what are the 100	
10	Velodyne 32 beam lasers that are referred to in	05:10:08
11	that first line at the bottom.	
12	Q. Got it.	
13	(Exhibit 445 was marked for	
14	identification by the court reporter and is	
15	attached hereto.)	05:10:15
16	(Exhibit 446 was marked for	
17	identification by the court reporter and is	
18	attached hereto.)	
19	Q. (By Mr. Perlson) All right, so these are	
20	two emails, Exhibit 445 and 446. The first one	05:12:09
21	is Exhibit 445 is an email from yourself to	
22	Mr. Levandowski on October 1st, 2016. It says,	
23	"Talk with Raffi."	
24	Do you see that?	
25	A. Yes.	05:12:33
		Page 171

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 127 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

1 I, Rebecca L. Romano, a Certified Shorthand Reporter of the State of California, do hereby 2 certify: 3 That the foregoing proceedings were taken 4 before me at the time and place herein set forth; that any witnesses in the foregoing proceedings, 5 prior to testifying, were administered an oath; 6 that a record of the proceedings was made by me using machine shorthand which was thereafter 8 transcribed under my direction; that the foregoing transcript is true record of the testimony given. 9 Further, that if the foregoing pertains to the 10 original transcript of a deposition in a Federal 11 12 Case, before completion of the proceedings, review 13 of the transcript [] was [X] was not requested. 14 I further certify I am neither financially interested in the action nor a relative or employee 15 of any attorney or any party to this action. IN WITNESS WHEREOF, I have this date 16 subscribed my name. 17 18 Dated: August 2, 2017 19 2.0 2.1 22 23 24 Rebecca L. Romano, RPR, CSR. No 12546 2.5 Page 217

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1
                 UNITED STATES DISTRICT COURT
               NORTHERN DISTRICT OF CALIFORNIA
2
                    SAN FRANCISCO DIVISION
4
5
    WAYMO LLC
6
        Plaintiff,
7
                              Case No.
               vs.
    UBER TECHNOLOGIES, INC.; 17-cv-00939-WHA
8
    OTTOMOTTO, LLC; OTTO
9
10
    TRUCKING LLC,
11
        Defendants.
12
13
14
15
           VIDEOTAPED DEPOSITION OF EMIL MICHAEL
16
                  San Francisco, California
17
                    Friday, July 28, 2017
18
                           Volume I
19
20
21
    REPORTED BY:
    REBECCA L. ROMANO, RPR, CSR No. 12546
22
23
   JOB NO. 2666869
24
25
    PAGES 1 - 158
                                             Page 1
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1	Do you recall talking about the meeting	10:28:15
2	that they had that's referred to here?	
3	A. I don't.	
4	Q. Do you recall whether as of	
5	October 5th, 2015, that Mr. Kalanick was was he	10:28:37
6	involved in in the discussions regarding	
7	Mr. Levandowski's future company at that point?	
8	A. I don't believe he was involved that	
9	early on, no.	
10	Q. Do you recall when he got involved?	10:29:02
11	A. I don't exactly, but it was it was	
12	later in the later in the winter fall/winter	
13	of that year. At least another month or two beyond	
14	this date.	
15	Q. Handing you what's been previously marked	10:30:35
16	as Exhibit 252. It's a another meeting invite	
17	regarding Newco.	
18	Do you see that this one is dated	
19	October 23rd and you are on the list?	
20	Do you see that?	10:30:50
21	A. Yes.	
22	Q. Do you recall what was discussed at this	
23	meeting?	
24	MS. RAY: Objection.	
25	You may answer to the extent that it's	10:30:55
		Page 59

1	not privileged, but there are attorneys that were	10:30:58
2	present at this meeting. So don't answer as to	
3	anything that was privileged.	
4	MS. TOUGH: Also, objection to the form.	
5	MS. RAY: Join.	10:31:07
6	THE DEPONENT: I don't recall.	
7	Q. (By Mr. Perlson) Do you recall what the	
8	state of the negotiations with	
9	Anthony Levandowski's potential new company were at	
10	this time?	10:31:23
11	A. I believe we were still in discussions	
12	about purchasing laser prepurchasing lasers.	
13	Q. Do you know when the focus of the	
14	discussions shifted from prepurchasing lasers to an	
15	acquisition of the whole company?	10:32:13
16	MS. TOUGH: Objection to the form.	
17	MS. RAY: Join.	
18	THE DEPONENT: I'm not sure exactly.	
19	Q. (By Mr. Perlson) Do you recall how long	
20	into the discussion with Mr. Levandowski,	10:32:27
21	generally?	
22	I mean, was it halfway through?	
23	three-fourths through?	
24	MS. RAY: Objection. Form.	
25	THE DEPONENT: It was later that year,	10:32:40
		Page 60

1 I, Rebecca L. Romano, a Certified Shorthand 2. Reporter of the State of California, do hereby 3 certify: That the foregoing proceedings were taken 4 before me at the time and place herein set forth; 5 that any witnesses in the foregoing proceedings, 6 7 prior to testifying, were administered an oath; 8 that a record of the proceedings was made by me 9 using machine shorthand which was thereafter transcribed under my direction; that the foregoing 10 11 transcript is true record of the testimony given. 12 Further, that if the foregoing pertains to the original transcript of a deposition in a Federal 13 14 Case, before completion of the proceedings, review 15 of the transcript [] was [X] was not requested. 16 I further certify I am neither financially 17 interested in the action nor a relative or employee 18 of any attorney or any party to this action. 19 IN WITNESS WHEREOF, I have this date subscribed my name. 2.0 21 Dated: July 29, 2017 2.2 23 Rebecca L. Romano, RPR, 24 CSR. No 12546 25

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 132 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

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1
                UNITED STATES DISTRICT COURT
             NORTHERN DISTRICT OF CALIFORNIA
 2
 3
                   SAN FRANCISCO DIVISION
4
5
    WAYMO LLC,
                                   )
              Plaintiff,
6
7
                                  ) Case No.
              vs.
    UBER TECHNOLOGIES, INC., ) 3:17-cv-00939-WHA
8
9
    OTTOMOTTO LLC; OTTO TRUCKING )
10
    LLC,
11
            Defendants.
12
13
       HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY
14
15
16
       VIDEOTAPED DEPOSITION OF MATTHEW PALOMAR
                   San Francisco, California
17
18
                    Friday, August 18, 2017
19
20
    REPORTED BY:
21
   JOHNNA PIPER
22
    CSR 11268
23
    JOB No. 2681036
24
    PAGES 1 - 184
25
                                               Page 1
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Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 133 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY



Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 134 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY



Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 135 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY



Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 136 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY



1 CERTIFICATE OF REPORTER 2. I, JOHNNA PIPER, a Certified Shorthand 3 Reporter, hereby certify that the witness in the foregoing deposition was by me duly sworn to tell 4 the truth, the whole truth, and nothing but the 5 truth in the within-entitled cause; 6 7 That said deposition was taken in shorthand 8 by me, a disinterested person, at the time and place therein stated, and that the testimony of the said 9 witness was thereafter reduced to typewriting, by 10 computer, under my direction and supervision; 11 12 That before completion of the deposition, 13 review of the transcript []was []was not 14 requested. If requested, any changes made by the 15 deponent (and provided to the reporter) during the 16 period allowed are appended hereto. 17 I further certify that I am not of counsel 18 or attorney for either or any of the parties to the said deposition, nor in any way interested in the 19 event of this cause, and that I am not related to 2.0 any of the parties thereto. 21 22 DATED: 8/21/2017 23 24 25 JOHNNA PIPER, CSR NO. 11268

Page 184

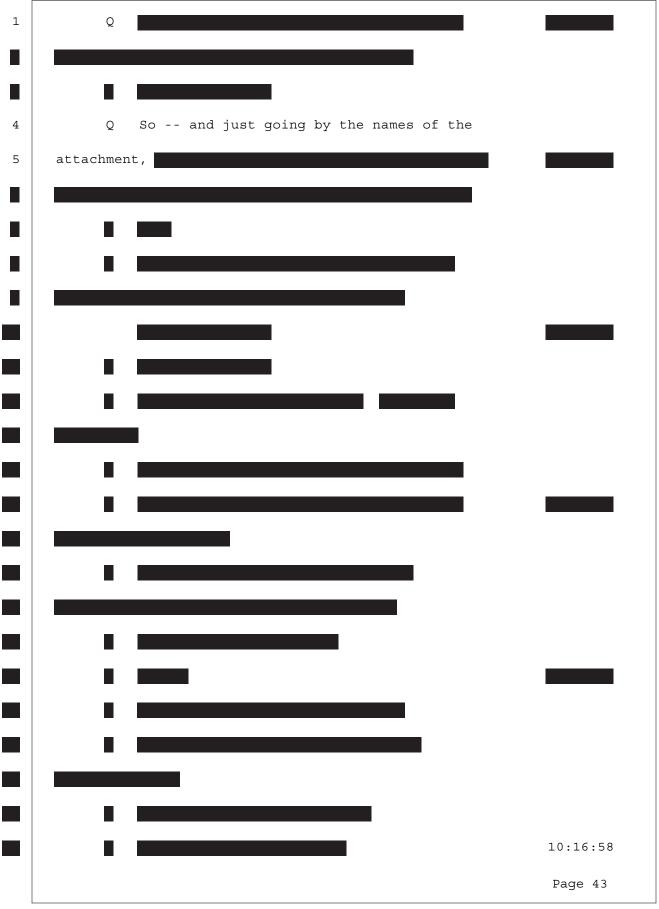
Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 138 of 196 CONFIDENTIAL - ATTORNEYS EYES ONLY

```
1
                UNITED STATES DISTRICT COURT
 2
               NORTHERN DISTRICT OF CALIFORNIA
 3
                     SAN FRANCISCO DIVISION
 4
 5
     WAYMO LLC,
                   Plaintiff,
 6
                                        Case No.
 7
            vs.
                                        3:17-cv-00939-WHA
 8
     UBER TECHNOLOGIES, INC.,
     OTTOMOTTO LLC; OTTO TRUCKING
 9
     LLC,
                  Defendants.
10
11
         *** CONFIDENTIAL - ATTORNEYS' EYES ONLY ***
12
13
           VIDEOTAPED DEPOSITION OF GAETAN PENNECOT
14
                   San Francisco, California
15
16
                    Thursday, April 20, 2017
                            Volume I
17
18
19
20
     Reported by:
21
     CARLA SOARES
22
    CSR No. 5908
23
    Job No. 2599854
24
25
     Pages 1 - 95
                                                   Page 1
```

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 139 of 196 CONFIDENTIAL - ATTORNEYS EYES ONLY

1	THE WITNESS: Yes.	10:13:53
2	BY MR. JAFFE:	
3	Q Why?	
4	A Because I thought he was going to work on	
5	trucks.	10:14:17
6	Q Is that what he told you when you were	
7	talking about joining the company?	
8	A We were, and we are still, working on	
9	trucks.	
10	MR. JAFFE: Let's mark as Exhibit 102 a	10:14:40
11	document Bates-labeled UBER11465.	
12	(Exhibit 102 was marked for identification	
13	and is attached hereto.)	
14	BY MR. JAFFE:	
15	Q Mr. Pennecot, this is an e-mail that you	10:15:01
16	sent to Mr. Levandowski in February 2017, correct?	
17	A This is correct. Let me this is	
18	correct.	
19	Q And the subject line is	
		10:15:39
21	Do you see that?	
22	A I see it.	
23	Q Fuji, that refers to one of Uber's LiDAR	
24	projects, right?	
25	A This is correct.	10:15:48
		Page 42

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 140 of 196 CONFIDENTIAL - ATTORNEYS EYES ONLY



Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 141 of 196 CONFIDENTIAL - ATTORNEYS EYES ONLY

1	speculation.	10:18:43
2	THE WITNESS: I don't know.	
3	BY MR. JAFFE:	
4	Q He just walked up to you and said, "Can	
5	you send me these very specific things?"	10:18:52
6	A I guess he called me.	
7	Q And what did he say?	
8	A What did he say? He asked me to send him,	
9	like, some , like, describing my	
10	job, what I was doing.	10:19:14
11	Q And did you have any understanding of why	
12	he was asking you to send him this material?	
13	A No.	
14	Q Did you ask?	
15	A I don't remember.	10:19:33
16	Q Did you get any further information	
17	afterwards on why he asked you to send this material	
18	about Fuji?	
19	A No, I didn't.	
20	Q Why do you have any understanding of	10:19:46
21	why Mr. Levandowski would ask you to send these	
22	detailed this detailed information about the Fuji	
23	project to him?	
24	MR. KIM: Objection. Calls for	
25	speculation, asked and answered.	10:19:55
		Page 45

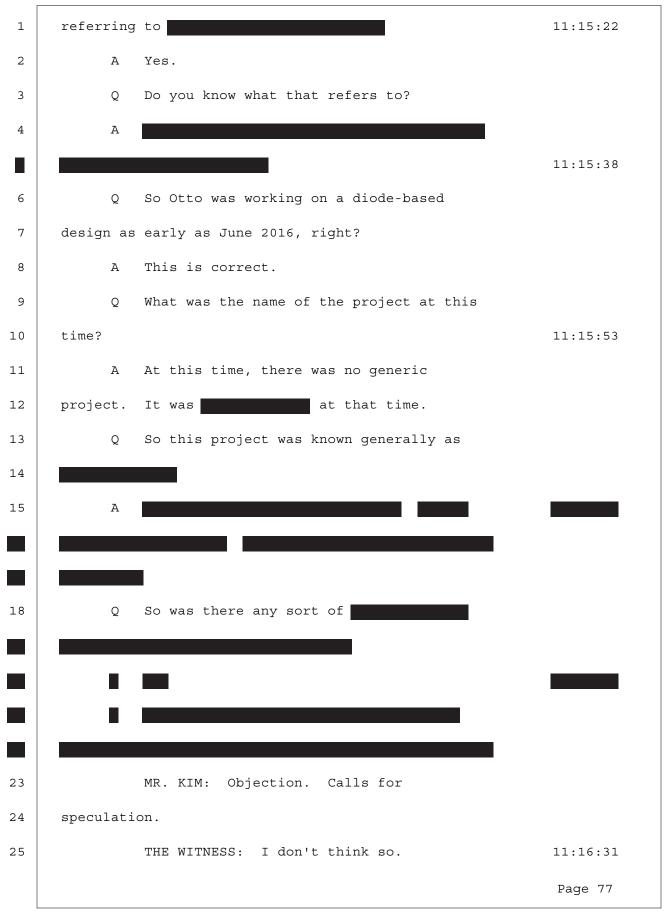
Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 142 of 196 CONFIDENTIAL - ATTORNEYS EYES ONLY

1	designed around what he provided you, and you	10:52:15
2	resulted in Exhibit 101?	
3	MR. KIM: Objection. Vague.	
4	THE WITNESS: I used it as a first spec,	
5	you know. Like, you need to start somewhere.	10:52:26
6	MR. JAFFE: Why don't we take a quick	
7	break.	
8	THE VIDEO OPERATOR: The time is	
9	10:52 a.m. We're off the record.	
10	(Recess, 10:52 a.m 11:09 a.m.)	10:52:44
11	THE VIDEO OPERATOR: The time is 11:09	
12	a.m. We are back on the record.	
13	MR. JAFFE: I'm going to mark as	
14	Exhibit 103 a document Bates-labeled UBER11588.	
15	(Exhibit 103 was marked for identification	11:09:51
16	and is attached hereto.)	
17	BY MR. JAFFE:	
18	Q Mr. Pennecot, this is an e-mail that you	
19	wrote, right? Exhibit 103?	
20	A Yes, this is correct.	11:10:49
21	Q You said you guess this is correct?	
22	A No, this is correct. This is correct.	
23	This is this page.	
24	Q And going back to the first page, the	
25	subject line is "	11:11:01
		Page 72

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 143 of 196 CONFIDENTIAL - ATTORNEYS EYES ONLY

1	like, with some friends, too.	11:14:18
2	Q You don't take vacation days to go there,	
3	right?	
4	A It's in San Francisco. It's really easy	
5	to get there.	11:14:24
6	Q Right. But you don't take time, like	
7	vacation time, to go there. You go there as part of	
8	your job?	
9	A I I've been there, also, on my own.	
10	Like, I took some friends that were working on some	11:14:33
11	projects to Photonics West to take a look.	
12	Q Who did you take?	
13	A I took my friend Florian that was working	
14	on optical LED projects over there.	
15	MR. JAFFE: So I'm going to mark as	11:14:47
16	Exhibit 104 a document entitled "UBER8562."	
17	(Exhibit 104 was marked for identification	
18	and is attached hereto.)	
19	BY MR. JAFFE:	
20	Q So Exhibit 104, this is Mr. Levandowski	11:15:07
21	forwarding an e-mail that you wrote in June of 2016,	
22	right?	
23	A Um-hum.	
24	Q So this is referring to well, let me	
25	start with, do you see Mr. Levandowski, his e-mail,	11:15:19
		Page 76

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 144 of 196 CONFIDENTIAL - ATTORNEYS EYES ONLY



Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 145 of 196 CONFIDENTIAL - ATTORNEYS EYES ONLY

1	I, the undersigned, a Certified Shorthand
2	Reporter of the State of California, do hereby
3	certify:
	That the foregoing proceedings were taken
4	before me at the time and place herein set forth;
5	that any witnesses in the foregoing proceedings,
6	prior to testifying, were administered an oath; that
7	a record of the proceedings was made by me using
	machine shorthand which was thereafter transcribed
8	under my direction; that the foregoing transcript is
9	a true record of the testimony given.
10	Further, that if the foregoing pertains to
11	the original transcript of a deposition in a Federal
12	Case, before completion of the proceedings, review
	of the transcript [] was [x] was not requested
13	I further certify I am neither financially
14	interested in the action nor a relative or employee
15	of any attorney or any party to this action.
16	IN WITNESS WHEREOF, I have this date
17	subscribed my name.
18	
19	Dated: April 20, 2017
20	
21	
22	0 1
23	Carla Soares
24	CARLA SOARES
25	CSR No. 5908
	Da 05
	Page 95

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 146 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

1	UNITED STATES DISTRICT COURT			
2	NORTHERN DISTRICT OF CALIFORNIA			
3	SAN FRANCISCO DIVISION			
4				
5	WAYMO LLC,			
6	Plaintiff,			
	Case			
7	vs. No. 3:17-cv-00939-WHA			
8	UBER TECHNOLOGIES, INC.;			
	OTTOMOTTO LLC; OTTO TRUCKING LLC,			
9				
	Defendants.			
10	/			
11				
12				
13	HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY			
14	VIDEOTAPED DEPOSITION OF GAETAN PENNECOT			
15	VOLUME III (PAGES 275 to 478)			
16	FRIDAY, JUNE 16, 2017			
17				
18				
19				
20				
21				
22	Reported by:			
23	Anrae Wimberley			
24	CSR No. 7778			
25	Job No. 2641228			
	Page 275			

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 147 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

1	MR. JAFFE: Let's mark as Exhibit 108 a document	10:34:39
2	entitled, "Off axis fiber study - TX," Bates label	10:34:45
3	UBER00072137.	10:34:48
4	(Plaintiff's Exhibit 108 was marked.)	10:35:09
5	BY MR. JAFFE:	10:35:09
6	Q. Mr. Pennecot, do you recognize the document	10:35:11
7	I've put in front of you as Exhibit 108?	10:35:14
8	(Witness reviews document.)	10:35:14
9	A. I do.	10:35:46
10	Q. What is Exhibit 108?	10:35:49
11	A. This is a proposal for a transmit lens for	10:36:06
12	Spider.	10:36:07
13	Q. When did you create Exhibit 108?	10:36:11
14	A. So that I don't remember.	10:36:15
15	Q. Can you tell me approximately when you	10:36:18
16	created Exhibit 108?	10:36:20
17	A. Let me check if there's a date in here.	10:36:31
18	(Witness reviews document.)	10:36:39
19	A. Yes, I can. And I would say after June 9th,	10:36:48
20	2016.	10:36:49
21	Q. And why do you say after June 9th?	10:36:52
22	A. Because if you look at these pages, they come	10:36:58
23	with a date.	10:36:59
24	Q. Why did you create Exhibit 108?	10:37:02
25	A. To talk about possible lenses for Spider.	10:37:19
	Pa	ge 294

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 148 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

1	Q. With who?	10:37:20	
2	A. This would be with James and Anthony.	10:37:26	
3	Q. Anthony Levandowski?	10:37:28	
4	A. Yes.	10:37:28	
5	Q. Why would you be discussing the transmit lens	10:37:32	
6	for Spider with Mr. Haslim and Mr. Levandowski?	10:37:38	
7	A. Because I wanted to justify the fact that I	10:37:47	
8	wanted two lens elements in the system and not only	10:37:54	
9	one.	10:37:54	
10	Q. Why did you want two lens elements in the	10:37:57	
11	Spider design?	10:37:59	
12	A. Because making a diffraction-limited spot	10:38:07	
13	using only one lens at an angle of axis is very hard 10:38:19		
14	with a single element.	10:38:22	
15	Q. And why were you talking with Mr. Haslim and	10:38:28	
16	Mr. Levandowski specifically about the issues here in	10:38:31	
17	Exhibit 108?	10:38:33	
18	A. Because we were designing LiDAR.	10:38:46	
19	Q. Mr. Haslim, you and Mr. Levandowski were	10:38:53	
20	designing a LiDAR; is that right?	10:38:55	
21	A. This is correct.	10:38:56	
22	Q. So you were talking to Mr. Levandowski about	10:38:58	
23	Exhibit 108 because he was working with you on	10:39:01	
24	designing a LiDAR?	10:39:04	
25	A. At that time, he was following what I was	10:39:09	
	Pag	ge 295	

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 149 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

1	A. This is correct.	10:42:12
2	Q. Did you discuss this presentation with	10:42:27
3	Mr. Levandowski and Mr. Haslim?	10:42:32
4	A. Yes, I did.	10:42:32
5	Q. What did you discuss?	10:42:35
6	A. I said I believe they were pushing on a	10:42:48
7	single-lens design, and I was pushing on a two-lens	10:42:53
8	element design. And one of the big question was	10:43:01
9	tolerances on the second element, how to align the	10:43:05
10	second element, would that impact the optical	10:43:09
11	performances. And most of the simulation were around	10:43:14
12	these tolerances.	10:43:15
13	So I did a lot of moving, deforming. And	10:43:19
14	that's what you see on the last page, which is the	10:43:24
15	bulk of the work. That's a rough tolerance analysis.	10:43:29
16	And I'd been moving the lens in every directions to	10:43:36
17	see if it had an impact on the design.	10:43:39
18	Q. In looking at the last page, which is, for	10:43:41
19	the record, Exhibit 108 at page 142, there are two	10:43:45
20	lens elements there?	10:43:47
21	A. This is correct.	10:43:48
22	Q. What is the function of what's labeled as	10:43:50
23	"Element 2"?	10:43:51
24	A. So in order to make a lens that has less	10:44:02
25	aberrations, spherical aberrations, or if you need a	10:44:10
	Pa	ıge 298

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CERTIFICATE OF DEPOSITION OFFICER

I, ANRAE WIMBERLEY, CSR NO. 7778, duly authorized to administer oaths pursuant to Section 8211 of the California Code of Civil Procedure, hereby certify that the witness in the foregoing deposition was by me sworn to testify to the truth, the whole truth and nothing but the truth in the within-entitled cause; that said deposition was taken at the time and place therein stated; that the testimony of said witness was reported by me and was thereafter transcribed by me or under my direction by means of computer-aided transcription; that the foregoing is a full, complete and true record of said testimony; and that the witness was given an opportunity to read and correct said deposition and to subscribe same.

I further certify that I am not of counsel or attorney for either or any of the parties in the foregoing deposition and caption named, nor in any way interested in the outcome of the cause named in said caption.

IN WITNESS WHEREOF, I have hereunto subscribed by my hand this 18th day of June, 2017.

Ansao Whimberley

ANRAE WIMBERLEY, CSR NO. 7778

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1
                 UNITED STATES DISTRICT COURT
 2
                NORTHERN DISTRICT OF CALIFORNIA
                    SAN FRANCISCO DIVISION
 3
 4
 5
      WAYMO LLC,
                   Plaintiff,
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 7
                                  ) Case No.
              VS.
      UBER TECHNOLOGIES, INC., ) 3:17-cv-00939-WHA
 8
 9
      OTTOMOTTO LLC; OTTO
      TRUCKING LLC,
10
                   Defendants.
11
12
          HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY
13
14
      CONTINUED VIDEOTAPED DEPOSITION OF GAETAN PENNECOT
15
                   San Francisco, California
16
17
                   Wednesday, June 14, 2017
18
                            Volume II
19
20
     Reported by:
21
     SUZANNE F. GUDELJ, CSR No. 5111
22
23
     Job No. 2638084
24
    PAGES 96 - 274
25
                                                  Page 96
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1	it was about putting like like the way I
2	understood it, it was about like solving the
3	self-driving problem as a whole.
4	(Reporter clarification.)
5	Solving the self-driving car problem, like 02:09:01
6	the self-driving car technology, so very how you
7	say very bold milestones.
8	Q Did you discuss the LiDAR-related
9	milestones with anyone?
10	A I don't remember. 02:09:24
11	Q Did you ever discuss the milestones with
12	Anthony Levandowski?
13	A I don't remember. So maybe, you know, All
14	Hands, like maybe there were like questions to
15	Anthony, but I'm I'm not sure now. Don't 02:09:44
16	remember.
17	Q You discussed these milestones at All Hands
18	meetings; is that right?
19	A I think they were exposed to the employees
20	when they announced the acquisition. 02:10:14
21	Q What do you mean they were exposed to the
22	milestones in your equity agreement?
23	A So they were exposing the presentation.
24	Q And then what what did what did you
25	all discuss? 02:10:32
	Page 197

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 153 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	A I don't remember. Like, you know, like
2	I don't remember.
3	Q When's the last time you had a conversation
4	with Anthony Levandowski related to LiDAR?
5	A I think it's when we started the Fuji 02:11:07
6	project.
7	Q Approximately when was that?
8	A October or November 2016.
9	Q Okay. So between October, November 2016
10	and now, you haven't had any conversations with Mr. 02:11:33
11	Levandowski regarding LiDAR; is that correct?
12	A No, this is not correct. I don't recall
13	any conversations.
14	Q I see. So you could have had conversations
15	with him regarding LiDAR; you just don't remember? 02:11:46
16	A I don't remember.
17	Q Okay. The last conversation that you
18	recall with Mr. Levandowski regarding LiDAR was in
19	October, November 2016; is that right?
20	A That I recall, yes. 02:12:03
21	Q What did you and Mr. Levandowski discuss?
22	A It was like all the team, all the LiDAR
23	team, and I think we were expressing disagreement
24	against Spider, and we wanted to drop the project.
25	Q And who's the "we" you're referring to? 02:12:25
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1	cover to take over.
2	Q So you joined Otto in April 2016, and
3	Anthony directed you to take over the FAC lens
4	project which was for a diode-based LiDAR; is that
5	right? 02:18:19
6	A This is correct.
7	Q Okay. And what did Anthony tell you when
8	you when you joined about this FAC lens project?
9	A So he told me that it went through a round
10	of DSM, design from manufacturing through and 02:18:35
11	that he had like Gruver had like some files he
12	could show me.
13	Q And did you know what you were designing
14	towards with this FAC lens, like what LiDAR it was
15	going to go in? 02:18:56
16	A No, so that I didn't know.
17	Q Did Anthony know?
18	A I don't know.
19	Q So you weren't given any sort of parameters
20	for what type of LiDAR this FAC lens would work in? 02:19:06
21	A Not at that time.
22	Q When were you given those parameters?
23	A So the design parameters came later that
24	year. When we decided to go on Fuji, I received
25	like the beam angles, parameters, which is like the 02:19:33
	Page 202

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 155 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	hard parameters, like the, you know, design spec			
2	from James. I don't I don't remember when but			
3	like around October or November. Like it was like			
4	the first things that came.			
5	Q So you received some beam angles from James 02:19:50			
6	Haslim in October or November; is that right?			
7	A This is correct.			
8	Q Before that time, that is in between April			
9	and October, November, you were designing an FAC			
10	lens but you didn't know what type of diode-based 02:20:10			
11	LiDAR it would go in, you had no information			
12	A No information.			
13	Q is that right?			
14	A This is correct.			
15	Q Is that is that typical for your 02:20:19			
16	engineering work?			
17	A So when I've done an FAC lens at Google, we			
18	So like that			
19	was FAC lens, fast axis collimation.			
20	Q Okay. Did you discuss other than that 02:20:42			
21	first time when when Mr. Levandowski directed you			
22	to work on the FAC lens project, did you discuss the			
23	FAC lens project with Mr. Levandowski any other			
24	time?			
25	A Maybe when we received the first FAC 02:21:15			
	Page 203			

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 156 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	lenses.		
2	Q	When was that?	
3	А	At the end of the summer, maybe in	
4	Septembe:	r.	
5	Q	And what did you and Mr. Levandowski	02:21:33
6	discuss?		
7	А	It was not a discussion, it was more like	
8	me inform	ming him that we have like FAC lenses.	
9	Q	What did he say?	
10	А	I don't know. Like he was happy; like he	02:21:48
11	was oh,	great.	
12	Q	That's it. That was the sum of the	
13	interact:	ion?	
14	А	I don't know. I cannot remember.	
15	Q	Okay. So that was in September?	02:22:02
16	А	I'm not super clear on the dates here.	
17	Q	Other than that conversation, did you have	
18	any othe	r conversations with Mr. Levandowski about	
19	the FAC	lens project?	
20	А	Not that I recall.	02:22:25
21	Q	The FAC lens, the design was done before	
22	that mee	ting you were referring to where you decided	
23	to scrap	the Spider project; is that right?	
24	A	This is correct.	
25	Q	So after you finished the FAC lens, but	02:22:43
			Page 204

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 157 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	I, the undersigned, a Certified Shorthand				
2	Reporter of the State of California, do hereby				
3	certify:				
4	That the foregoing proceedings were taken				
5	before me at the time and place herein set forth;				
6	that any witnesses in the foregoing proceedings,				
7	prior to testifying, were duly sworn; that a record				
8	of the proceedings was made by me using machine				
9	shorthand which was thereafter transcribed under my				
10	direction; that the foregoing transcript is a true				
11	record of the testimony given.				
12	Further, that if the foregoing pertains to				
13	the original transcript of a deposition in a Federal				
14	Case, before completion of the proceedings, review				
15	of the transcript [] was [x] was not requested.				
16	I further, certify I am neither financially				
17	interested in the action nor a relative or employee				
18	of any attorney or party to this action.				
19	IN WITNESS WHEREOF, I have this date				
20	subscribed my name.				
21	Dated: 6/19/2017				
22					
23	Sugarne J. Gudelj.				
24	SUZANNE F. GUDELJ				
25	CSR No. 5111				
	Page 274				

Page 479
UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

Case No.

17-cv-00939-WHA

SAN FRANCISCO DIVISION

WAYMO LLC,)
Plaintiff,)

VS.

UBER TECHNOLOGIES, INC.;)

OTTOMOTTO, LLC; OTTO TRUCKING LLC,)

Defendants.

HIGHLY CONFIDENTIAL-ATTORNEYS' EYES ONLY

UNDER THE PROTECTIVE ORDER

VIDEOTAPED DEPOSITION OF

GAETAN PENNECOT

San Francisco, California

Thursday, August 9, 2017

Volume IV

Reported by: MARY J. GOFF CSR No. 13427

Job No. 2675906

PAGES 479 - 763

			Page 716
1	А	okay. I have got it. Yeah.	05:24:45
2	Q	And do you see your name?	05:24:49
3	А	Yes, I do.	05:24:54
4	Q	In the "Recipients" column?	05:24:56
5	А	Yes.	05:24:58
6	Q	And immediately previous to yours, there's	05:24:58
7	Anthony	Levandowksi's name?	05:25:00
8	А	Yes.	05:25:02
9	Q	Okay. What did Anthony Levandowksi	05:25:03
10	contribu	te to the weekly LiDAR stand-up meeting?	05:25:06
11	А	I'm not even sure he was showing up to it.	05:25:17
12	So an	d I I don't remember, like, any, like,	05:25:22
13	specific	contribution. But I	05:25:25
14	Q	Can you	05:25:29
15	А	I also remember that he was not showing	05:25:29
16	up usual	ly.	05:25:32
17	Q	Okay. Sitting here today, can you tell me	05:25:34
18	any info	rmation that Anthony Levandowksi provided at	05:25:36
19	the meet	ing that's reflected here as Entry 206 on	05:25:42
20	this doc	ument we have marked as Exhibit 544?	05:25:45
21	А	I don't remember anything significant.	05:25:55
22	Q	Do you remember anything?	05:25:58
23	А	Sorry. Mostly I remember, like, meetings	05:26:11
24	with our	company. But so he may have showed up,	05:26:13
25	like, so	metimes and talked about team changes or	05:26:18

		Page 717
1	whatever. Like, I I'm not no, I don't I	05:26:21
2	don't remember anything specific.	05:26:24
3	Q Okay. Just to I'm not sure	05:26:27
4	A Do you have	05:26:47
5	Q I'm not sure I understood that answer.	05:26:47
6	So sitting here today, can you tell me any	05:26:49
7	information that Anthony Levandowksi provided at the	05:26:51
8	meeting that's that's reflected here as	05:26:54
9	Exhibit or as Entry 206 in Exhibit 5	05:26:56
10	A So	05:27:00
11	Q 44?	05:27:00
12	A not that I recall. Like, if you have	05:27:01
13	any specific examples, I may be able to say: True,	05:27:03
14	not true. But I don't I don't remember. He	05:27:08
15	he was not showing up usually so	05:27:10
16	Q Okay. So going to 269. Entry 269,	05:27:19
17	there's another entry for this "LiDAR stand-up"?	05:27:22
18	A Yes.	05:27:30
19	Q And you're, again, listed as attending?	05:27:31
20	A Um-hum.	05:27:36
21	Q And Anthony is also listed as attending?	05:27:36
22	A Yes.	05:27:47
23	Q What information did Anthony Levandowksi	05:27:48
24	provide at this meeting labeled as as	05:27:49
25	A Is	05:27:53

		Page 723
1	Q entries 540 and 541?	05:35:27
2	A October 28 34 but they're all at the	05:35:30
3	same time, so it looks like there's a problem	05:35:35
4	somewhere.	05:35:37
5	Q There's these are duplicates?	05:35:37
6	A That looks like it.	05:35:39
7	Q Okay. All right. 876. Do you see	05:35:40
8	Entry 876?	05:36:24
9	A Yes, I see that.	05:36:26
10	Q Okay. What did you and Anthony	05:36:26
11	Levandowksi discuss regarding leadership over	05:36:29
12	FAC lens project in April 2016, reflected here as	05:36:32
13	Entry 876?	05:36:35
14	A So I believe that was my first meeting,	05:36:37
15	like, about work about what I should do next, and	05:36:38
16	that's when he told me: Work on the FAC lens.	05:36:46
17	Q Anything else?	05:36:51
18	A No, I don't remember.	05:36:52
19	Q All right. Let's go to 882. There's a	05:37:06
20	reference to "weekly LiDAR team meetings"?	05:37:22
21	A Um-hum.	05:37:24
22	Q What information did Anthony Levandowksi	05:37:26
23	provide during the meetings that are described at	05:37:29
24	Entry 822 882?	05:37:32
25	A I I don't even know which one that	05:37:38

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 162 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

I, MARY J. GOFF, CSR No. 13427, Certified 1 Shorthand Reporter of the State of California, 2 3 certify; That the foregoing proceedings were taken 4 before me at the time and place herein set forth, at 5 which time the witness declared under penalty of perjury; that the testimony of the witness and all 6 objections made at the time of the examination were recorded stenographically by me and were thereafter 8 transcribed under my direction and supervision; that the foregoing is a full, true, and correct transcript of my shorthand notes so taken and of the 10 testimony so given; 11 That before completion of the deposition, 12 review of the transcript () was (XX) was not 13 requested: () that the witness has failed or 14 refused to approve the transcript. I further certify that I am not financially 15 interested in the action, and I am not a relative or 16 employee of any attorney of the parties, nor of any 17 of the parties. 18 I declare under penalty of perjury under the 19 laws of California that the foregoing is true and 20 correct, dated this 11th day of August 2017. 21 22 23 24 MARY J. GOFF, CSR No. 13427 25

Page 763

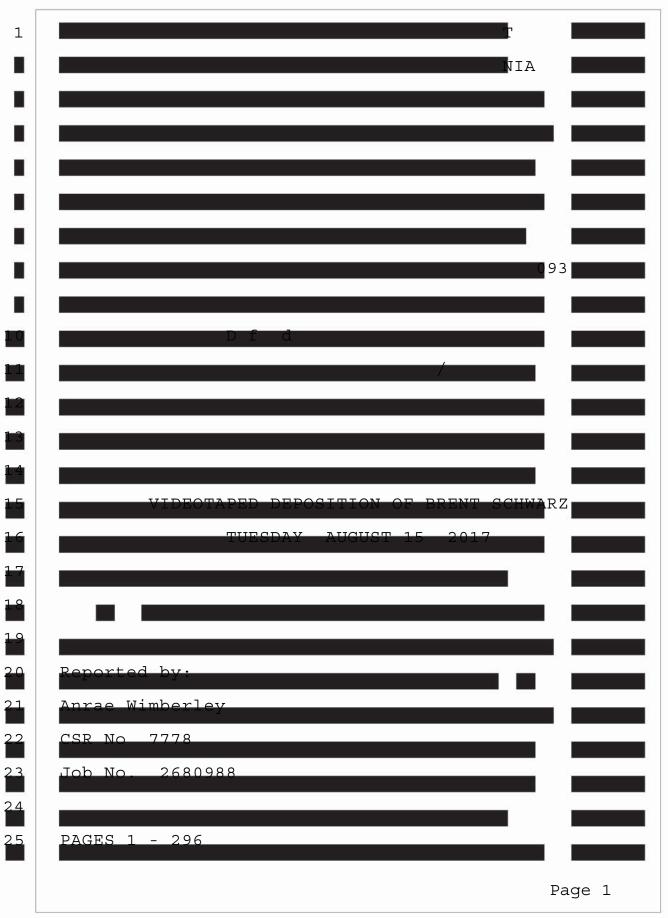
Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 163 of 196 WAYMO & UBER CONFIDENTIAL - ATTORNEYS EYES ONLY

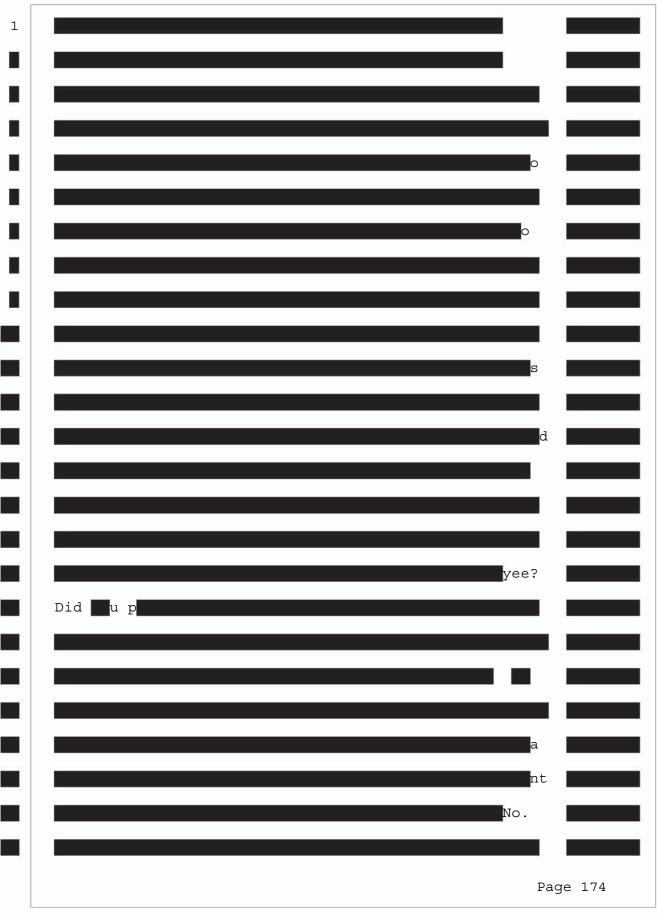
[
1	UNITED STATES DISTRICT COURT
2	NORTHERN DISTRICT OF CALIFORNIA
3	SAN FRANCISCO DIVISION
4	000
5	
6	WAYMO LLC,
7	Plaintiff,
8	vs. No. 3:17-cv-00939-WHA
9	UBER TECHNOLOGIES, INC.;
	OTTOMOTTO LLC; OTTO TRUCKING,
10	INC.,
11	Defendants.
	/
12	
13	WAYMO & UBER CONFIDENTIAL ATTORNEYS' EYES ONLY
14	
15	VIDEOTAPED DEPOSITION OF CAMERON POETZSCHER
16	SAN FRANCISCO, CALIFORNIA
17	MONDAY, JUNE 19, 2017
18	
19	
20	BY: ANDREA M. IGNACIO,
21	CSR, RPR, CRR, CCRR, CLR
22	CSR LICENSE NO. 9830
23	JOB NO. 2642012
24	
25	Pages 1 - 374
	Page 1

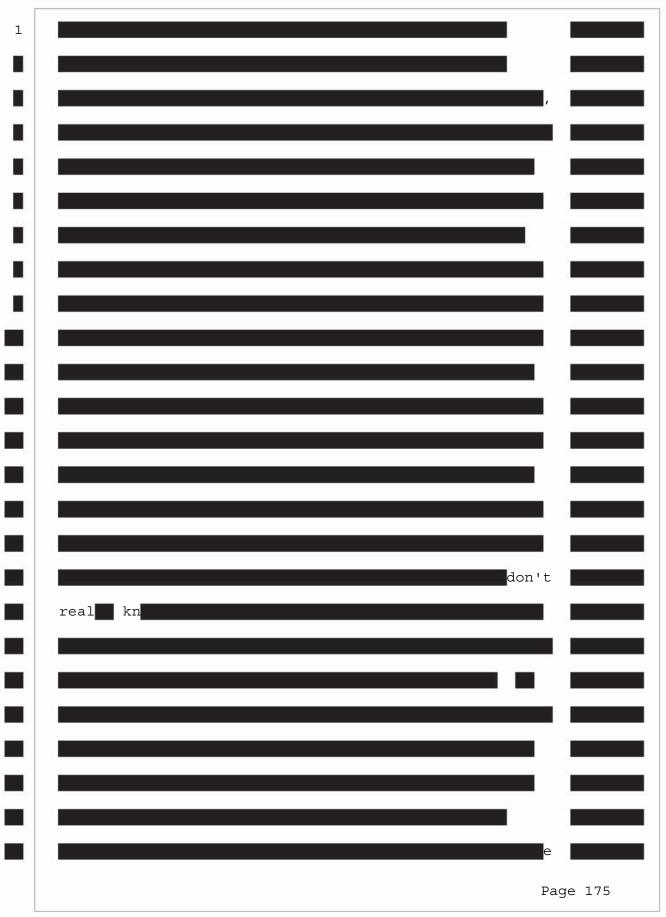
Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 164 of 196 WAYMO & UBER CONFIDENTIAL - ATTORNEYS EYES ONLY

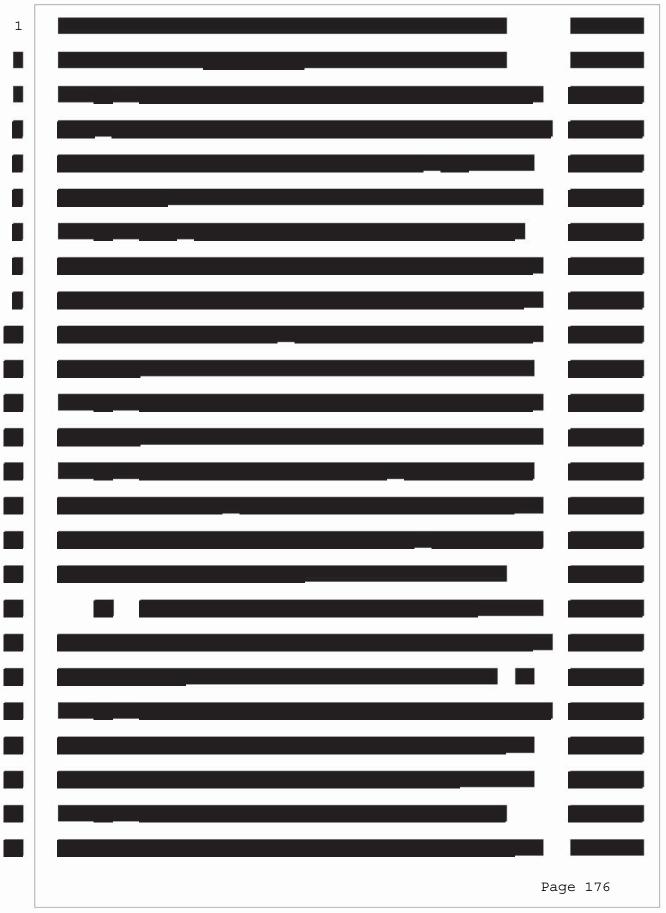
1	So, I can't pinpoint them to exactly that	10:49
2	time frame; right? So, just to clarify that.	10:49
3	Q Okay. So then we'll broaden it.	10:49
4	From from your earliest discussions from	10:49
5	Anthony in September of 2015 until, we'll say, the	10:49
6	the April 11th, 2016, agreement, what were Anthony and	10:49
7	Lior telling you they were going to develop that was	10:49
8	better or different than what Google and Waymo were	10:49
9	doing?	10:49
10	A Sure.	10:49
11	I mean, obviously, I'm not the technical	10:49
12	person, so I imagine they would have had more detailed	10:49
13	discussion with others. What they told me is they had	10:49
14	new ways of doing lasers, in particular,	
	, that no one else was doing.	10:49
16	Q Do you know if Waymo was developing	10:49
17	at the time?	10:49
18	A I don't know.	10:49
19	Q Was Uber?	10:49
20	A I don't know.	10:49
21	Q And what about Did	10:50
22	they discuss that with you at all?	10:50
23	A Yes, we discussed	
		10:50
25	Q And, was Waymo or Google developing	10:50
	Pag	ge 106

1 CERTIFICATE OF REPORTER 2 3 I, ANDREA M. IGNACIO, hereby certify that the witness in the foregoing deposition was by me duly sworn to tell the truth, the whole truth, and nothing 4 5 but the truth in the within-entitled cause; 6 That said deposition was taken in shorthand 7 by me, a disinterested person, at the time and place therein stated, and that the testimony of the said 8 witness was thereafter reduced to typewriting, by 9 computer, under my direction and supervision; 10 That before completion of the deposition, review of the transcript [x] was [] was not 11 requested. If requested, any changes made by the 12 deponent (and provided to the reporter) during the 13 14 period allowed are appended hereto. I further certify that I am not of counsel or attorney for either or any of the parties to the said 15 deposition, nor in any way interested in the event of 16 this cause, and that I am not related to any of the 17 parties thereto. 18 19 Dated: June 20, 2017 20 21 22 2.3 24 ANDREA M. IGNACIO, 25 RPR, CRR, CCRR, CLR, CSR No. 9830 Page 374







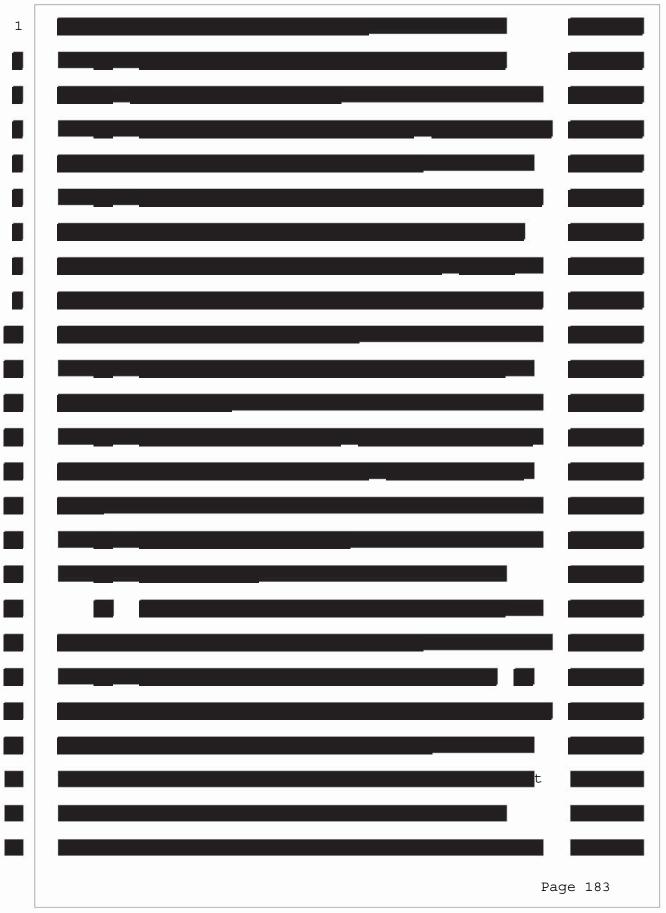










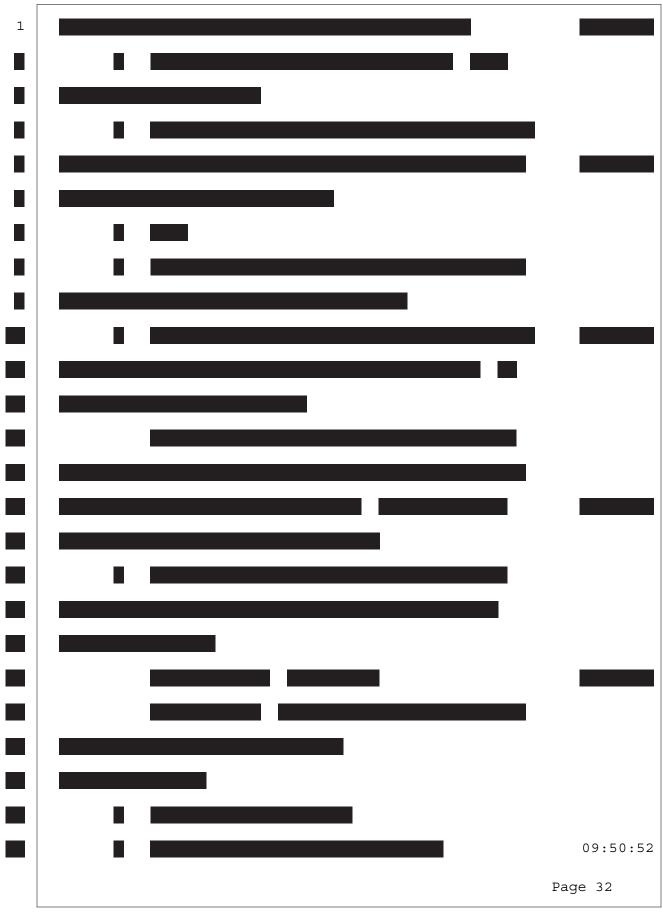


1	FEDERAL CERTIFICATE OF DEPOSITION OFFICER
2	
	I, ANRAE WIMBERLEY, CSR NO. 7778, do hereby
3	declare:
4	That prior to being examined, the witness
	named in the foregoing deposition was by me duly sworn
5	pursuant to Section 30(f)(1) of the Federal Rules of
6	Civil Procedure and the deposition is a true record of
7	the testimony given by the witness;
	That said deposition was taken down by me in
8	shorthand at the time and place therein named and
9	thereafter reduced to text under my direction;
	That the witness was requested to review the
LO	transcript and make any changes to the transcript as a
L1	result of that review pursuant to Section 30(e) of the
	Federal Rules of Procedure;
L2	No changes have been provided by the witness
L3	during the period allowed;
L4	The changes made by the witness are appended
	to the transcript;
L5	No request was made that the transcript be
L6	reviewed pursuant to Section 30(e) of the Federal
_	Rules of Civil Procedure.
L7	I further declare that I have no interest in
L8	the event of the action.
	I declare under penalty f perjury under the
L9	laws of the United States of America that the
20	foregoing is true and correct.
21	WITNESS my hand this 16th day of August 2017.
22	70.01
23	<%signature%>climberles
24	<pre><pre><pre><pre><pre><pre><pre><pre></pre></pre></pre></pre></pre></pre></pre></pre>
25	ANRAE WIMBERLEY, CSR NO. 7778

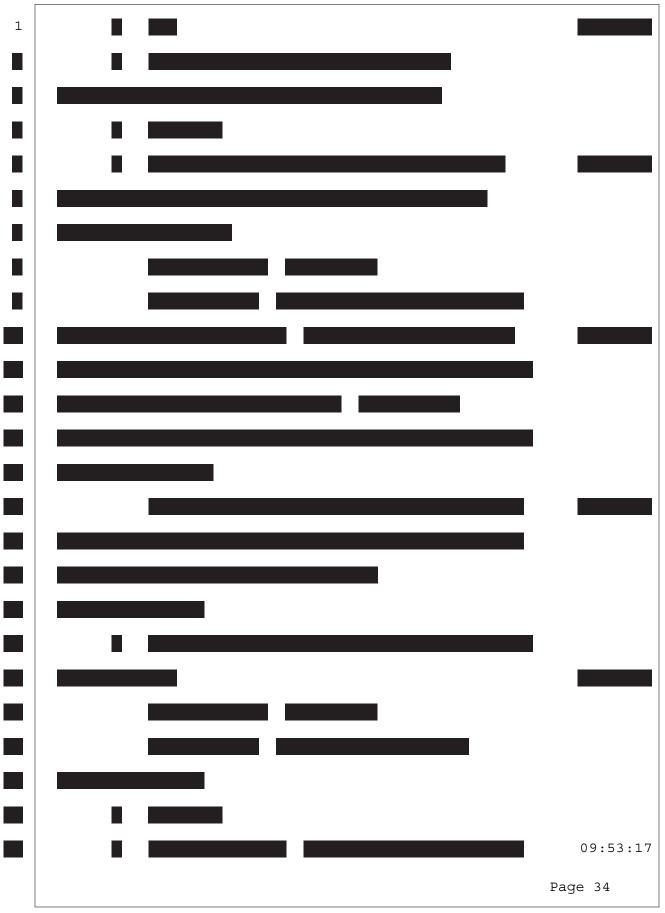
Page 296

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1
                UNITED STATES DISTRICT COURT
2
               NORTHERN DISTRICT OF CALIFORNIA
                   SAN FRANCISCO DIVISION
 3
4
5
     WAYMO LLC,
                                   )
                  Plaintiff,
6
7
                                   ) Case No.
                     vs.
     UBER TECHNOLOGIES, INC.; ) 3:17-cv-000939-WHA
8
9
     OTTOMOTTO LLC; OTTO TRUCKING, )
10
     INC.,
11
                  Defendants.
12
13
14
15
            VIDEOTAPED DEPOSITION OF COLIN SEBERN
16
                  San Francisco, California
                   Tuesday, August 22, 2017
17
18
                           Volume I
19
20
    Reported by:
21
    CARLA SOARES
22
    CSR No. 5908
23
    JOB No. 2686011
24
    PAGES 1 - 106
25
                                                 Page 1
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1 I, the undersigned, a Certified Shorthand 2. Reporter of the State of California, do hereby 3 certify: That the foregoing proceedings were taken 4 before me at the time and place herein set forth; 5 that any witnesses in the foregoing proceedings, 6 7 prior to testifying, were administered an oath; that a record of the proceedings was made by me using 8 machine shorthand which was thereafter transcribed 9 under my direction; that the foregoing transcript is 10 11 a true record of the testimony given. Further, that if the foregoing pertains to 12 the original transcript of a deposition in a Federal 13 14 Case, before completion of the proceedings, review 15 of the transcript [] was [X] was not requested. 16 I further certify I am neither financially 17 interested in the action nor a relative or employee 18 of any attorney or any party to this action. 19 IN WITNESS WHEREOF, I have this date subscribed my name. 2.0 21 Dated: 8/23/2017 2.2 23 L' Soares CARLA SOARES 24 CSR No. 5908 25

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Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 182 of 196 HIGHLY CONFIDENTIAL - OUTSIDE COUNSEL'S EYES ONLY

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1
                  UNITED STATES DISTRICT COURT
 2
                 NORTHERN DISTRICT OF CALIFORNIA
 3
                     SAN FRANCISCO DIVISION
 4
 5
     WAYMO LLC,
 6
                    Plaintiff,
                                             Case No.
        VS.
 7
                                              17-cv-00939-WHA
     UBER TECHNOLOGIES, INC.;
     OTTOMOTTO, LLC; OTTO TRUCKING LLC, )
 8
 9
                   Defendants.
10
11
12
13
       HIGHLY CONFIDENTIAL -- OUTSIDE COUNSEL'S EYES ONLY
14
                    VIDEOTAPED DEPOSITION OF
15
                     OGNEN STOJANOVSKI, ESQ.
                    San Francisco, California
16
17
                     Thursday, July 20, 2017
                             Volume I
18
19
20
2.1
22
     Reported by:
     MARY J. GOFF
23
     CSR No. 13427
     Job No. 2663397
24
     PAGES 1-321
25
                                                      Page 1
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Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 183 of 196 HIGHLY CONFIDENTIAL - OUTSIDE COUNSEL'S EYES ONLY

1	invoices whereby Ottomotto was was was buying	05:53:27
2	multiple products from Tyto LiDAR or is it is it	05:53:33
3	just sort of an internal invoice number thing?	05:53:36
4	A I don't know what it refers to.	05:53:40
5	Q And this is dated February 25, 2016,	05:53:43
6	right?	05:53:47
7	A It's dated February 25, 2016, yes.	05:53:48
8	Q And so presumably by that date you knew	05:53:52
9	that Mr. Levandowski had started his own company	05:53:58
10	called Ottomotto, right?	05:54:01
11	A Yes, I would think so. Yes.	05:54:03
12	Q Do you do you remember how far in	05:54:05
13	advance of Ottomotto's ordering of this Owl 1.0	05:54:07
14	LiDAR sensor your your conversation with	05:54:15
15	Mr. Levandowski was at ramen where he told you	05:54:17
16	he was he was founding Ottomotto?	05:54:20
17	A Probably not too far in advance. I I	05:54:22
18	don't know.	05:54:25
19	Q When did	05:54:28
20	A I think, yeah, within two weeks for sure,	05:54:29
21	I would say but	05:54:31
22	Q Did you personally talk to Mr. Ron about	05:54:35
23	the ordering of this Owl 1.0 LiDAR sensor?	05:54:38
24	A I did not personally talk to Mr. Ron about	05:54:44
25	ordering this LiDAR sensor.	05:54:47
		Page 290

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 184 of 196 HIGHLY CONFIDENTIAL - OUTSIDE COUNSEL'S EYES ONLY

I, MARY J. GOFF, CSR No. 13427, Certified 1 2 Shorthand Reporter of the State of California, 3 certify; That the foregoing proceedings were taken 4 before me at the time and place herein set forth, at 5 6 which time the witness declared under penalty of perjury; that the testimony of the witness and all 7 objections made at the time of the examination were 8 recorded stenographically by me and were thereafter 9 transcribed under my direction and supervision; that 10 the foregoing is a full, true, and correct 11 transcript of my shorthand notes so taken and of the 12 testimony so given; That before completion of the deposition, 13 review of the transcript (XX) was () was not) that the witness has failed or requested: (refused to approve the transcript. 14 15 I further certify that I am not financially interested in the action, and I am not a relative or employee of any attorney of the parties, nor of any 16 17 of the parties. I declare under penalty of perjury under the laws of California that the foregoing is true and 18 correct, dated this 21st day of July 2017. 19 20 21 22 2.3 2.4 MARY J. GOFF 2.5 Page 321

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 185 of 196 HIGHLY CONFIDENTIAL - OUTSIDE COUNSEL'S EYES ONLY

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1
                  UNITED STATES DISTRICT COURT
 2.
                 NORTHERN DISTRICT OF CALIFORNIA
 3
                     SAN FRANCISCO DIVISION
 4
                                          )
 5
     WAYMO LLC,
                    Plaintiff,
 6
 7
        vs.
                                          ) Case No.
 8
     UBER TECHNOLOGIES, INC.;
                                         ) 17-cv-00939-WHA
 9
     OTTOMOTTO, LLC; OTTO TRUCKING LLC, )
10
                   Defendants.
                                          )
11
12
13
       HIGHLY CONFIDENTIAL -- OUTSIDE COUNSEL'S EYES ONLY
14
15
                    VIDEOTAPED DEPOSITION OF
16
                        WILLIAM TREICHLER
17
                    San Francisco, California
18
                     Monday, August 14, 2017
                            Volume I
19
20
21
     Reported by:
     MARY J. GOFF
22
23
     CSR No. 13427
     Job No. 2674484
24
     PAGES 1 - 276
25
                                                      Page 1
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Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 186 of 196 HIGHLY CONFIDENTIAL - OUTSIDE COUNSEL'S EYES ONLY

1	Otto concerning LiDAR technology. I want	04:27:49
2	A Okay.	04:27:54
3	Q to go through some of the entries with	04:27:54
4	you.	04:27:56
5	A Okay.	04:27:57
6	Q Let's start with Entry No. 206.	04:27:57
7	A Okay.	04:28:23
8	Q Do you see the date is May 19, 2016?	04:28:23
9	A Yes.	04:28:30
10	Q And there's a number of recipients, and	04:28:31
11	you're listed as a recipient about two-thirds of the	04:28:33
12	way down?	04:28:35
13	A Yes.	04:28:37
14	Q And you can see that the "BCC" is	04:28:38
15	"Upstairs Fishbowl."	04:28:41
16	Is that a mechanism that your schedulers	04:28:43
17	used to denote the location of a meeting?	04:28:46
18	A That's a conference room, yeah.	04:28:49
19	Q Upstairs Fishbowl is a conference room?	04:28:50
20	A Um-hum.	04:28:54
21	Q At 30 737 Harrison Harrison Street?	04:28:54
22	A Yes.	04:28:57
23	Q Okay. And the subject discussed there	04:28:58
24	says "Calendar Invention for Weekly LiDAR Stand-up	04:29:00
25	Meeting."	04:29:05
		Page 264

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 187 of 196 HIGHLY CONFIDENTIAL - OUTSIDE COUNSEL'S EYES ONLY

1	Do you see that?	04:29:06
2	A Um-hum.	04:29:06
3	Q Do you remember these weekly LiDAR	04:29:06
4	stand-up meetings, generally?	04:29:08
5	A Generally, yes.	04:29:10
6	Q If you are listed as a recipient on the	04:29:11
7	May 19, 2016 Fishbowl invite, does that indicate to	04:29:14
8	you that you were working at Otto as of May 19,	04:29:20
9	2016?	04:29:23
10	A I don't know when I was added to when	04:29:25
11	my e-mail was generated, so that doesn't	04:29:31
12	necessarily it should be around that time. I	04:29:35
13	would say that I would either I must have been	04:29:39
14	hired by that time. I think that's fair to assume.	04:29:41
15	Q Do you remember this May 19 meeting?	04:29:46
16	A No.	04:29:49
17	Q So you don't have any recollection as to	04:29:52
18	what Anthony Levandowksi said at this May 19	04:29:53
19	meeting?	04:29:59
20	MR. KIM: Objection, form.	04:29:59
21	MS. HYDE: Join.	04:30:00
22	A I don't remember this specific meeting, so	04:30:02
23	no.	04:30:04
24	Q (BY MR. NARDINELLI) Let's go to Entry	04:30:05
25	No. 269.	04:30:08
		Page 265

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 188 of 196 HIGHLY CONFIDENTIAL - OUTSIDE COUNSEL'S EYES ONLY

I, MARY J. GOFF, CSR No. 13427, Certified 1 2 Shorthand Reporter of the State of California, certify; 3 That the foregoing proceedings were taken 4 before me at the time and place herein set forth, at which time the witness declared under penalty of 5 perjury; that the testimony of the witness and all 6 objections made at the time of the examination were recorded stenographically by me and were thereafter 8 transcribed under my direction and supervision; that the foregoing is a full, true, and correct 9 transcript of my shorthand notes so taken and of the 10 11 testimony so given; That before completion of the deposition, 12 13 review of the transcript (XX) was () was not 14 requested: () that the witness has failed or refused to approve the transcript. 15 I further certify that I am not financially interested in the action, and I am not a relative or 16 employee of any attorney of the parties, nor of any 17 of the parties. 18 19 I declare under penalty of perjury under the laws of California that the foregoing is true and 2.0 correct, dated this 15th day of August 2017. 21 22 23 24 MARY J. GOFF 2.5 CSR No. 13427 Page 276

1	UNITED STATES DISTRICT COURT	
2	NORTHERN DISTRICT OF CALIFORNIA	
3	SAN FRANCISCO DIVISION	
4		
5	WAYMO LLC	
6	Plaintiff,	
7		
	vs. Case No. 17-cv-00939-WHA	
8		
9	UBER TECHNOLOGIES, INC.;	
10	OTTOMOTTO, LLC; OTTO	
	TRUCKING LLC,	
11	Defendants.	
12		
13		
14	**ATTORNEYS' EYES ONLY**	
15	VIDEO DEPOSITION OF JUR VAN DEN BERG	
16	San Francisco, California	
17	Wednesday, August 2, 2017	
18	Volume I	
19		
20		
21	REPORTED BY:	
22	REBECCA L. ROMANO, RPR, CSR No. 12546	
23	JOB NO. 2671707	
24		
25	PAGES 1 - 387	
	Page 1	

1	call right now. That that kind of logistic	12:29:23
2	stuff, yeah.	
3	Q. Is your phone being collected by Uber	
4	for in order to produce any response to text	
5	messages?	12:29:30
6	A. No, it has not been.	
7	MR. LIN: Objection to form.	
8	Q. (By Mr. Judah) No one has asked you	
9	to to about collecting text messages from	
10	you	12:29:43
11	A. No.	
12	Q for this case?	
13	A. No.	
14	Q. Have you have you ever used a	
15	Telegram, an app called Telegram?	12:29:46
16	A. No, never used it.	
17	Q. Do you have you ever had now kind	
18	of zooming out for any type of communication	
19	have you ever discussed LiDAR with Mr. Levandowski?	
20	A. No, not in a technical sense at least.	12:30:03
21	Q. Not when you say "not in a technical	
22	sense," have have you is there another sense	
23	where you have discussed lasers or LiDAR with	
24	Anthony Levandowski?	
25	A. Yeah, I think so. I think I have when	12:30:15
		Page 175

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1	when I joined Otto, he mentioned that that	12:30:16
2	the that the the team was strong in in	
3	the in the in the in the LiDAR	
4	competency so that that would be a strong suit of	
5	our of our startup in that sense that would be	12:30:32
6	that that I can remember having discussed	
7	in that sense, like, hey, we are strong on LiDAR.	
8	Q. And was that at the do you was	
9	that did he mention that at this lunch meeting	
10	or or as a phone call or a different	12:30:46
11	conversation?	
12	A. Yeah, I think at the lunch meeting it may	
13	have come up, or like when we had this conversation	
14	about the people joining, that we have a lot of	
15	people with expertise in LiDAR.	12:30:57
16	Q. In the phone call?	
17	A. This phone call about the the names of	
18	people that would be joining. I don't know exactly	
19	in what conversation that's come up, but that	
20	was I I I had an understanding when I	12:31:07
21	joined Otto that we were that that that we	
22	had lot of expertise in in LiDAR so that that	
23	would also be one of the goals of the company to	
24	develop a LiDAR, yeah.	
25	Q. Mr. Levandowski, you knew, had had	12:31:19
		Page 176

1	Q. This is an email sent from	03:30:48
2	Anthony Levandowski at his Otto email address,	
3	right? On May 30th, 2016 to the "to" is to	
4	everyone.	
5	Was that a company-wide Otto email alias?	03:30:58
6	A. Not 100 percent sure.	
7	Q. Do you think you received this email?	
8	A. No, I think I did receive it. I I	
9	just don't think I read it.	
10	Q. My understanding or let me ask you	03:31:20
11	this: Did Mr. Levandowski send a lot of email	
12	updates to the whole team?	
13	A. No, but it doesn't completely strike me	
14	as outlandish either. Yeah, this is way too long	
15	an email for me to read, if I just see that, so	03:31:33
16	I I don't I I have not read this.	
17	Q. But your name is mentioned in it?	
18	A. Yeah, I know. I see that now. Yeah.	
19	Q. Wouldn't you want to read that part?	
20	A. Yeah, of course. That's super cool,	03:31:44
21	yeah. But, yeah, no, I probably did not read this.	
22	I probably was working on something else.	
23	Q. To the best of your recollection, as of	
24	late May 2016, was Otto in the 737 Harrison space	
25	yet?	03:31:59
		Page 289

1	Q. (By Mr. Judah) What would make a road	03:37:41
2	really hard versus not really hard?	
3	A. Straight. Flat is easy for us.	
4	Planning. Also, I guess, for perception. Windy	
5	and and up and down would be hard.	03:37:53
6	Q. So the second numbered item of this email	
7	from Mr. Levandowski is titled "Lasers in	
8	Pittsburgh."	
9	Do you see that?	
10	A. Uh-huh.	03:38:07
11	Q. So he starts by saying, "James was badass	
12	this weekend and showed that we can range on the	
13	target at around 140 meters with 1/4W laser."	
14	Do you see that?	
15	A. Yup.	03:38:18
16	Q. Do you know what that refers to?	
17	A. No, not I think well, he can see	
18	something at 140 meters. That's what I take out of	
19	it.	
20	The other $1/4 exttt{W}$, I don't know what that	03:38:28
21	stands for, but	
22	Q. Who is James?	
23	A. I don't know.	
24	Q. Is that James Haslim?	
25	A. I don't I don't know.	03:38:36
		Page 296

1	than I don't want to grow as fast as people want	05:18:16
2	me to grow.	
3	The person I I I would have to ask	
4	about that would be my direct manager, the one I	
5	report to. And that would be I'm blanking on	05:18:25
6	his name, my own manager. I mentioned his name	
7	earlier today. Brandon Basso. Sorry.	
8	Q. Uber has a CFO, right? A chief financial	
9	officer.	
10	A. I I'm sure Uber I I don't know.	05:18:42
11	Q. The way it's structured, does does ATG	
12	have its own sort of chief financial person for	
13	their group?	
14	MS. HARTNETT: Objection.	
15	MR. LIN: Objection to form.	05:18:52
16	THE DEPONENT: I don't know.	
17	MR. JUDAH: Let's mark as Exhibit 492 an	
18	email bearing Bates stamp strike that a	
19	document bearing Bates Stamp UBER00086538.	
20	(Exhibit 492 was marked for	05:19:11
21	identification by the court reporter and is	
22	attached hereto.)	
23	Q. (By Mr. Judah) Do you recognize	
24	Exhibit 492?	
25	A. No.	05:19:51
		Page 372

1	Q. This is a this is a calendar	05:19:55
2	invitation that you received, though, right?	
3	A. Uh-huh. Well, I mean, I infer from the	
4	fact that I was on the invitees list that I	
5	received it.	05:20:00
6	Q. It says that you're optional in that	
7	invite, right?	
8	A. Yeah. What does that mean?	
9	Q. Well, you probably received something	
10	like this. Do you know what that refers to?	05:20:10
11	A. I I don't.	
12	Q. You don't remember attending this	
13	meeting?	
14	A. I I I'm I'm sure I did not	
15	attend this meeting.	05:20:18
16	Q. Why are you sure you didn't attend it?	
17	A. I I I skip as many meetings as I	
18	possibly can, and this is definitely a meeting I	
19	would never attend because I have nothing to do	
20	with these with the topic of the meeting.	05:20:29
21	Q. With the "Fuji Laser Program Status and	
22	Timeline Presentation"?	
23	A. Right.	
24	MR. JUDAH: I mark as Exhibit 493 a	
25	document bearing Bates Stamp UBER000866.	05:20:40
		Page 373

Case 3:17-cv-00939-WHA Document 1375-4 Filed 08/26/17 Page 196 of 196 ATTORNEYS EYES ONLY

1 I, Rebecca L. Romano, a Certified Shorthand Reporter of the State of California, do hereby 2 certify: 3 That the foregoing proceedings were taken 4 before me at the time and place herein set forth; that any witnesses in the foregoing proceedings, 5 prior to testifying, were administered an oath; 6 that a record of the proceedings was made by me using machine shorthand which was thereafter 8 9 transcribed under my direction; that the foregoing transcript is true record of the testimony given. Further, that if the foregoing pertains to the 10 original transcript of a deposition in a Federal 11 12 Case, before completion of the proceedings, review 13 of the transcript [] was [x] was not requested. 14 I further certify I am neither financially 15 interested in the action nor a relative or employee of any attorney or any party to this action. IN WITNESS WHEREOF, I have this date 16 subscribed my name. 17 18 Dated: August 3, 2017 19 2.0 2.1 22 23 24 Rebecca L. Romano, RPR, CSR. No 12546 2.5

Page 387